

Audit Summary		Name of Auditee:					
		Date of audit:					
Section	Questions	Total # in Section	# of C	#of CAN	# of IAR	# of NA	Question # of any CAN or IAR
	Global Markets Addendum-Field Operations & Harvest	33	0	0	0	0	
M-1	Food Safety Plan and Documentation	11	0	0	0	0	
M-2	Propagation Material	3	0	0	0	0	
M-3	Fertilizers & Biosolids	4	0	0	0	0	
M-4	Harvesting	1	0	0	0	0	
M-5	Agricultural Chemicals	9	0	0	0	0	
M-6	Waste Management	2	0	0	0	0	
M-7	Food Defense	3	0	0	0	0	
	Global Markets Addendum- Post Harvest	14	0	0	0	0	
M-8	Food Safety Plan & Documentation	11	0	0	0	0	
M-9	Food Defense	3	0	0	0	0	
C, Compliant with requirement; CAN, Corrective action needed to address nonconformance; IAR, Immediate action required because of imminent food safety risk; N/A, not applicable or not needed.							
NOTE:	Section M is not a component of the Produce GAPs Harmonized Food Safety Standard and is offered only as a service to those auditees who need to conform to the Global Markets Primary Production Assessment						

<p align="center">Acceptance Criteria for the Global Markets Program for Primary Production Basic or Intermediate Level Assessment</p>

In addition to the USDA acceptance criteria, growers utilizing this audit to meet Global Markets Program for Primary Production Basic or Intermediate Level Assessment requirements must meet the following additional criteria, which are listed as major elements within the Global Markets Capacity Building Program for Primary Production.

Audit Element(s)	Criteria
G-3	Documentation and Recordkeeping (includes all 3 sub-questions).
G-4	Worker Education and Training
G-10.1	Hygienic Procedure for All Activities Which Take Place on the Farm.
G-10.2	Hygienic Practices are Effectively Implemented.
G-10.4 & G-10.6	Access to Clean Toilets and Hand Washing Facilities.
F-1.1	Field History and Assessment (pre-planting).
F-2.1	Agricultural Chemicals are Registered.
F-2.2	If Exporting - Agriculture Chemicals Registered or Permitted for use in the Destination Country
F-2.4	Water Used for Plant Protection Products does not Present a Food Safety Risk.
F-2.5	Agricultural Chemical Disposal.
F-5.1	No Untreated Sewage Water Used for Irrigation.
F-5.1, F-6.1, F-7.2, F-8.2	Where Food Safety Hazards have been Identified - Records to Demonstrate that They are Effectively Managed (water, animals, soil amendments, equipment).
F-6	Animal Control (includes all 3 sub-questions).
F-7	Soil Amendments (includes 2 sub-questions).
F-8.5	Documented Cleaning and Sanitation Procedures.
F-10.2	Water Used on Harvested Crops is Potable.
F-11	Containers, Bins & Packing Material (includes all 4 sub-questions).
F-13.3	Storage of Harvested Product.
M-1.1 & M-1.2	Awareness and Compliance to Customer Specific Food Safety Specifications.
M-1.7	Food Safety Incidents Recorded and Assessed.
M-3.1	No Untreated Human Sewage is Used.
M-5.2	Operation Keeps List of Agricultural Chemicals Used on the Crops being Grown.
M-5.6	Operations Demonstrate Knowledge of Calculating and Preparing Application Mix.

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Note: The questions in this section are not official questions associated with the Produce GAPs Harmonized Food Safety Standard. These additional questions are used to show conformance to the Global Markets Program for Primary Production Basic or Intermediate Level Assessment. Farming or packinghouse operations should check with their buyers to verify whether or not conformance with these questions is necessary in order to be an approved supplier. **This addendum will only be assessed at the specific request of the auditee.** Several of the questions in this section are similar to questions in the Harmonized Audit, however due to slight differences in the requirements of the two standards, the Global Markets questions shown below were developed to verify conformance to the Global Markets Assessment.

The Global Markets Capacity Building Program for Primary Production has two levels, Basic and Intermediate. For the Basic level audit, only those questions identified as basic need to be answered (Intermediate level questions should be marked N/A). For the Intermediate level audit both the basic AND intermediate questions must be answered. A copy of the complete Global Markets Primary Production Assessment can be obtained on the Global Food Safety Initiative website at www.mygfsi.com.

Q #	Requirement	DOC	C	CAN	IAR	NA	Auditor Comments	Basic or Intermediate Level
Additional Questions required to meet Global Markets Primary Production Assessment								
M-1	Food Safety Plan & Documentation							
M-1.1	If applicable, the food safety plan addresses customers' food safety specifications.	R						Basic
M-1.2	The operation can demonstrate compliance to customers' food safety specifications (if applicable).	R						Basic

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M-1.3	A reference system for each field, orchard, greenhouse, plot and other production area has been established.	R					Basic
M-1.4	Documentation shall include records of all agronomic activities undertaken at each production unit.	R					Basic
M-1.5	Corrective action procedures shall include a procedure to evaluate complaints.	WP					Basic
M-1.6	The operation shall record any food safety related non-conformances and complaints.	R					Basic
M-1.7	Food safety incidents are recorded and assessed to determine its severity and risk and addressed accordingly.	R					Intermediate
M-1.8	The incident management procedure is reviewed, tested and verified at least once a year.	R					Intermediate

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M-1.9	Operation has an approved supplier program for all inputs including contractors.	WP, R					Intermediate
M-1.10	Operation has performed and documented a risk assessment of the production area.	R					Basic
M-1.11	Operation has identified control measures to all significant hazards identified during risk assessment.	R					Intermediate
M-2	Propagation Material						
M-2.1	Operation keeps records of agricultural chemical applications used on nursery stock, transplants and other propagation material produced on site.						Basic
M-2.2	If nursery stock, transplants or other propagation material is purchased from an outside source, records of ag chemical use are obtained.	R					Basic

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M-2.3	The Operation has certificates/records showing the seed or other propagation material is free of injurious pests, diseases, viruses, etc.	R						Basic
M-3	Fertilizers and Biosolids							
M-3.1	Operation does not use untreated human sewage.	WP						Basic
M-3.2	All applications of fertilizers, both organic and inorganic shall be recorded.	R						Basic
M-3.3	Application equipment used to apply fertilizers are checked and calibrated on a scheduled basis.	R						Intermediate
M-3.4	Fertilizers are stored separately from agricultural chemicals.							Basic
M-4	Harvesting							
M-4.1	When product is field packed, collection, storage, and distribution points are maintained in a clean and hygienic contamination.							Basic

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6.5	Agricultural Chemicals						
M-5.1	The operation shall have a documented crop protection policy.	WP					Basic
M-5.2	The operation has a current list of agricultural chemicals that are used and approved for the crops being grown.	R					Intermediate
M-5.3	Agricultural chemical records include the target organism(s) and justification for application.	R					Intermediate
M-5.4	Agricultural chemical records include the application equipment used to apply the chemicals.	R					Intermediate
M-5.5	Equipment used to apply agricultural chemicals shall be kept in good condition and verified annually to ensure accurate application.	R					Intermediate
M-5.6	Operator demonstrates knowledge of preparing and calculating agricultural chemical mixes.						Intermediate

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M-5.7	The operation provides evidence of annual residue testing or participation in a third party plant protection product residue monitoring system.	R						Intermediate
M-5.8	Agricultural chemicals approved for use on the crops being grown are stored separately from agricultural chemicals used for other purposes.							Intermediate
M-5.9	Records of post-harvest biocides, waxes and plant protection products include the identity of the harvested crop, location, application dates, treatment, product name and dose rate.	R						Basic
M-6	Waste Management							
M-6.1	Operation has implemented a waste management plan.	R						Intermediate

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M-6.2	Operation has identified all sources of waste products and pollution created by the farm operation which pose a risk of food safety.	R						Intermediate
6.7	Food Defense							
M-7.1	Have the threats to the produce as a result of intentional contamination been assessed?	R						Intermediate
M-7.2	Have those points in the process which are vulnerable to intentional contamination been identified and subjected to additional access control?	WP, R						Intermediate
M-7.3	Are measures in place, if prohibited access took place and food may have been sabotaged?	WP						Intermediate

Code Key: WP = Written Policy/Procedure; R = Record

Additional Auditor Comments:

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<p align="center">Acceptance Criteria for the Global Markets Program for Primary Production Basic or Intermediate Level Assessment</p>

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Audit Element (s)	Criteria
G-2.3	Raw Material Sourcing
G-3.1	Documentation & Recordkeeping
G-4.1	Worker Training
G-9.1	Self Audits
G-10.3, G-10.4	Toilet Facilities
G-10.2 & G-10.18	Employee Hygienic Practices
P-2.2	Ag Chemicals, Biocides, Plant Protection Products
P-4	Pest & Animal Control (includes all 3 sub-questions)
P-5.3	Lubrication
P-6.1 & P-6.5	Master Sanitation Schedule
P-7.2	Water System Assessment
P-7.3	Water Use
P-9.1, P-9.3 & P-9.4	Storage Areas
P-5.4, P-7.7, & P-7.8	Water Treatment Procedures
M-8.1	Customer Specifications
M-8.2	Risk Assessment of Packinghouse
M-8.3	Critical Control Points, HACCP Plan
M-8.6, M-8.7 & M-8.8	Record of Food Safety Non-conformances, Complaints, and Corrective Actions

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Q #	Requirement	DOC	C	CAN	IAR	NA	Auditor Comments	Basic or Intermediate Level
	Additional Questions required to meet Global Markets Primary Production Assessment							
M-8	Food Safety Plan & Documentation.							
M-8.1	If applicable, the food safety plan addresses customers' food safety specifications.	R						Basic
M-8.2	Operation has performed and documented a risk assessment of the packinghouse.	R						Basic

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M-8.3	If the risk assessment shows the need for a critical control point(s) in the packinghouse, a documented HACCP plan or additional procedures addressing monitoring of control points and disposition of non-conforming products must be in place. The HACCP plan or additional policies must be documented and implemented.	R						Intermediate
M-8.4	A documented hold and release program has been implemented for all non-conforming product and packaging.							Basic
M-8.5	Corrective action procedures shall include a procedure to evaluate complaints.	WP						Basic
M-8.6	The operation shall record any food safety related non-conformances and complaints.	R						Basic

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M-8.7	Corrective action procedures shall include a procedure to evaluate complaints.	WP						Basic
M-8.8	Food safety incidents are recorded and assessed to determine its severity and risk and addressed accordingly.	R						Intermediate
M-8.9	The incident management procedure is reviewed, tested and verified at least once a year.	R						Intermediate
M-8.10	The operation has an approved supplier program for all agricultural inputs, chemicals, packaging and food safety related services.	R						Intermediate
M-8.11	Metal detection equipment, if utilized shall be checked at a scheduled frequency as outlined in the operation's food safety/HACCP plan using iron, non-iron and stainless steel test wands.	R						Intermediate

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M-9	Food Defense							
M-9.1	Threats to the produce as a result of intentional contamination been assessed and documented.	R						Intermediate
M-9.2	Those points in the process which are vulnerable to intentional contamination been identified and subjected to additional access control.	WP,R						Intermediate
M-9.3	If access to prohibited areas took place, are measures in place to evaluate food for evidence of sabotage/intentional contamination?	WP						Intermediate

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