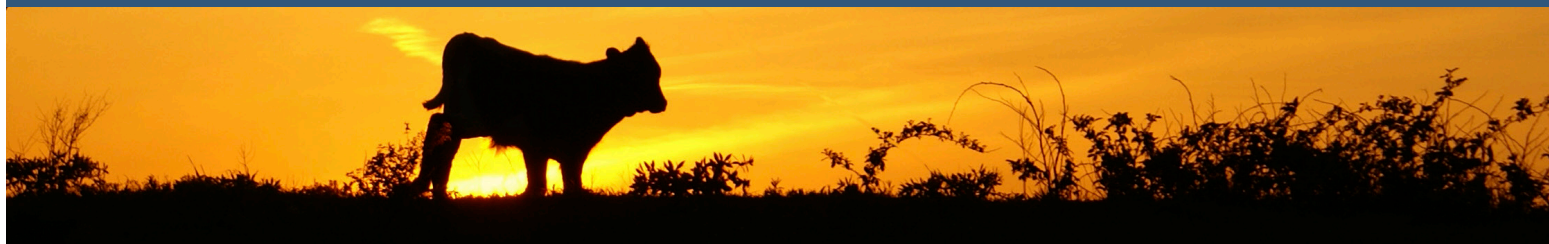


Compliance & Enforcement/Appeals Summary

Reporting Period: Fiscal Year (FY) 2017



COMPLIANCE & ENFORCEMENT: OVERALL SUMMARY

	Q1 (October 2016 - December 2016)
Incoming Complaints	64
Completed Complaint Reviews and Investigations	21

APPEALS: OVERALL SUMMARY ¹ (Refer to [NOP 4011: Adverse Action Appeal Process](#) for more information)

Number of Incoming	12
Appeals by Disposition	
Decisions	1
Dismissals	0
Referrals	0
Closures ²	4

SUMMARY OF INITIAL ACTIONS TAKEN

Cease & Desist Orders	3
Notices of Warning	4
Investigation Referrals	3

SUMMARY OF DISPOSITIONS (All NOP)

Total # of Settlement Agreements ³	6
Total # of Consent Decisions	0
Total Amount Civil Penalties Levied ⁴	\$45,000

ADMINISTRATIVE PROCEEDING NOTES

General Notes:

1. Total reflects appeals closed in FY 2017 regardless of when cases were initiated.
2. Three of the four cases were closed because the certifier and certified operator reached a settlement agreement to bring the operator into compliance. One closed because it was an incoming question on a noncompliance that was not yet at the appeals stage.
3. Not all settlement agreements include civil penalties and not all civil penalties were levied via settlement agreements.
4. Total reflects the amount of penalties initially levied. Amounts may change during negotiation of settlements and individual penalty payments may be outstanding.

Want to receive National Organic Program updates? Sign up to receive email updates at [NOP Updates](#)