



**SELLING ON COMMISSION OPERATION (CLAUSE 1 BOND) - SECTION 3**

Livestock Sold at Market		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Livestock consigned by others to your market (number of head)					
302	Livestock consigned by you to your market (number of head)					
303	Total livestock consigned to your market (number of head)					
304	Gross value of livestock sold on commission	\$	\$	\$	\$	\$

305 Number of Public Sales days covered by this report \_\_\_\_\_

**DEALER AND BUYING ON COMMISSION OPERATION (CLAUSE 2 BOND) - SECTION 4**

Livestock Dealer Purchases		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
401	Cost of livestock purchased on a dealer basis for registrant's account	\$	\$	\$	\$	\$
402	Number of head of livestock purchased on a dealer basis for registrant's account					
Dealer Volume by quarter		a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
403	Cost of livestock purchased as a dealer, per quarter (403e is equal to 401e)	\$	\$	\$	\$	\$

Livestock Bought on Commission		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
404	Cost of livestock bought on commission (BOC) for the account of others regardless of who paid for the livestock	\$	\$	\$	\$	\$
405	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					
BOC Volume by quarter		a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
406	Cost of livestock bought on commission, per quarter (406e is equal to 404e)	\$	\$	\$	\$	\$

407 Total Cost of Livestock Purchased - line 401e plus line 404e \$ \_\_\_\_\_

408 Number of days livestock was purchased during the reporting year \_\_\_\_\_

**CLEAREE AND CLEAROR DESIGNATIONS - SECTION 5**

- 501 Select this box if you are a cleeree (Cleared and under the bond coverage of another entity for your livestock purchases)
- 502 Provide the name of the clearor if line 501 is checked: \_\_\_\_\_
- 503 Select this box if you are a clearor providing clearing services for cleerees.

If the checkbox is selected on line 503, you must enter the required information for lines 504-514

	Cleeree's Name as Registered	Dollar Value of Livestock Purchased	
504		505	\$
506		507	\$
508		509	\$
510		511	\$
512		513	\$
	Total Livestock Purchases of all Cleerees	514	\$

**ANALYSIS OF CUSTODIAL BANK ACCOUNT FOR SHIPPERS' PROCEEDS - SECTION 6**

Date of Custodial Analysis ( as of mm/dd/yyyy - should be same as reporting year end)	601	_____
Balance as per Bank Statement	602	\$ _____
Deposits in transit in mail or bank, but not on statement	603	\$ _____
Certificates of Deposit/Savings designated as custodial funds	604	\$ _____
Proceeds on hand	605	\$ _____
Proceeds receivable	606	\$ _____
<b>Total of Lines 602 through 606</b>		607 \$ _____
Outstanding checks and drafts that have not cleared bank	608	\$ _____
Proceeds due consignors of livestock for which checks have not yet been issued	609	\$ _____
Unpaid expense items deducted from consignors' proceeds remaining in account	610	\$ _____
<b>Total of Lines 608 through 610</b>		611 \$ _____
Overage or Shortage in Account (Line 607 minus 611)	612	\$ _____
Accounts Receivable - (from sales of livestock not classified as Proceeds Receivable)	613	\$ _____

## STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 7

Include values only for the reporting entity.

## ASSETS

Current Assets:		
Cash in Non-Custodial Accounts (Enter overdrafts on Line 723)	701	\$ _____
Overage in Custodial Bank Account (From Line 612, if a positive amount)	702	\$ _____
Current Cash Equivalents (CD's, Savings, etc.)	703	\$ _____
Trade Accounts Receivable-Net (Due in 1 year or less):		
Owners, Officers, and Affiliated Companies	704	\$ _____
All Others (Sources Not Related to Company)	705	\$ _____
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total of lines 704 and 705)	706	\$ _____
Inventory:		
Livestock Inventory	707	\$ _____
Other Inventory (Inventory to be consumed in the business during the year)	708	\$ _____
Total Inventories (Total lines 707 and 708)	709	\$ _____
Notes and Loans Receivable (Due in 1 Year or Less):		
Owners, Officers, and Related Entities	710	\$ _____
Others (Sources Not Related to Company)	711	\$ _____
Total Notes and Loans Receivable (Due in 1 Year or Less) (Total lines 710 and 711)	712	\$ _____
Advances Made on Livestock	713	\$ _____
Other Current Assets	714	\$ _____
Total Current Assets (Total lines 701, 702, 703, 706, 709, 712, 713, and 714)	715	\$ _____
Property, Plant, & Equipment Net of Depreciation and Amortization	716	\$ _____
Other Assets:		
Investments in Subsidiaries and Related Companies	717	\$ _____
Due from Owners, Officers, and Related Entities (Due in 1 year or more)	718	\$ _____
Livestock Inventory not related to sales to customers (feeding, backgrounding, depreciable assets)	719	\$ _____
Other Long-Term Assets	720	\$ _____
Total Other Assets (Total lines 717, 718, 719, and 720)	721	\$ _____
<b>TOTAL ASSETS</b> (Total of lines 715, 716, and 721)	<b>722</b>	<b>\$ _____</b>

## LIABILITIES

Current Liabilities:		
Overdraft	723	\$ _____
Shortage in Custodial Account (from line 612, if a negative amount)	724	\$ _____
Trade Account Payables:		
Livestock Payables	725	\$ _____
Other Payables to Owners, Officers, and Related Entities	726	\$ _____
Other Payables (Sources Not Related to Company)	727	\$ _____
Total Trade Account Payables (Total Lines 725, 726, and 727)	728	\$ _____
Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)		
Owners, Officers, and Related Entities	729	\$ _____
Others (Sources Not Related to Company)	730	\$ _____
Total Current Maturities of Long-Term Debt (Total lines 729 and 730)	731	\$ _____
Other Current Liabilities	732	\$ _____
Total Current Liabilities (Total lines 723, 724, 728, 731, and 732)	733	\$ _____
Other Liabilities:		
Long -Term Debt, Less Current Maturities: (Due in 1 year or more)		
Owners, Officers, and Related Entities	734	\$ _____
Others (Sources Not Related to Company)	735	\$ _____
Total Long-Term Debt, Less Current Maturities (Total lines 734 and 735)	736	\$ _____
All Other Liabilities	737	\$ _____
<b>TOTAL LIABILITIES</b> (Total of lines 733, 736, and 737)	<b>738</b>	<b>\$ _____</b>
EQUITY		
Owner's Equity (Unincorporated)	739	\$ _____
OR		
Stock Issued (Incorporated)	740	\$ _____
Additional Paid-in Capital	741	\$ _____
Retained Earnings	742	\$ _____
<b>TOTAL EQUITY</b> (Total of lines 739 through 742), (should equal line 722 minus 738)	<b>743</b>	<b>\$ _____</b>
<b>TOTAL LIABILITIES AND EQUITY</b> (should equal line 722)	<b>744</b>	<b>\$ _____</b>

## STATEMENT OF REVENUES AND EXPENSES - SECTION 8

Selling Commissions of Market	801	\$	
Gross Dealer Profit (+) or Loss (-)	802	\$	
Buying Commission	803	\$	
Other Income	804	\$	
Total Income (Total of lines 801 through 804)			805 \$
Total Expenses			806 \$
Net Profit (+) or Loss (-) (Line 805 less 806)			807 \$

## CERTIFICATION - SECTION 9

*Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).*

**With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report.**

901 Print Name:		902 Signature:
903 Phone Number:	904 Date:	905 Title:

*Submitted information is confidential (9 CFR 201.96). Failure to report will result in forfeiture to the United States \$559 per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).*

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