

Business Entity ID Number	For PSD Stamp Only	PSD Bar Code Only
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U.S. Department of Agriculture
 Agricultural Marketing Service
 Fair Trade Practices Program
 Packers and Stockyards Division

**ANNUAL REPORT OF DEALER OR
 MARKET AGENCY BUYING ON COMMISSION**

Select this box if you want to receive electronic notification to file your annual report. This will begin in the next reporting cycle.

Electronic Filing

Instructions: If a line's value is zero, enter "0". If a line does not apply, enter "N/A". Return completed form to the PSD Central Reporting Unit. If all lines are not completed when the form is received, the form will be returned. See separate instructions for complete information about this report.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yyyy)	a. FROM:	b. TO:
102	Entity Name		
103	Trade Name/d.b.a.		
104	Mailing Address		
105	City, State, Zip+4		
106	Operating Address		
107	City, State, Zip+4		
108	Contact Name		
109	Contact Telephone	111	E-Mail Address
110	Fax	112	Web Address

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and members in control of this business with their ownership percentage.

	a. Name	b. Title	c. %
201			
202			
203			
204			
205			

206	Type organization: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> Co-op <input type="checkbox"/> Association						
207	Is this the same organization type reported last year? <input type="checkbox"/> Yes <input type="checkbox"/> No						
208	Does the entity own or operate a scale on which purchased livestock is weighed? If yes, complete line 209. <input type="checkbox"/> Yes <input type="checkbox"/> No						
209	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:25%;">a. Manufacturer</td> <td style="width:25%;">b. Model</td> <td style="width:50%;">c. Serial Number</td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </table>	a. Manufacturer	b. Model	c. Serial Number			
a. Manufacturer	b. Model	c. Serial Number					
210	Does the entity use another company's scale to purchase livestock? If yes, complete line 211. <input type="checkbox"/> Yes <input type="checkbox"/> No						
211	Entity Name: _____ Entity Address: _____						
212	What is the basis of payment made to the entity for most livestock you sell? <input type="checkbox"/> Per Head <input type="checkbox"/> Live Wt. <input type="checkbox"/> Dressed Wt./Carcass Basis						
213	Is this business owned or controlled by another business entity? If Yes, complete line 214 <input type="checkbox"/> Yes <input type="checkbox"/> No						
214	Entity Name: _____ Entity Address: _____						

215 Does the reporting Business, including any Owners, Officers, Directors, Members or Employees, own an interest in any other Market Agency, Dealer Organization, or Packing Company? Yes No

If line 215 is yes, give the name of related firms, name of person or firms with relationship, and percentage of ownership under lines 216-220

	a. Name of Related Firm	b. Name of Person/Firm with Relationship	c. %
216			
217			
218			
219			
220			

VOLUME INFORMATION - SECTION 3

		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Livestock Dealer Purchases					
	Cost of livestock purchased on a dealer basis for registrant's account	\$	\$	\$	\$	\$
302	Number of head of livestock purchased on a dealer basis for registrant's account					
	Dealer Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
303	Cost of livestock purchased as a dealer, per quarter (Total of 303e should equal 301e)	\$	\$	\$	\$	\$

		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
304	Livestock Bought on Commission (BOC)					
	Cost of livestock bought on commission for the account of others regardless of who paid for the livestock	\$	\$	\$	\$	\$
305	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					
	BOC Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
306	Cost of livestock bought on commission, per quarter (Total of 306e should equal 304e)	\$	\$	\$	\$	\$

307 Total Cost of Livestock Purchased (line 301e + line 304e) \$ _____

308 Number of days livestock was purchased during the reporting year _____

CLEAREE AND CLEAROR DESIGNATIONS - SECTION 4

401 Select this box if you are a clearee (Cleared and under the bond coverage of another entity for your livestock purchases)

402 Provide the name of the clearor if line 401 is checked. _____

403 Select this box if you are a clearor providing clearing services for clearees.

If the checkbox is selected on line 403, you must enter the required information for lines 404-414

	Clearer's Name as Registered	Dollar Value of Livestock Purchased	
404		405	\$
406		407	\$
408		409	\$
410		411	\$
412		413	\$
	Total Livestock Purchases of all Clearees	414	\$

STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 5

All clearers, and dealers and/or order buyers whose total of line 307 equals less than \$2.6 million may continue to Section 7, and do not need to complete Sections 5 and 6. All Clearors must complete Sections 5 and 6, regardless of the total cost of livestock purchased.

ASSETS

Current Assets:			
Cash (Enter overdrafts on line 521)	501	\$	
Current Cash Equivalents (CD's, Savings, etc.)	502	\$	
Trade Accounts Receivables-Net (Due in 1 year or less):			
Owners, Officers, and Affiliated Companies	503	\$	
All Others (Sources Not Related to Company)	504	\$	
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total lines 503 and 504)	505	\$	
Inventory:			
Livestock Inventory	506	\$	
Other Inventory	507	\$	
Total Inventories (Total lines 506 and 507)	508	\$	
Notes and Loans Receivable (Due in 1 year or less):			
Owners, Officers, and Related Entities	509	\$	
Others (Sources Not Related to Company)	510	\$	
Total Notes and Loans Receivable (Due in 1 year or less) (Total lines 509 and 510)	511	\$	
Advances Made on Livestock	512	\$	
Other Current Assets	513	\$	
Total Current Assets (Total lines 501, 502, 505, 508, 511, 512 and 513)			514 \$
Property, Plant, & Equipment Net of Depreciation and Amortization			515 \$
Other Assets:			
Investments in Subsidiaries and Related Companies	516	\$	
Due from Owners, Officers, and Related Entities (Due in 1 year or more)	517	\$	
Other Assets	518	\$	
Total Other Assets (Total lines 516, 517, and 518)			519 \$
TOTAL ASSETS (Total lines 514, 515, and 519)			520 \$

LIABILITIES

Current Liabilities:			
Overdraft	521	\$	
Trade Accounts Payables:			
Livestock Payables	522	\$	
Other Payables to Owners, Officers, and Related Entities	523	\$	
Other Payables (Sources Not Related to Company)	524	\$	
Total Trade Accounts Payables (Total lines 522, 523, and 524)	525	\$	
Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)			
Owners, Officers, and Related Entities	526	\$	
Others (Sources Not Related to Company)	527	\$	
Total Current Maturities of Long-Term Debt (Total lines 526 and 527)	528	\$	
Other Current Liabilities	529	\$	
Total Current Liabilities (Total lines 521, 525, 528 and 529)			530 \$
Other Liabilities:			
Long -Term Debt, Less Current Maturities: (Due in 1 year or more)			
Owners, Officers, and Related Entities	531	\$	
Others (Sources Not Related to Company)	532	\$	
Total Long-Term Debt, Less Current Maturities (Total lines 531 and 532)			533 \$
All Other Liabilities			534 \$
TOTAL LIABILITIES (Total of lines 530, 533, and 534)			535 \$

EQUITY

Owner's Equity (Unincorporated)	536	\$ _____	
OR			
Stock Issued (Incorporated)	537	\$ _____	
Additional Paid-in Capital	538	\$ _____	
Retained Earnings	539	\$ _____	
TOTAL EQUITY (Total lines 536 through 539) (Should equal line 520 minus 535)			540 \$ _____
TOTAL LIABILITIES AND EQUITY (Total lines 535 and 540) (should equal line 520)			541 \$ _____

STATEMENT OF REVENUES AND EXPENSES - SECTION 6

Gross Dealer Profit (+) or Loss (-)	601	\$ _____	
Buying Commission	602	\$ _____	
Other Income	603	\$ _____	
Total Income (Total of lines 601 through 603)			604 \$ _____
Total Expenses			605 \$ _____
Net Profit (+) or Loss (-) (Line 604 minus 606)			606 \$ _____

CERTIFICATION - SECTION 7

Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report.

701 Print Name	702 Signature
703 Phone Number	704 Date
	705 Title

Submitted information is confidential (9 CFR 201.96). Failure to report will result in forfeiture to the United States \$559 per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0581-0308. The time required to complete this information collection is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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