Business Entity ID Number	For PSD Stamp Only	PSD Bar Code Only

U.S. Department of Agriculture
Agricultural Marketing Service
Packers and Stockyards Division

ANNUAL REPORT OF MARKET AGENCY SELLING ON COMMISSION

Select this box if you want to receive email notifications instead of mailed letters. This will begin in the next reporting cycle.

Email Preferred ☐

Instructions: If a line's value is zero, enter "0". If a line does not apply, enter "N/A". Return completed form to the PSD Central Reporting Unit. If all lines are not completed when the form is received, the form will be returned. See separate instructions for complete information about this report. Fillable version: open in Adobe for full functionality.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yyyy)	a. FROM:		b. TO:	
102	Entity Name				
103	Trade Name/d.b.a.				
104	Mailing Address				
105	City, State, Zip+4				
106	Operating Address				
107	City, State, Zip+4				
108	Contact Name				
109	Contact Telephone		110	Email Address	
111	Fax Number		112	Web Address	

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and members in control of this business with their ownership percentage.

	a. Name	b. Title	c. %
201			
202			
203			
204			
205			

206	Type organization:	☐ Individual	☐ Partnership	☐ Corporation	☐ L.L.C.	☐ L.L.P.	☐ Co-op	☐ Association
207	Is this the same organization type reported last year? ☐			First time filing report ☐				
208	Does the entity own or operate a scale on which purchased livestock is weighed? If yes, ☐ complete line 209.				☐ No, skip to line 210			
209	a. Manufacturer		b. Model		c. Serial Number			
210	What is the basis of payment made to the entity for most livestock you sell?	☐ Per Head	☐ Live Wt.	☐ Dressed Wt. or Carcass Basis				
211	Is this business owned or controlled by another business entity? If Yes, ☐ list information on line 212							
212	Entity Name:			Entity Address:				
213	Name and Version of software program used for consignor/buyer invoices							

214	Does this Business, including any Owners, Officers, Directors, Members or Employees, own an interest in any other Market Agency, Dealer Organization, or Packing Company?	☐ Yes	☐ No

If line 214 is yes, give the name of related firms, name of person or firms with relationship, and percentage of ownership under lines 215-218

	a. Name of Related Firm	b. Name of Person/Firm with Relationship	c. %
215			
216			
217			
218			

SELLING ON COMMISSION OPERATION (CLAUSE 1 BOND) - SECTION 3

	Livestock Sold at Market	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Livestock consigned by others to your market (number of head)					
302	Livestock consigned by you to your market (number of head)					
303	Total livestock consigned to your market (number of head) Total lines 301 and 302					
304	Gross value of livestock sold on commission					

305 **NUMBER OF PUBLIC SALE DAYS COVERED BY THIS REPORT** _____

DEALER AND BUYING ON COMMISSION OPERATION (CLAUSE 2 BOND) - SECTION 4

	Livestock Dealer Purchases	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
401	Cost of livestock purchased on a dealer basis for registrant's account					
402	Number of head of livestock purchased on a dealer basis for registrant's account					
	Dealer Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
403	Cost of livestock purchased as a dealer, per quarter (403e is equal to 401e)					

	Livestock Bought on Commission	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
404	Cost of livestock bought on commission (BOC) for the account of others regardless of who paid for the livestock					
405	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					
	BOC Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
406	Cost of livestock bought on commission, per quarter (406e is equal to 404e)					

407	Total Cost of Livestock Purchased - line 401e plus line 404e	
408	Number of days livestock was purchased during the reporting year	

CLEAREE AND CLEAROR DESIGNATIONS - SECTION 5

501	Select this box if you are a clearee (Cleared and under the bond coverage of another entity for your livestock purchases)	☐
502	Provide the name of the clearor if line 501 is checked:	
503	Select this box if you are a clearor providing clearing services for clearees.	☐

If the checkbox is selected on line 503, you must enter the required information for lines 504-514

	Clearee's Name as Registered		Dollar Value of Livestock Purchased
504		505	
506		507	
508		509	
510		511	
512		513	
	Total Livestock Purchases of all Clearees	514	

ANALYSIS OF CUSTODIAL BANK ACCOUNT FOR SHIPPERS' PROCEEDS - SECTION 6

Date of Custodial Analysis (as of mm/dd/yyyy - should be same as reporting year end)	601		
Balance as per Bank Statement	602		
Deposits in transit in mail or bank, but not on statement	603		
Certificates of Deposit/Savings designated as custodial funds	604		
Proceeds on hand	605		
Proceeds receivable	606		
Total of Lines 602 through 606		607	
Outstanding checks and drafts that have not cleared bank	608		
Proceeds due consignors of livestock for which checks have not yet been issued	609		
Unpaid expense items deducted from consignors' proceeds remaining in account	610		
Total of Lines 608 through 610		611	
Overage or Shortage in Account		612	
Accounts Receivable - (from sales of livestock not classified as Proceeds Receivable)		613	

STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 7

Include values only for the reporting entity.

ASSETS				
Current Assets:				
Cash in Non-Custodial Accounts (Enter overdrafts on Line 723)		701		
Overage in Custodial Bank Account (From Line 612, if a positive amount)		702		
Current Cash Equivalents (CD's, Savings, etc.)		703		
Trade Accounts Receivable-Net (Due in 1 year or less):				
Owners, Officers, and Affiliated Companies	704			
All Others (Sources Not Related to Company)	705			
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total of lines 704 and 705)		706		
Inventory:				
Livestock Inventory	707			
Other Inventory (Inventory to be consumed in the business during the year)	708			
Total Inventories (Total lines 707 and 708)		709		
Notes and Loans Receivable (Due in 1 Year or Less):				
Owners, Officers, and Related Entities	710			
Others (Sources Not Related to Company)	711			
Total Notes and Loans Receivable (Due in 1 Year or Less) (Total lines 710 and 711)		712		
Advances Made on Livestock		713		
Other Current Assets		714		
Total Current Assets (Total lines 701, 702, 703, 706, 709, 712, 713, and 714)			715	
Property, Plant, & Equipment Net of Depreciation and Amortization			716	
Other Assets:				
Investments in Subsidiaries and Related Companies		717		
Due from Owners, Officers, and Related Entities (Due in 1 year or more)		718		
Livestock Inventory not related to sales to customers (feeding, backgrounding, depreciable assets)		719		
Other Long-Term Assets		720		
Total Other Assets (Total lines 717, 718, 719, and 720)			721	
TOTAL ASSETS (Total of lines 715, 716, and 721)			722	

LIABILITIES				
Current Liabilities:				
Overdraft		723		
Shortage in Custodial Account (from line 612, if a negative amount)		724		
Trade Account Payables:				
Livestock Payables (Due in 1 Year or Less)	725			
Other Payables to Owners, Officers, and Related Entities (Due in 1 Year or Less)	726			
Other Payables (Sources Not Related to Company)(Due in 1 Year or Less)	727			
Total Trade Account Payables (Total Lines 725, 726, and 727)		728		
Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)				
Owners, Officers, and Related Entities	729			
Others (Sources Not Related to Company)	730			
Total Current Maturities of Long-Term Debt (Total lines 729 and 730)		731		
Other Current Liabilities		732		
Total Current Liabilities (Total lines 723, 724, 728, 731, and 732)			733	
Other Liabilities:				
Long -Term Debt, Less Current Maturities: (Due in 1 year or more)				
Owners, Officers, and Related Entities		734		
Others (Sources Not Related to Company)		735		
Total Long-Term Debt, Less Current Maturities (Total lines 734 and 735)			736	
All Other Liabilities			737	
TOTAL LIABILITIES (Total of lines 733, 736, and 737)			738	
EQUITY				
Owner's Equity (Unincorporated)		739		
OR				
Stock Issued (Incorporated)		740		
Additional Paid-in Capital		741		
Retained Earnings		742		
TOTAL EQUITY (must equal lines 739 through 742)			743	
TOTAL LIABILITIES AND EQUITY (must equal line 722) (Lines 738 plus 743)			744	

STATEMENT OF REVENUES AND EXPENSES - SECTION 8

Selling Commissions of Market	801		
Gross Dealer Profit	802		
Buying Commission	803		
Other Income	804		
Total Income (Total of lines 801, 802, 803 and 804)		805	
Total Expenses		806	
Net Profit (+) or Loss (-) (Line 805 minus 806)		807	

CERTIFICATION - SECTION 9

Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of $1,000 to $5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report. (This form must be signed by a person listed in lines 201-205.)

901 Print Name:		902 Signature:
903 Phone Number:	904 Date:	905 Title:

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Submitted information is confidential (9 CFR 201.96). Failure to report may result with a complaint filed against the entity in a United States District Court charging the entity with violations of the Packers and Stockyards Act and seeking civil penalties as authorized by law per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

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Additional Notes: