Business Entity ID Number	For PSD Stamp Only	PSD Bar Code Only

U.S. Department of Agriculture
Agricultural Marketing Service
Packers and Stockyards Division

ANNUAL REPORT OF LIVE POULTRY DEALERS

Select this box if you want to receive email notifications instead of mailed letters. This will begin in the next reporting cycle.

Email Preferred ☐

Instructions: If a line's value is zero, enter "0". If a line does not apply, enter "N/A". Return completed form to the PSD Central Reporting Unit. If all lines are not completed when the form is received, the form will be returned. See separate instructions for complete information about this report. Fillable version: open in Adobe for full functionality.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yyyy)	a. FROM:		b. TO:	
102	Entity Name				
103	Trade Name/d.b.a.				
104	Mailing Address				
105	City, State, Zip+4				
106	Operating Address				
107	City, State, Zip+4				
108	Contact Name				
109	Contact Telephone		110	Email Address	
111	Fax Number		112	Web Address	

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and members in control of this business with their ownership percentage.

	a. Name	b. Title	c. %
201			
202			
203			
204			
205			

206	Type organization: ☐ Individual ☐ Partnership ☐ Corporation ☐ L.L.C. ☐ L.L.P. ☐ Co-op ☐ Association	
207	Is this the same organization type reported last year? ☐ Yes ☐ No First time filing report ☐	
208	Is this business owned or controlled by another business entity, if yes ☐ complete line 209	
209	If line 208 is yes, identify the owner/controlling business name and address	
210	Does the reporting Business, including any Owners, Officers, Directors, Members or Employees, own an interest in any other Market Agency, Dealer Organization, or Packing Company? If yes complete 211-215	☐ Yes

If line 210 is yes, give the name of related firms, name of person or firms with relationship, and percentage of ownership under lines 211-215

	a. Name of Related Firm	b. Name of Person/Firm with Relationship	c. %
211			
212			
213			
214			
215			

FINANCIAL INFORMATION - SECTION 3

Enter the name and location of the financial institution you use for grower or poultry payments.

301	Name of Financial Institution				
302	Physical Address				
303	City, State, Zip+4				
304	Contact Name		305	Contact Telephone	
306	At the end of the reporting period, were Accounts Receivable and/or Inventory, or any part thereof, pledged or assigned as collateral under a financing or loan agreement?	☐ Yes ☐ No			
307	At any time during the year, Have you been notified by your financial institution that you were in violation of any of the terms, conditions, and/or covenants of your financial agreement?	☐ Yes ☐ No			
308	If so notified, have you been provided a waiver from your financial institution?	☐ Yes ☐ No			
309	If the financial institution named in line 301 is the same as that used for your financial or loan agreement, check this box. Otherwise, complete lines 310 through 314, as necessary.	☐			

If line 309 is checked, complete lines 310 through 314 for each financial lending institution. Attach additional sheets if more than one.

310	Name of Lending Institution				
311	Mailing Address				
312	City, State, Zip +4				
313	Contact Name		314	Contact Telephone	

Poultry Trust Ratio Calculation

Reference the instruction sheet in calculating your Poultry Trust ratio

315 Calculated Trust Ratio _____

OPERATING INFORMATION - SECTION 4

ACTIVITIES ENGAGED IN (check all that apply)

401	☐	Producing poultry under grow out contracts for slaughter
402	☐	Producing poultry under marketing agreements for slaughter
403	☐	Producing poultry under either of the above growing arrangements for sale to another for slaughter
404	☐	Producing poultry under either of the above growing arrangements for slaughter by a custom slaughterer
405	☐	Custom poultry slaughterer
406	☐	Buying live poultry for slaughter (e.g. spent fowl plant)
407	☐	Buying live poultry for slaughter by a custom slaughterer
408	☐	Buying live poultry for resale to a slaughterer
409	☐	Buying live poultry for resale to a live bird market

INPUT AND PRODUCT MARKETS

410 Enter the number of active grow out contracts and marketing agreements on the last day of this reporting period _____

411	☐ Yes ☐ No	Poultry purchased or obtained under growing arrangements performed outside the state in which it was slaughtered or sold?
412	☐ Yes ☐ No	Live poultry sold outside the state in which it was bought or obtained under a growing arrangement?
413	☐ Yes ☐ No	Poultry meat sold outside the state in which the poultry was slaughtered?

LOCATION OF FACILITIES (attach additional page if needed).

	Slaughter Facility Name	FSIS #	Street, City, State, Zip+4	Phone
414				
415				
416				
417				
418				
419				
420				

	Feed Mill Name	Street, City, State, Zip+4	Phone
421			
422			
423			
424			
425			
426			
427			
428			
429			
430			

TOTAL SLAUGHTER - SECTION 5

	Total slaughter	a. Chickens		b. Turkeys		c. Other	
		Head	Lbs.	Head	Lbs.	Head	Lbs.
501	Poultry Grown under grow out contracts						
502	Poultry grown under marketing agreement						
503	Poultry grown by the company (e.g. company owned farms)						
504	Live Poultry purchased						
505	Total Poultry Procured (sum lines 501 to 504)						
506	Live Poultry sold						
507	Total Poultry custom slaughtered by you for others						
508	Total slaughter (line 505 minus 506 plus 507)						

STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 6

ASSETS

Current Assets:

Cash (Enter overdrafts on line 619)		601	
Current Cash Equivalents (CD's, Savings, etc.)		602	
Trade Accounts Receivables-Net (Due in 1 year or less):			
Owners, Officers, and Affiliated Companies	603		
All Others (Sources Not Related to Company)	604		
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total lines 603 and 604)		605	
Inventory		606	
Notes and Loans Receivable (Due in 1 Year or Less):			
Owners, Officers, and Related Entities	607		
Others (Sources Not Related to Company)	608		
Total Notes and Loans Receivable (Due in 1 Year or Less) (Total lines 607 and 608)		609	
Other Current Assets		610	
Total Current Assets (Total of lines 601, 602, 605, 606, 609, and 610)			611
Property, Plant, & Equipment Net of Depreciation and Amortization			612
Other Assets:			
Investments in Subsidiaries and Affiliated Companies		613	
Due from Owners, Officers, and Related Entities (Due in 1 year or more)		614	
Other Assets		615	
Total Other Assets (Total of lines 613 through 615)			616
TOTAL ASSETS (Total of lines 611, 612, and 616)			617

LIABILITIES				
Current Liabilities:				
Overdraft		618		
Payables to Owners, Officers, and Related Entities (Due in 1 year or Less)	619			
Other Payables (Sources Not Related to Company) (Due in 1 Year or Less)	620			
Total Payables (Total lines 619 and 620)		621		
Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)				
Owners, Officers, and Related Entities	622			
Others	623			
Total Current Maturities of Long-Term Debt (Total lines 622 and 623)		624		
Other Current Liabilities		625		
Total Current Liabilities (Total of Lines 618, 621, 624, and 625)			626	
Other Liabilities:				
Long -Term Debt, Less Current Maturities: (Due after 1 year)				
Owners, Officers, and Related Entities	627			
Others (Sources Not Related to Company)	628			
Total Long-Term Debt, Less Current Maturities (Total lines 627 and 628)			629	
TOTAL LIABILITIES (Total of lines 626, and 629)			630	
EQUITY				
Owner's Equity (Unincorporated)		631		
OR				
Stock Issued (Incorporated)		632		
Additional Paid-in Capital		633		
Retained Earnings		634		
TOTAL EQUITY (total of lines 631 through 634)			635	
TOTAL LIABILITIES AND EQUITY (Line 630 plus line 635) (should equal line 617)			636	

STATEMENT OF REVENUES AND EXPENSES - SECTION 7

INCLUDE VALUES ONLY FOR REPORTING ENTITY.

Net Sales (Gross sales less sales returns & allowances)		701		
Cost of Sales				
Opening Inventory	702			
Live Poultry Purchases	703			
Poultry Grow-outs	704			
Other Purchases	705			
Subtotal (sum lines 702 through 705)		706		
Closing Inventory		707		
Total Cost of Sales (line 706 less 707)			708	
Gross Profit (line 701 less 708)			709	
Operating Expenses				
Manufacturing	710			
General, Sales, and Administrative	711			
Depreciation & Amortization	712			
Total Operating Expenses (sum lines 710 through 712)			713	
Operating Income or Loss (line 709 less 713)			714	
Adjustments to Operating Income				
Other Additions to Income	715			
Interest Expense (Enter as a negative number)	716			
Other Deductions from Income (Enter as a negative number)	717			
Adjustments to Operating Income + or - (Enter the sum total of lines 715 through 717; enter as + or -)			718	
Net Profit or Loss (Add line 718 plus line 714)			719	

CERTIFICATION - SECTION 8		
Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of $1,000 to $5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).		
With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report. (This form just be signed by a person listed in lines 201-205)		
801 Print Name		802 Signature
803 Telephone	804 Date	805 Title

Fillable form: for full functionality download form and open in Adobe.

Submitted information is confidential (9 CFR 201.96). Failure to report may result with a complaint filed against the entity in a United States District Court charging the entity with violations of the Packers and Stockyards Act and seeking civil penalties as authorized by law per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0581-0308. The time required to complete this information collection is estimated to average 7 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

To file a complaint alleging discrimination, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at http://www.ascr.usda.gov/complaint_filing_cust.html, or at any USDA office or write a letter addressed to USDA and provided in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed complaint form or letter to USDA by: (a) mail: U.S. Department of Agriculture, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, SW, Washington, D.C. 20250-9410; (b) fax: (202) 690-7442; or (c) email: program.intake@usda.gov.

In accordance with Federal law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, this institution is prohibited from discriminating on the basis of race, color, national origin, sex, age, disability, and reprisal or retaliation for prior civil rights activity. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means of communication for program information (e.g., Braille, large print, audiotape, American Sign Language, etc.) should contact the responsible State or local Agency that administers the program or USDA's TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information is also available in languages other than English.

Additional Notes:

Validate | Calculate all | Save