Business Entity ID Number	For PSD Stamp Only	PSD Bar Code Only

U.S. Department of Agriculture
Agricultural Marketing Service
Packers and Stockyards Division

ANNUAL REPORT OF DEALER OR MARKET AGENCY BUYING ON COMMISSION

Select this box if you want to receive email notifications instead of mailed letters. This will begin in the next reporting cycle.

Email reminders ☐

Instructions: If a line's value is zero, enter "0". If a line does not apply, enter "N/A". Return completed form to the PSD Central Reporting Unit. If all lines are not completed when the form is received, the form will be returned. See separate instructions for complete information about this report. Fillable version: open only in Adobe for full functionality.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yyyy)	a. FROM:		b. TO:	
102	Entity Name				
103	Trade Name/d.b.a.				
104	Mailing Address				
105	City, State, Zip+4				
106	Operating Address				
107	City, State, Zip+4				
108	Contact Name				
109	Contact Telephone		110	Email Address	
111	Fax Number		112	Web Address	

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and members in control of this business with their ownership percentage.

	a. Name	b. Title	c. %
201			
202			
203			
204			
205			

206	Type organization:	☐ Individual	☐ Partnership	☐ Corporation	☐ L.L.C.	☐ L.L.P.	☐ Co-op	☐ Association				
207	Is this the same organization type reported last year? ☐						First time filing report ☐					
208	Does the entity own or operate a scale on which purchased livestock is weighed?	☐ Yes, complete line 209.	☐ No, skip to 210									
209	a. Manufacturer		b. Model		c. Serial Number							
210	Does the entity use another company's scale to purchase livestock?	☐ Yes, complete line 211.	☐ No, skip to 212									
211	Entity Name:			Entity Address:								
212	What is the basis of payment made to the entity for most livestock bought/purchased?	☐ Per Head	☐ Live Wt.	☐ Dressed Wt./Carcass Basis								
213	Is this business owned or controlled by another business entity?	☐ Yes, complete line 214	☐ No, skip to 215									
214	Entity Name:			Entity Address:								

215	Does the reporting Business, including any Owners, Officers, Directors, Members or Employees, own an interest in any other Market Agency, Dealer Organization, or Packing Company?	☐ Yes	☐ No

If line 215 is yes, give the name of related firms, name of person or firms with relationship, and percentage of ownership under lines 216-220

	a. Name of Related Firm	b. Name of Person/Firm with Relationship	c. %
216			
217			
218			
219			
220			

VOLUME INFORMATION - SECTION 3

	Livestock Dealer Purchases	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Cost of livestock purchased on a dealer basis for registrant's account					
302	Number of head of livestock purchased on a dealer basis for registrant's account					
	Dealer Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
303	Cost of livestock purchased as a dealer, per quarter (Total of 303e must equal 301e)					

	Livestock Bought on Commission (BOC)	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
304	Cost of livestock bought on commission for the account of others regardless of who paid for the livestock					
305	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					
	BOC Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
306	Cost of livestock bought on commission, per quarter (Total of 306e must equal 304e)					

307	Total Cost of Livestock Purchased (line 301e + line 304e)	
308	Number of days livestock was purchased during the reporting year	

CLEAREE AND CLEAROR DESIGNATIONS - SECTION 4

401	Select this box if you are a clearee (Cleared and under the bond coverage of another entity for your livestock purchases)	☐
402	Provide the name of the clearor if line 401 is checked.	
403	Select this box if you are a clearor providing clearing services for clearees.	☐

If the checkbox is selected on line 403, you must enter the required information for lines 404-414

	Clearee's Name as Registered		Dollar Value of Livestock Purchased
404		405	
406		407	
408		409	
410		411	
412		413	
	Total Livestock Purchases of all Clearees	414	

STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 5

All CLEAREES, and DEALERS and/or order buyers whose total of line 307 equals less than $2.6 million may continue to Section 7, and do not need to complete Sections 5 and 6. All CLEARORS must complete Sections 5 and 6, regardless of the total cost of livestock purchased.

ASSETS				
Current Assets:				
Cash (Enter overdrafts on line 521)		501		
Current Cash Equivalents (CD's, Savings, etc.)		502		
Trade Accounts Receivables-Net (Due in 1 year or less):				
Owners, Officers, and Affiliated Companies	503			
All Others (Sources Not Related to Company)	504			
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total lines 503 and 504)		505		
Inventory:				
Livestock Inventory	506			
Other Inventory	507			
Total Inventories Total lines 506 and 507)		508		
Notes and Loans Receivable (Due in 1 year or less):				
Owners, Officers, and Related Entities	509			
Others (Sources Not Related to Company)	510			
Total Notes and Loans Receivable (Due in 1 year or less) (Total lines 509 and 510)		511		
Advances Made on Livestock		512		
Other Current Assets		513		
Total Current Assets (Total lines 501, 502, 505, 508, 511, 512 and 513)			514	
Property, Plant, & Equipment Net of Depreciation and Amortization			515	
Other Assets:				
Investments in Subsidiaries and Related Companies		516		
Due from Owners, Officers, and Related Entities (Due in 1 year or more)		517		
Other Assets		518		
Total Other Assets (Total lines 516, 517, and 518)			519	
TOTAL ASSETS (Total lines 514, 515, and 519)			520	
LIABILITIES				
Current Liabilities:				
Overdraft		521		
Trade Accounts Payables:				
Livestock Payables (Due in 1 Year or Less)	522			
Other Payables to Owners, Officers, and Related Entities (Due in 1 Year or Less)	523			
Other Payables (Sources Not Related to Company)(Due in 1 Year or Less)	524			
Total Trade Accounts Payables (Total lines 522, 523, and 524)		525		
Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)				
Owners, Officers, and Related Entities	526			
Others (Sources Not Related to Company)	527			
Total Current Maturities of Long-Term Debt (Total lines 526 and 527)		528		
Other Current Liabilities		529		
Total Current Liabilities (Total lines 521, 525, 528 and 529)			530	
Other Liabilities:				
Long -Term Debt, Less Current Maturities: (Due in 1 year or more)				
Owners, Officers, and Related Entities		531		
Others (Sources Not Related to Company)		532		
Total Long-Term Debt, Less Current Maturities (Total lines 531 and 532)			533	
All Other Liabilities			534	
TOTAL LIABILITIES (Total of lines 530, 533, and 534)			535	

EQUITY

Owner's Equity (Unincorporated)	536	
OR		
Stock Issued (Incorporated)	537	
Additional Paid-in Capital	538	
Retained Earnings	539	
TOTAL EQUITY (Total lines 536 through 539)	540	
TOTAL LIABILITIES AND EQUITY (Total lines 535 and 540) (must equal line 520)	541	

STATEMENT OF REVENUES AND EXPENSES - SECTION 6

Gross Dealer Profit	601	
Buying Commission	602	
Other Income	603	
Total Income	604	
Total Expenses	605	
Net Profit (+) or Loss (-)	606	

CERTIFICATION - SECTION 7

Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of $1,000 to $5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, and that I am an owner, officer, or have been authorized by responsible management to certify this report. (This form must be signed by a person listed in lines 201-205.)

701 Print Name		702 Signature
703 Phone Number	704 Date	705 Title

Fillable form: for full functionality download form and open in Adobe.

Submitted information is confidential (9 CFR 201.96). Failure to report may result with a complaint filed against the entity in a United States District Court charging the entity with violations of the Packers and Stockyards Act and seeking civil penalties as authorized by law per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0581-0308. The time required to complete this information collection is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

To file a complaint alleging discrimination, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at http://www.ascr.usda.gov/complaint_filing_cust.html, or at any USDA office or write a letter addressed to USDA and provided in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed complaint form or letter to USDA by: (a) mail: U.S. Department of Agriculture, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, SW, Washington, D.C. 20250-9410; (b) fax: (202) 690-7442; or (c) email: program.intake@usda.gov.

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Additional Notes:

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