

Business Entity ID Number	For PSD Use Only	PSD Bar Code Only
---------------------------	------------------	-------------------

U.S. Department of Agriculture  
 Agricultural Marketing Service  
 Fair Trade Practices Program  
 Packers and Stockyards Division

## STATEMENT OF ASSETS, LIABILITIES, AND EQUITY SPECIAL REPORT

**Instructions: If an item's value is zero, enter "0". If an item does not apply, enter "NA". If a line does not apply, enter "N/A". Return completed form to the appropriate P&SP regional office. See separate instructions for complete information about this report.**

### GENERAL INFORMATION - SECTION 1

101	Reporting Date (mm/dd/yyyy)			
102	Entity Name			
103	Trade Name/d.b.a.			
104	Mailing Address			
105	City, State, Zip + 4			
106	Operating Address			
107	City, State, Zip+4			
108	Contact Name			
109	Contact Telephone	110	Fax Number	
111	E-Mail Address	112	Web Address	

### ASSETS, LIABILITIES, AND EQUITY - SECTION 2

**ASSETS**

Current Assets:			
Cash (Enter overdrafts on line 223)	201	\$	
Overage in Custodial Bank Account (Enter shortage on line 224)	202	\$	
Current Cash Equivalents (CD's, Savings, etc.)	203	\$	
Trade Accounts Receivable-Net (Due in 1 year or less):			
Owners, Officers, and Affiliated Companies	204	\$	
All Others (Sources Not Related to Company)	205	\$	
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total of lines 204 and 205)	206	\$	
Inventory:			
Livestock Inventory	207	\$	
Meat and Meat Products Inventory	208	\$	
Other Inventory	209	\$	
Total Inventories (Total lines 207, 208 and 209)	210	\$	
Notes and Loans Receivable (Due in 1 year or less):			
Owners, Officers, and Related Entities	211	\$	
Others (Sources Not Related to Company)	212	\$	
Total Notes and Loans Receivable (Due in 1 year or less) (Total lines 211 and 212)	213	\$	
Advances Made on Livestock, Meat and Meat Products	214	\$	
Other Current Assets	215	\$	
Total Current Assets (Total lines 201, 202, 203, 206, 210, 213, 214, and 215)	216	\$	
Property, Plant, & Equipment (Net of depreciation and amortization)	217	\$	
Other Assets:			
Investments in subsidiaries and affiliated companies	218	\$	
Due from Owners, Officers, and Related Entities (Due in 1 year or more)	219	\$	
Other Assets	220	\$	
Total Other Assets (Total lines 218, 219, and 220)	221	\$	
TOTAL ASSETS (Total lines 216, 217, and 221)	222	\$	

LIABILITIES

Current Liabilities:

Overdraft 223 \$ \_\_\_\_\_  
 Shortage in Custodial Bank Account 224 \$ \_\_\_\_\_

Trade Account Payables

Livestock Payables (Due in 1 Year or Less) 225 \$ \_\_\_\_\_  
 Meat and Meat Products Payables (Due in 1 Year or Less) 226 \$ \_\_\_\_\_  
 Other Payables to Owners, Officers, and Related Entities (Due in 1 Year or Less) 227 \$ \_\_\_\_\_  
 Other Payables (Sources Not Related to Company) 228 \$ \_\_\_\_\_  
 Total Trade Account Payables (Total lines 225, 226, 227, and 228) 229 \$ \_\_\_\_\_

Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)

Owners, Officers, and Related Entities 230 \$ \_\_\_\_\_  
 Others (Sources Not Related to Company) 231 \$ \_\_\_\_\_

Total Current Maturities of Long-Term Debt (Total lines 230 and 231) 232 \$ \_\_\_\_\_

Other Current Liabilities 233 \$ \_\_\_\_\_

Total Current Liabilities (Total lines 223, 224, 229, 232, and 233) 234 \$ \_\_\_\_\_

Other Liabilities:

Long -Term Debt, Less Current Maturities: (Due in 1 year or more)

Owners, Officers, and Related Entities 235 \$ \_\_\_\_\_  
 Others (Sources Not Related to Company) 236 \$ \_\_\_\_\_

Total Long-Term Debt, Less Current Maturities (Total lines 235 and 236) 237 \$ \_\_\_\_\_

All Other Liabilities 238 \$ \_\_\_\_\_

TOTAL LIABILITIES (Total of lines 234, 237 and 238) 239 \$ \_\_\_\_\_

EQUITY

Owner's Equity (Unincorporated) 240 \$ \_\_\_\_\_

OR

Stock Issued (Incorporated) 241 \$ \_\_\_\_\_

Additional paid-in capital 242 \$ \_\_\_\_\_

Retained Earnings 243 \$ \_\_\_\_\_

TOTAL EQUITY (Total lines 240 through 243) 244 \$ \_\_\_\_\_

TOTAL LIABILITIES AND EQUITY (should equal line 222) 245 \$ \_\_\_\_\_

**FINANCING INFORMATION - SECTION 3**

301	Is the entity liable for any contingent liabilities? <input type="checkbox"/> Yes <input type="checkbox"/> No	If Yes, give brief explanation on line 302.
302		
303	At the end of the reporting period, were Accounts Receivable and/or Inventories, or any part thereof, pledged or assigned as collateral under a financing or loan agreement? <input type="checkbox"/> Yes <input type="checkbox"/> No	

If the answer to 303 is "Yes", complete lines 304 through 308 for each financial lending institution. Attach additional sheets if more than one.

304	Name of Lending Institution	
305	Mailing Address	
306	City, State, Zip +4	
307	Contact Name	
308	Contact Telephone Number	

309	Are Inventories collateralized under the agreement?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
310	Are Accounts Receivable collateralized under the agreement?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
311	Enter borrowing limit under the agreement	\$ _____	
312	Enter borrowing payable as of the end of the reporting period	\$ _____	
313	At any time during the year, Have you been notified by your financial institution you were in violation of any covenants of your financial agreement?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
314	If so notified, have you been provided a waiver from your financial institution?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
315	Are any company owners, directors, officers or stockholders named as a guarantor under the agreement?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
316	If company is a subsidiary of another, is the Parent Company named as a guarantor under the agreement?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Restrictions or covenants under financing or loan agreement**

317	Minimum cash balance to be maintained on deposit	\$ _____
318	Minimum working capital to be maintained.	\$ _____
319	Other (describe) _____	

**Livestock Trust Ratio Calculation**

Reference the instruction sheet in calculating your Livestock Trust ratio

320	Calculated Trust Ratio	_____
-----	------------------------	-------

**CERTIFICATION - SECTION 4**

*Under the Packers and Stockyards Act any person who willfully makes or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment.*

**With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report.**

401 Print Name		402 Signature	
403 Phone Number	404 Date	405 Title	

*Submitted information is confidential (9 CFR 201.96). Failure to report may result with a complaint filed against the entity in a United States District Court charging the entity with violations of the Packers and Stockyards Act and seeking civil penalties as authorized by law, until report receipt. Section 10 of the FTC Act made applicable by Section 402 of the Act (7 U.S.C. 222).*

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0581-0308. The time required to complete this information collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

In accordance with Federal law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, this institution is prohibited from discriminating on the basis of race, color, national origin, sex, age, disability, and reprisal or retaliation for prior civil rights activity. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means of communication for program information (e.g., Braille, large print, audiotape, American Sign Language, etc.) should contact the responsible State or local Agency that administers the program or USDA's TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information is also available in languages other than English.

To file a complaint alleging discrimination, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at [http://www.ascr.usda.gov/complaint\\_filing\\_cust.html](http://www.ascr.usda.gov/complaint_filing_cust.html), or at any USDA office or write a letter addressed to USDA and provided in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed form or letter to USDA by: (a) mail: U.S. Department of Agriculture, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, SW, Washington, D.C. 20250-9410; (b) fax: (202) 690-7442; or (c) email: [program.intake@usda.gov](mailto:program.intake@usda.gov).