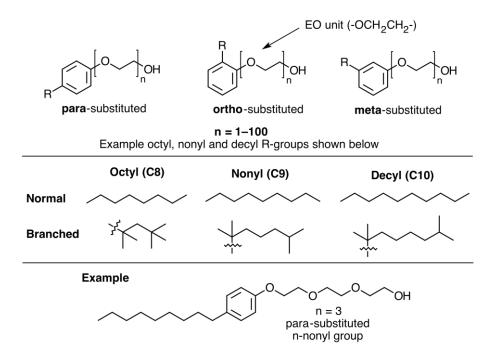
Nonylphenol Ethoxylates

1		Clops
2	Identification of	of Petitioned Substance
3 4 5	Chemical Names: Nonylphenol Ethoxylates (NPEs)	CAS Numbers: 26027-38-3
5 7 8	Other Names: p-Nonylphenol, ethoxylated	9036-19-5 9014-92-0 9016-45-9
9 0	Polyoxyethylene (1,1,3,3-tetramethylbutyl)- phenol ether	
1 2	Polyoxyethylene dodecylphenol Polyoxyethylene nonylphenol	Other Codes: 500-024-6 (EINECS No for CAS# 9016-45-9)
3 4 5	Trade Names: Tergitol TM , Triton TM	
6 7	Summary	of Petitioned Use
/	Summary	of rentioned Ose
0 1 2 3 4 5 6 7 8 9	ingredient in accordance with any limitations on the CFR §205.601(m)). EPA List 3 inert ingredients are dispensers. In addition, EPA List 4 inert ingredient synthetic substances in organic livestock product in Board (NOSB) has requested technical information ethoxylated p-nonylphenol (CAS# 26027-38-3), po 9036-19-5), polyoxyethylene dodecylphenol (CAS 9016-45-9). This report provides targeted technica these substances currently allowed for use as inertial context.	nts may be used with nonsynthetic substances or allowed ion (7 CFR §205.603(e)). The National Organic Standards in for nonylphenol ethoxylates on EPA List 4B, including olyethylene (1,1,3,3-tetramethylbutyl)phenyl ether (CAS# # 9014-92-0) and polyoxyethylene nonylphenol (CAS# 1 information in support of the NOSB's sunset review of
0	Characterization	of Petitioned Substance
1 2	Composition of the Substance:	
3 4 5 6 7 8 9 0 1 2 3 4 5	known as alkylphenol ethoxylates (APEs). This br with a wide range of molecular weights – from old polymers with tens or even one hundred monome of APEs, the monomeric repeating unit is an ethos one and 100 depending on the number of ethylene nonylphenol (NP) molecule during the production alkyl substitution on the phenol ring (US EPA, 20) carbon atom counts of eight (octylphenol ethyoxy ten (decylphenol ethyoxylates, or DPEs), as well a also arises from the configuration of these alkyl gr alkyl groups on individual molecules may be line	rger class of surfactant (i.e., surface active) compounds road chemical class encompasses individual compounds igomers containing only a few monomeric units to ers comprising a single molecule (Dow, 2010). In the case xy (EO) group. The number of EO groups varies between e oxide molecules that reacted with a particular n process. APEs also vary based on the structure of the 10). For example, APEs may have alkyl substituents with clates, or OPEs), nine (nonylphenol ethoxylates, or NPEs), as larger alkyl substituents. Molecular complexity in APEs roups (US EPA, 2010). Within a single APE mixture, the ar or have extensive branching along the carbon chain. ediately adjacent ("ortho") to the phenol hydroxyl group
5 5		red from the hydroxyl substitution (US EPA, 2010).

47 There are scores, if not hundreds, of individual NPE isomers (nonyl chain) and congeners (EO units) in an 48 industrial NPE product. Each NPE is conventionally described by its average EO chain length, which 49 varies between 1 and 100 for different formulations. The molecular weight of a particular NPE molecule is 50 exclusively dictated by the degree of ethoxylation (i.e., number of EO groups). In addition, NPEs may contain small amounts of branched C8 (octyl) and C10 (decyl) alkyl groups depending on the level of 51 52 contamination in the NP feedstock material. Alkyl substitution on the phenol ring occurs primarily ($\geq 90\%$) 53 at the "para" position due to a thermodynamic preference, with smaller amounts of the "ortho" and 54 "meta" substitution products observed in mixtures of NPEs and NPs. Most of the nonyl substituents on the 55 phenol rings of NPEs are highly branched, while a significantly smaller fraction of these nonyl groups are 56 linear chains. Figure 1 below provides a pictorial representation of the structural variations commonly observed in NPE mixtures. 57



58

Figure 1. The constituents of NPE formulations differ based on the degree of ethoxylation, substitution pattern along the phenol ring and structure of the alkyl substituent (linear vs. branched).

61 Source or Origin of the Substance:

62 NPEs are produced through the reaction of nonylphenol (NP) and ethylene oxide with potassium

63 hydroxide (KOH) as a catalyst (Dow, 2010). In addition to other reaction parameters, the ratio of ethylene

oxide to NP generally determines the number of ethoxy (EO) units (i.e., length of the polymeric chain) and

therefore the molecular weight of the molecules within the produced NPE mixture. Water may be added

66 during formulation to simplify product handling (Dow, 2010). See the response to Evaluation Question #2

for additional details regarding the predominant manufacturing process, as well as a discussion of

variations of this method potentially used in the industrial production of NPEs.

69 **Properties of the Substance:**

- 70 Commercially available NPE surfactants can be either solids or liquids depending on the molecular
- 71 weights of the NPE constituents and the use temperature. Solid formulations are generally white to yellow
- or light orange in color, and the liquids are clear to slightly cloudy (Dow, 2010). NPE mixtures consisting
- 73 primarily of lower molecular weight NPEs (i.e., shorter EO chains) tend to be liquids, while technical
- 74 mixtures with higher molecular weight NPE products are generally solids. The latter group is commonly
- 75 diluted with water during product formulation, thus providing an aqueous solution of NPEs (Dow, 2010).
- 76 Because the constituents of different formulations vary in molecular complexity and composition, the
- product mixtures may have varying solubility in water and other industrial solvents. NPEs with four and
- six EO units (NP-4EO and NP-6EO) are less polar molecules, and can therefore solubilize petroleum

- 79 products such as kerosene and aliphatic hydrocarbons (Dow, 2010). Intermediate chain length NPE
- 80 surfactants (i.e., NP-7EO through NP-15EO) are miscible with chlorinated and aromatic solvents and
- 81 exhibit some solubility in water. The higher molecular weight, highly ethoxylated NPEs (NP-30EO through
- 82 NP-70EO) have a higher proportion of polar functionality in their molecular structures, and are highly
- 83 soluble in water (Dow, 2010).
- 84 A selection of chemical and physical properties for NPE and the parent/break down product NP are
- 85 provided below in Table 1 for comparison. See the response to Evaluation Question #4 for a discussion of
- the persistence and biodegradability of NPEs and their primary by-product NP.
- 87

Table 1. Chemical and Physical Properties for NPEs.

Property	Description
Physical state	Solid or oily liquid
Appearance/color	White to yellow/light orange (solids)
	Clear to slightly cloudy (liquids)
Molecular formula	Varies (mixture)
	$C_{15}H_{24}O[C_2H_4O]_9$ (NP-9EO)
	$C_{15}H_{24}O[C_2H_4O]_4$ (NP-4EO)
Molecular weight (g/mol)	Varies (mixture)
	617.6 (NP-9EO)
	396.2 (NP-4EO)
Melting point (°C)	2.8 (NP-9EO)
	-40 (NP-4EO)
Density (g/mL)	1.06 at 20 °C (NP-9EO)
	1.02–1.03 at 25 °C (NP-4EO)
Water solubility (mg/L)	42.5 (NP-12EO)
	9.48 (NP-5EO)
Other solubilities	Kerosene and aliphatic hydrocarbons (low molecular weight
	NPEs), chlorinated and aromatic solvents (intermediate NPEs)
Octanol-water partition coefficient (log	3.59 (NP-9EO)
K _{ow})	4.24 (NP-4EO)
NPE Biodegradation	Readily biodegrade to shorter-chain NPEs and NP
NP Biodegradation	150 days in surface water
	30 days (primary biodegradation in soil)
	300 days (mineralization to CO_2 in soil)
Photodegradation	Possible for NPEs and NPs in water
Vapor pressure	Moderately volatile (low molecular weight NPEs)
	Nonvolatile (high molecular weight NPEs)

88 Data Sources: Dow, 2010; US EPA, 2010; Environment Canada, 2002; Health Canada, 2001.

89 Specific Uses of the Substance:

90 NPEs are characterized as nonionic surfactants, and are used in a variety of industrial cleaning products,

91 processes, agricultural formulations and paints (US EPA, 2010). Specifically, market applications for NPE

92 products fall into one or more of the following basic categories: (1) Industrial, including agriculture, leather

93 processing, metal working, oil field, pulp and paper, textile processing and water treatment; (2) Emulsions

and coatings, including paints and coatings, emulsion polymerization and adhesives; (3) Industrial and

95 institutional cleaning, including hard-surface cleaners, circuit board cleaners, industrial laundry/dry-

96 cleaning detergents, metal cleaning, food and dairy; and (4) Household cleaning, including detergents,

97 laundry prewash, hard-surface cleaners and air fresheners (Dow, 2010). In addition to being used as

98 surfactants in industrial cleaners and adjuvants in pesticide formulations, the nine mole ethoxylate (NP-

99 9EO) serves as the active ingredient in some spermicide contraceptives (US EPA, 2006).

100 In agriculture, NPEs are used as adjuvants and co-formulated with pesticides and biocides (DEPA, 2006). A

101 primary example is the use of NPE as an emulsifier in iodine-based teat dips, which are applied to the teats

- of milk producing animals as antimicrobial medical treatments in both conventional and organic livestock
 production (US EPA, 2006). Generally referred to as "iodophors," these complexes consist of up to 20% I₂
- by weight in loose association with NPEs and other nonionic surfactants (Lauterbach & Uber, 2011). NPE
- 105 surfactants are also used as adjuvants in herbicides and various other pesticide products applied to crops.
- 106 Surfactants can be defined as adjuvants that "facilitate and accentuate the emulsifying, dispersing,
- 107 spreading, wetting, or other surface modifying properties of liquids" (Czarnota & Thomas, 2013) or more
- broadly as "any nonpesticidal material added to a pesticide product or pesticide spray mixture to enhance
- 109 the pesticide's performance and/or the physical properties of the spray mixture" (Hock, 2015). As 110 adjuvants, NPE surfactants are widely to enhance the absorption and efficacy of active ingredients in
- adjuvants, NPE surfactants are widely to enhance the absorption and efficacy of active ingredients in
 pesticides. Modern pesticide active ingredients are formulated to dissolve in water; however, the waxy
- surfaces of many insects, fungi and plants make it difficult for water-based spray solutions to penetrate the
- 112 surfaces of many insects, rungi and plants make it difficult for water-based spray solutions to penetrate the 113 target organisms. Adjuvants have been used to overcome this barrier, thereby allowing greater penetration
- 114 of the active pesticidal substances into its target (Czarnota & Thomas, 2013).

115 Approved Legal Uses of the Substance:

- 116 According to US EPA, NPE products are used or allowed for use in a wide variety of applications. These
- 117 include use as detergents, cleaners, degreasers, dry cleaning aids, petroleum dispersants, emulsifiers,
- 118 wetting agents, adhesives, agrochemical (including indoor pesticides), cosmetics paper and textile
- 119 processing formulations, prewash spotters, metalworking fluids, oilfield chemicals, paints and coatings,
- and dust control agents (US EPA). US EPA only regulates the uses of NPEs associated with pesticide
- 121 products (i.e., herbicides, insecticides, fungicides, rodenticides and antimicrobials). When used as
- surfactants and adjuvants (i.e., inert ingredients) in pesticides, residues of NPEs applied to crops during
- and after the growing season and to animals are exempt from the requirement of a tolerance (40 CFR
- 180.910 and 180.930). US EPA has also approved tolerance exemptions for NPEs as active and inert
 ingredients used in antimicrobial food-contact surface sanitizing solutions (40 CFR 180.940). In crop
- 126 production (40 CFR 180.910), US EPA provides tolerance exemptions for NPE, described as follows:
- 120 production (40 CFN 100.910), 05 EFA provides tolerance exemptions for NPE, described as follows:
- 127 α -(p-Nonylphenol)- ω -hydroxypoly(oxyethylene) produced by the condensation of 1 mole of nonylphenol128(nonyl group is a propylene trimer isomer) with an average of 4–14 or 30–90 moles of ethylene oxide; if a129blend of products is used, the average number of moles of ethylene oxide reacted to produce any product that130is a component of the blend shall be in the range of 4–14 or 30–90.
- 131 Although NPEs were once commonly used in household laundry detergents, US EPA and the detergent
- 132 manufacturers have cooperated to eliminate their use in this context. Based on toxicity concerns and the
- 133 persistence of NP in the environment, US EPA began working with manufacturers in 2010 to initiate a
- voluntary phase-out of NPEs in industrial laundry detergents by 2013 for liquid detergents and 2014 for
- powdered detergents (US EPA, 2010). The Agency also intends to encourage the manufacturers of all NPE-
- containing direct-release products, such as firefighting gels and foams, dust-control agents and deicers, to
- 137 transition to NPE-free formulations. Lastly, US EPA intends to encourage the elimination of NPE use in
- other industries that discharge NPEs to water, primarily in the pulp and paper processing and textile
 processing sectors (US EPA, 2010). In comparison, EU member states and industry agreed to phase out
- 140 NPEs in all detergent applications in the respective countries by the year 2000 (WHO, 2004).
- 141 The US Food and Drug Administration (FDA) regulates a number of legal uses for various NPE
- formulations as indirect food additives. According to 21 CFR 175.105, NPE "produced by the condensation
- 143 of 1 mole of p-nonylphenol (nonyl group is a propylene trimer isomer) with an average of 1–40 moles of
- 144 ethylene oxide" is an approved component of adhesives intended for use in packaging, transporting or
- holding food. The "nonylphenol reaction product with 9 to 12 molecules of ethylene oxide" may be used as
- 146 an adjuvant substance in antimicrobial slimicides formulations used to control slime in the manufacture of
- paper and paperboard (21 CFR 176.300). NPEs with an ethoxylate content of 11 or 15–18 moles may be
- used as adjuvants in sanitizing solutions with antimicrobial quaternary ammonium compounds [(n-alkyl
- 149 (C12–C18) benzyl dimethyl ammonium chloride and n-alkyl (C12–C14) dimethyl ethylbenzyl ammonium
- chloride (having average molecular weights of 377 to 384)] for use on food-processing equipment and utensils prior to contact with food (21 CFR 178.1010). Lastly, 21 CFR 178.3400 describes the use of NPEs
- utensils prior to contact with food (21 CFR 178.1010). Lastly, 21 CFR 178.3400 describes the use of NPEs
 (average ethoxylate content of 4–14 or 30–50 moles) as emulsifiers and/or surface-active agents (i.e.,

- 153 surfactants) in the manufacture of products intended for use in producing, manufacturing, packing,
- 154 processing, preparing, treating, packaging, transporting or holding food.

155 Action of the Substance:

- 156 NPEs are amphipathic, meaning they have hydrophilic ("water-attracting") groups at one end of the
- 157 molecule and hydrophobic ("water-avoiding") groups at the opposite end. The hydrophilic "head" attracts
- 158 water and the hydrophilic "tail" attracts poorly water-soluble substances, such as oils and greases (US
- EPA, 2010). The ability of NPEs and other surfactants to simultaneously attract water and hydrophobicsubstances makes these materials useful in agricultural, industrial and residential applications.
- 161 Surfactant/detergent uses therefore account for nearly 80% of NPE consumption (US EPA, 2010).
- 162 In crop production, adjuvants such as NPE are used to break water surface tension, allowing the pesticide
- active ingredient to be more even dispersed on a surface and to reach its target (Czarnota & Thomas, 2013).
- 164 Water molecules are considered bipolar because they have regions of partial positive and negative charge.
- 165 Water molecules within the bulk liquid experience attractions to neighboring molecules in all directions
- 166 due to strong intermolecular forces known as hydrogen bonds (Lower, 2014). Water molecules at the 167 surface, however, experience these forces only sideways and downward, thus creating the "stretched
- 168 membrane" effect. The difference between forces experienced by water molecules within and at the surface
- 169 of the bulk liquid gives rise to the liquid's surface tension (Lower, 2014). Aqueous solutions of some
- pesticides will bead, run off and fail to provide adequate cover when applied to hydrophobic surface (e.g.,
- 171 waxy or hairy plant leaves) due to the surface tension of water (Czarnota & Thomas, 2013; UAP Canada,
- 172 2012). Surfactants such as NPE reduce the surface tension of water in the spray solution to that of an oil or
- 173 solvent, which spreads more readily on plant surfaces (UAP Canada, 2012).

174 <u>Combinations of the Substance:</u>

- 175 Adjuvants such as NPEs may be added to the pesticide solution at the time of formulation or to the spray
- 176 mix just prior to treatment (UAP Canada, 2012). Products consisting solely of adjuvants are not generally
- 177 registered with US EPA, while marketed pesticide products formulated as a mixture of active ingredient(s)
- and adjuvant(s) must be EPA-registered and included in the US EPA Pesticide Product Information System
- 179 (US EPA, 2015). CMR Can-Hance is an activator product (i.e., adjuvant) containing a blend of
- ¹⁸⁰ "nonylphenoxy poly (ethylene oxy) ethanol" and "silicone-polyether copolymer" used to maximize the
- performance of post-emergence herbicides (Brandt, undated). NPEs are also used as adjuvants in
 insecticide products containing the pyrethroid deltamethrin (K-Othrine® SC Insecticide) and disodium
- 182 insecticide products containing the pyrethold denamental (K-Othrine® 5C insecticide) and disodium
 183 octaborate tetrahydrate (Bora-Care) active ingredients (Bayer CropScience, 2011; Nisus Corporation, 2014).
- The latter product is also labeled for use as a fungicide and algaecide on wood. Alternatively, the Real Pine
- 185 Cleaner Disinfectant Deodorizer uses NPE as an adjuvant for the active antimicrobial agent, pine oil (White
- 186 Cap Inc, 2012). NPEs are typically mixed with herbicides, insecticides, fungicides and defoliants prior to
- application based on the small number of commercially available co-formulated products. In organic
- agriculture, NPEs may only be used as adjuvants in combination with natural substances (i.e., essential oils
- and natural products) or synthetic materials approved for specific purposes on the National List.
- 190

191

Status

192 <u>Historic Use:</u>

- 193 NPEs are high-volume chemicals that have been used for more than 50 years as detergents, emulsifiers,
- 194 wetting agents and dispersing agents (Health Canada, 2001). The available literature does not indicate
- when NPEs were first used as adjuvants with agricultural pest control products. In addition, no
- 196 information was identified concerning the historic or present use of NPEs in organic agriculture. Although
- the use pattern in organic agriculture should be similar to conventional practices, the number of approved
- active ingredients with which the substance can be mixed is markedly reduced in organic crop production.

199 Organic Foods Production Act, USDA Final Rule:

- 200 Synthetically produced adjuvants are eligible for use in organic production according to the requirements
- of Section 2118 of the Organic Foods Production Act of 1990 (OFPA). Specifically, the OFPA states that the
- 202 National List may allow the use of substances that would otherwise be prohibited under organic

- regulations (i.e., synthetics) if the substance contains "synthetic inert ingredients that are not classified by
 the Administrator of the Environmental Protection Agency as inerts of toxicological concern" (OFPA)
- 205 2118(c)(B)(ii)). NPE is not listed on the US EPA List 1 Inert Ingredients of Toxicological Concern.
- 206 The NOP final rule currently allows synthetic inert ingredients designated as EPA List 4 Inerts of
- 207 Minimal Concern and EPA List 3 Inerts of unknown toxicity for use with "nonsynthetic substances or
- 208 synthetic substances listed in this section and used as an active pesticide ingredient in accordance with any
- 209 limitation on the use of such substances" in organic crop production (7 CFR 205.601(m)). EPA List 3 inert
- 210 ingredients are permitted for use only in passive pheromone dispensers. In addition, EPA List 4 inert
- ingredients may be used with nonsynthetic substances or allowed synthetic substances in organic livestock
- 212 production (7 CFR 205.603(e)). The following nonylphenol ethoxylate formulations are included on EPA
- List 4B and approved for use as inert ingredients in organic crop and livestock production: ethoxylated pnonylphenol (CAS# 26027-38-3), polyethylene (1,1,3,3-tetramethylbutyl)phenyl ether (CAS# 9036-19-5),
- polyoxyethylene dodecylphenol (CAS# 9014-92-0) and polyoxyethylene nonylphenol (CAS# 9016-45-9).

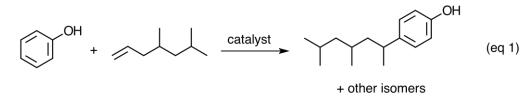
216 International

- 217 A review of the organic regulations and standards from selected international organizations including the
- 218 Canadian General Standards Board (CAN, 2011), Codex Alimentarius Commission (Codex, 2013),
- 219 European Union (EC, 2008), Japanese Ministry of Agriculture, Forestry and Fisheries (JMAFF, 2012) and
- 220 International Federation of Organic Agriculture Movements (IFOAM, 2014) revealed that only Canadian
- regulations explicitly address the use of NPE adjuvants in organic crop production. Canadian organic
- regulations permit the use of NPEs consisted with the rationale developed by the USDA National Organic
- 223 Program. According to the Canadian Organic Production Systems Permitted Substances Lists, "only
- formulants that are classified by the Pest Management Regulatory Agency (PMRA) in Regulatory Note
- REG2007-04 as List 4A or 4B or are non-synthetic may be used with substances in par. 4.3 that are applied directly to crops" (CAN, 2011). The PMRA List of Formulants includes p-nonylphenol ethoxylated
- (CAS#26027-38-3) and polyoxyethylene nonylphenol (CAS#9016-45-9) as List 4B, thereby allowing use of
- these materials in combination with approved active substances (PMRA, 2010).
- 229 230

Evaluation Questions for Substances to be used in Organic Crop or Livestock Production

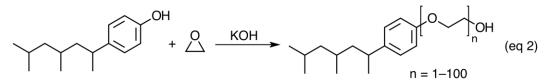
- Evaluation Question #1: Indicate which category in OFPA that the substance falls under: (A) Does the substance contain an active ingredient in any of the following categories: copper and sulfur
- substance contain an active ingredient in any of the following categories: copper and sulfur
 compounds, toxins derived from bacteria; pheromones, soaps, horticultural oils, fish emulsions, treated
- compounds, toxins derived from bacteria; pheromones, soaps, norticultural oils, fish emulsions, freat
 seed, vitamins and minerals; livestock parasiticides and medicines and production aids including
- netting, tree wraps and seals, insect traps, sticky barriers, row covers, and equipment cleansers? (B) Is
- the substance a synthetic inert ingredient that is not classified by the EPA as inerts of toxicological
- concern (i.e., EPA List 4 inerts) (7 U.S.C. § 6517(c)(1)(B)(ii))? Is the synthetic substance an inert
- 237 concern (n.c., Er A Elst 4 merts) (* 0.s.e. 9 051/(c)(1)(b)(1)). Is the synthetic substance an mert
 238 ingredient which is not on EPA List 4, but is exempt from a requirement of a tolerance, per 40 CFR part
 239 180?
- (A) Nonylphenol ethoxylates (NPEs) are used as inert ingredients, and do not contain any of the active
 substances listed in the Organic Foods Production Act (OFPA 2118(c)(B)(i)).
- 242 (B) NPE is not listed on the US EPA List 1 Inert Ingredients of Toxicological Concern (US EPA, 2004b).
- 243 Rather, several forms of the substances are included in EPA List 4B Other ingredients for which EPA has
- sufficient information to reasonably conclude that the current use pattern in pesticide products will not
- adversely affect public health or the environment (US EPA, 2004a).
- 246 <u>Evaluation Question #2:</u> Describe the most prevalent processes used to manufacture or formulate the
- 247 petitioned substance. Further, describe any chemical change that may occur during manufacture or
- formulation of the petitioned substance when this substance is extracted from naturally occurring plant,
- 249 animal, or mineral sources (7 U.S.C. § 6502 (21)).
- 250 The synthesis of NPE is a two-step procedure, first involving production of NP from phenol and alkenes
- via Friedel Crafts alkylation, followed by one or several iterations of the NP ethoxylation reaction using
- excess ethylene oxide and catalytic amounts of potassium hydroxide. Details regarding these individual
- synthetic steps are provided in the following paragraphs.

254 NP is produced on an industrial scale through alkylation of phenol under acid catalysis. According to one 255 production process, a mixture of NP and propylene trimer (a mixture of nonene isomers) undergoes two successive alkylation reactions mediated by a macroporous, anhydrous (water-free) ion-exchange resin 256 257 catalyst at elevated temperatures of 100-120 °C (equation 1). The product mixture of the second reaction step is distilled to afford purified NP, and the phenol and propylene trimer recycled for use in subsequent 258 259 reactions (Fiege, 2000). Due to skeletal rearrangements that occur during the oligomerization of propylene 260 to form nonene, commercial grade nonene generally exists as a complex mixture of alkenes, mostly tri- and 261 tetrasubstituted mono-alkenes (Lorenc, 2003). Nonene is fractionally distilled to produce technical nonene 262 consisting of approximately 90% C9 (nonene) alkenes and 10% C8 (octenes) and C10 (decenes) alkenes. The 263 two commercial purity grades of para-NP are technical grade, which is primarily composed of para-NP (85-90%) with 10-12% ortho-NP and up to 5% 2,4-dinonylphenol, and high purity para-NP containing a 264 minimum of 95% para-NP and only a trace of 2,4-dinonylphenol (Lorenc, 2003). A generic reaction between 265 266 phenol and one component of the propylene trimer mixture is shown in equation one. Other methods of NP synthesis – including Friedel-Crafts alkylations using AlCl₃ or FeCl₃ catalysts – have been used for 267 268 small scale syntheses, but not likely for industrial production of nonylphenol (Boehme, 2010).



269

270 According to US EPA, the primary use of NP is as an intermediate in the manufacture of NPEs. The industrial production of NPE involves reaction of NP with ethylene oxide in the presence of an alkaline 271 272 catalyst, mostly in the form of potassium hydroxide (KOH) (US EPA, 2010; Dow, 2010). The ratio of ethylene oxide to NP determines the length of the ethoxylate (EO) chain and thus the molecular weight of 273 274 the NPE molecules produced (Dow, 2010). These ethoxylation reactions are considered semibatch processes in which the starting NP and base (potassium hydroxide) are charged to the reaction vessel and the system 275 is heated to greater than 100 °C as water is removed to shift the equilibrium from potassium hydroxide 276 277 base to the potassium phenoxide base (McDaniel & Reese, 2009). Air is cleared from the reactor and 278 replaced with inert nitrogen (N_2) gas before ethylene oxide is incrementally added to the system. The rate 279 of ethylene oxide addition is controlled by the ability to dissipate the heat of reaction and maintain ethylene oxide in a safe operating range (McDaniel & Reese, 2009). The process continues until ethylene 280 oxide is completely consumed, at which point the catalyst is neutralized with an organic acid. To increase 281 282 the degree of ethoxylation, shorter-chain NPEs produced in one step may be subjected to subsequent base-283 catalyzed reactions with ethylene oxide until the desired EO count is achieved (McDaniel & Reese, 2009).



284

<u>Evaluation Question #3:</u> Discuss whether the petitioned substance is formulated or manufactured by a chemical process, or created by naturally occurring biological processes (7 U.S.C. § 6502 (21)).

287 According to USDA organic regulations, the NOP defines synthetic as "a substance that is formulated or 288 manufactured by a chemical process or by a process that chemically changes a substance extracted from naturally occurring plant, animal, or mineral sources" (7 CFR 205.2). NPEs are not naturally occurring 289 290 chemicals and are not directly generated from naturally occurring materials. Instead, NPEs and NP precursors must be obtained through chemical synthesis to allow the production of commercially available 291 NPE surfactants and adjuvants. The primary industrial method for NP preparation involves the 292 293 combination of phenol and mixtures of nonenes, both of which are derived from petroleum products and 294 therefore considered synthetic materials. NPs are then subjected to high pressures of ethylene oxide under 295 alkaline conditions to afford the desired NPEs used in combination with pesticides in conventional and 296 organic crop production. It therefore follows that NPEs of varying composition are synthetic substances

297 based on NOP definitions, as well as the use of chemical reagents and solvents during production,

298 processing and product formulation. This assessment is consistent with the NOSB's classification of US

299 EPA List 4B Inerts – which includes several NPEs and related chemicals – as synthetic materials approved

300 for use as inert ingredients with naturally occurring or approved synthetic active ingredients.

301 <u>Evaluation Question #4:</u> Describe the persistence or concentration of the petitioned substance and/or its 302 by-products in the environment (7 U.S.C. § 6518 (m) (2)).

303 Use of NPEs as surfactants and dispersing agents in pesticides will result in releases to the environment

304 through various waste streams. This section provides a summary of available information regarding the 305 environmental fate and persistence of NPEs and their ultimate breakdown product, NP.

306 Nonylphenol Ethoxylates. NPEs readily cycle between environmental compartments¹, and are chemically
 307 broken down according to a variety of degradation processes. If released to the atmosphere, small

oligomers (0–2 ethoxylate units) may exist in both the vapor and particulate phases, while larger oligomers

- are expected to exist solely in the particulate phase (HSDB, 2012). Particulate-phase NPEs may be removed
- 310 from air through both wet and dry deposition. NPEs do not contain chromophores (substituents with

311 photochemical activity) that absorb at wavelength greater than 290 nanometers, and are thus unlikely to be

- 312 susceptible to direct photolysis in sunlight (HSDB, 2012). NPEs released to waterways are likely to adsorb
- to suspended solids and sediment. Volatilization from water surfaces and moist soil is not expected to be
- an important fate process based on the measured Henry's Law constants for NP6EO (4.1×10⁻¹²
- $atm \cdot m^3/mole$) and NP (2.45×10⁻⁹ atm $\cdot m^3/mole$). The estimated bioconcentration factor (BCF) of less than
- 316 1.4 suggests that bioaccumulation in aquatic organisms such as fish and aquatic invertebrates should be

317 low for NPEs (HSDB, 2012). The constituents of NPE mixtures are expected to have moderate mobility in

soils. NP and small oligomers (NP1EO and NP2EO) released to soils are likely to adsorb suspended solids

and sediments, while higher molecular weight NPEs with greater water solubility should not adsorb to

sediment (HSDB, 2012). In addition, NPEs are not expected to volatilize from dry soil surfaces based on the available vapor pressure data (HSDB, 2012).

- 321 available vapor pressure data (HSDB, 2012).
- 322 Microbial biodegradation is the primary breakdown process for NPEs released to soils and waterways.

323 NPEs are released most often to sewage treatment plants, where they are degraded to NPEs with shorter

ethoxylate chains (UE EPA, 2010). Aerobic biodegradation of NPE mixtures in moist soil provides

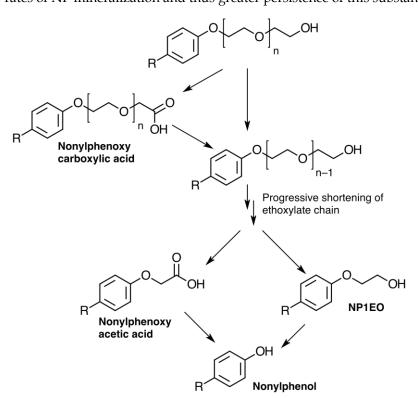
- nonylphenol diethoxylate (NP2EO) and nonylphenol ethoxylate (NP1EO) with 68–91% degradation of the
- parent NPEs within 8–21 days (HSDB, 2012). These shorter-chain NPEs are then further degraded to NP
 (US EPA, 2005). Microbial degradation of NPEs in sewage sludge, soils and waterways occurs though a
- 328 mechanism of stepwise loss of ethoxylate groups to form lower ethoxylated congeners, carboxylated
- 329 products and ultimately NP (Scheme 1). The intermediate and final products of microbial metabolism are
- more persistent than the parent long-chain NPEs; however, soil and water microbes are expected to
- 331 ultimately metabolize these intermediates and mineralize NP to carbon dioxide (Health Canada, 2001).
- 332 Temperature strongly influences the rate of NPE biodegradation, with breakdown of these compounds at
- 22.5 °C occurring at rates 2–10 times the corresponding rate at 13 °C (Soares, 2008). Primary biodegradation
- of longer-chain NPEs is readily achievable, but ultimate biodegradation of NP1EO, NP2EO, carboxylate
- derivatives and NP is considerably slower. Indeed, more than 60% of the higher-chain NPEs that enter
- 336 wastewater treatment plants exit the facility as stable metabolites such as short-chain NPEs and NP in the
- 337 effluents or sludges (Health Canada, 2001).

Nonylphenol. NP is a clear to pale yellow viscous liquid at room temperature with moderate water

- 339 solubility and vapor pressure, indicating the potential for mobility between environmental compartments.
- 340 Indeed, research has indicated that there may be water-to-air volatilization that results in significant
- atmospheric concentrations of NP (Health Canada, 2001). NP is unlikely to be persistent in air due to
- 342 atmospheric hydroxyl radical-mediated degradation pathways (half-life = 0.3 days). In sewage treatment
- plants, NP partitions preferentially adsorbs to sludge biomass; however, the substance is likely to partition
- between water and sediment when released to aquatic environments (US EPA, 2005; Health Canada, 2001).
- 345 In contrast to ethoxylated NP, the phenol core of NP is photosensitive, making NP susceptible to photolysis

¹ The term "environmental compartments" refers to different areas of the environment (e.g., air, water, sediments, and biota) where chemical contaminants may be transported, sequestered and decomposed.

- when present on the surface of water (photolysis half-life = 10–15 hours) (Health Canada, 2001). NP
 undergoes microbial biodegradation in surface waters with an estimated half-life of approximately 150
- days in the absence of light (Health Canada, 2001).
- 349 Studies have found that NP residues are more persistence in landfills under anaerobic conditions when
- 350 compared to aerobic conditions, suggesting similar decomposition behavior for NP in soils. A variety of
- chemical and environmental factors influence biodegradation of NP; as such, half-lives for NP aerobic
- 352 (with oxygen) degradation in sewage sludge and sediment ranged range from 1.1 to 99 days (Mao, 2012).
- The U.K. Environment Agency estimated that primary biodegradation of NP in soils occurs with a half-life of about 30 days, while ultimate mineralization of NP to carbon dioxide has an estimated half-life of 300
- days (Health Canada, 2001). Biodegradation experiments using laboratory soil microcosms (small
- 356 replicated soil environments) supplemented with NP-contaminated sewage sludge demonstrated that
- 357 microorganisms capable of mineralizing NP are naturally occurring in cultivated agricultural soils, as well
- as non-cultivated and pristine arctic soils (Topp & Starratt, 2000). A related study demonstrated rapid
- disappearance of initial NP soil concentrations of 5.5 mg/kg soil, and reported that NP was undetectable in
- these soils after 90 days (Health Canada, 2001). The work of Topp & Starratt (2000) indicates that soil contaminated with high concentrations of NP under conditions of low temperature and soil oxygen levels
- 361 contaminated with high concentrations of NP under conditions of low temperature and soil
 362 will exhibit slower rates of NP mineralization and thus greater persistence of this substance.



363

- Scheme 1. Microbial metabolism of NPEs likely involves the progressive removal of ethoxylate groups
 through either ether cleavage or terminal alcohol oxidation followed by cleavage of the resulting
 carboxylic acid. Adapted from Warhurst, 1995.
- 367 <u>Evaluation Question #5</u>: Describe the toxicity and mode of action of the substance and of its
- 368 breakdown products and any contaminants. Describe the persistence and areas of concentration in the 369 environment of the substance and its breakdown products (7 U.S.C. § 6518 (m) (2)).
- environment of the substance and its breakdown products (7 0.5.C. 9 0516 (iii) (2)).
- Although NPEs can be irritating to the skin and eyes, NPEs with greater than three EO groups are
- classified as slightly toxic to practically non-toxic on an acute exposure basis (Bakke, 2003). NP9EO is
- considered minimally to severely irritating to rabbit skin, and moderately to severely irritating to rabbit
- eyes. Acute doses of NP9EO lethal to 50% of the experimental population (LD_{50}) are somewhat variable
- among experimental animals, with LD₅₀ ranges of 1,410–5,600 mg/kg in rats and 620–4,400 mg/kg in
- rabbits, mice and guinea pigs (Bakke, 2003; Health Canada, 2001). The corresponding acute LD_{50} values for

the shorter-chain NP4EO in rats vary between 4,290 and 7,400 mg/kg (Health Canada, 2001). Based on the low level of toxicity observed in acute exposure studies for NP9EO and NP4EO, NPEs would be placed in

US EPA Toxicity Categories III or IV as slightly toxic to practically non-toxic substances (Bakke, 2003). The
 toxicity of NP is somewhat higher than that observed for NP4–9EO; however, the LD₅₀ values for NP (580–
 1,620 mg/kg) indicate that NP is slightly toxic (Toxicity Category III) to mammals (Health Canada, 2001;

381 Bakke, 2003).

382 Subchronic and chronic testing of NPE and NP in rats and beagles indicates that the liver and kidney are

the organs most likely affected by exposure to these substances (Bakke, 2003). In a 90-day subchronic
 feeding study, rats were fed NP9EO in the diet at doses of 10, 50, 250 and 1,250 mg/kg-day. There was a

- slight decrease in kidney weight and liver polysaccharide content at the 50 mg/kg-day and higher dose
- levels, leading to determination of a No Observed Adverse Effect Level (NOAEL) of 10 mg/kg-day. The
- main adverse effect observed in a related rat feeding study was retardation of weight gain at dietary
 NP9EO intakes exceeding 65 mg/kg-day (Bakke, 2003). It is unclear based on the available information
- whether the reduction in body weight gain is a result of decreased consumption of NPE contaminated
- 390 food. In a third study, increased liver weight was observed in both male and female rats exposed to
- 391 moderate NP9EO doses of 66–75 mg/kg-day in rat feed (Bakke, 2003). Compared to rats, beagles are
- significantly less sensitive to NPE dietary exposure on a subchronic (90-day feeding) basis. Only a decrease
- in body weight gain related to a voluntary decrease in contaminated food consumption was observed in
- beagles at the highest doses of NP9EO tested (approximately 170 and 1,200 mg/kg-day). The NOAEL for
- this beagle study is approximately 11–15 mg/kg-day. In other dietary beagle studies using NP4EO,
- vomiting occurred during the first three weeks of dietary exposure at high doses (200 mg/kg-day);
 however, changes in liver weight were not consistently observed even at high doses (Bakke, 2003).
- 398 Chronic exposure to NPE and NP has also been evaluated in laboratory animals. Few adverse effects have
- been reported as a result of daily dietary exposure of rats and beagles to NP9EO and NP4EO over the
- 400 course of two years. Indeed, only reduced weight gain was observed in rats provided high dietary doses
- 401 (200 and 1,000 mg/kg-day) due to poor palatability of the diet. The only effect attributable to treatment in
- 402 beagles was an increase in relative liver weight at the highest dose tested (88 mg/kg-day) (Bakke, 2003). No
- 403 evidence of carcinogenicity was reported in 2-year chronic oral toxicity studies of NP9EO with rats and
- 404 dogs. NPEs do not appear to be immunotoxic or neurotoxic at doses considered protective of kidney or
- 405 liver effects. No evidence of carcinogenicity was reported in any of the two-year chronic toxicity studies of
- NP9EO with rats and dogs. Further, intravaginal dosages of NP9EO in rats of up to 20 times the rates
 recommended for use in humans as a spermicide administered over the course of two years provide no
- recommended for use in humans as a spermicide administered over the course of two years provide no
 evidence of carcinogenicity related to NPE exposure. The available chronic exposure studies also provide
- 409 no evidence of carcinogenesis for NP (Bakke, 2003).
- 410 It should also be noted that ethylene oxide has been found in NP9EO at low levels (i.e., up to 12.2 mg/L) in
- unreacted form as a residual substance from the manufacturing process (Bakke, 2003). Ethylene oxide has
- been described as a probable human carcinogen with sufficient evidence in experimental animals to
- support a finding as a carcinogen (IARC, 2014; OEHHA, 2014). The substance also exhibits mutagenic
- 414 activity in laboratory testing (Bakke, 2003). Based on the available information, it is uncertain whether
- 415 manufacturing processes have been improved to reduce the concentration of ethylene oxide in finished
- 416 NPE formulations.
- 417 NP and NPE exhibit estrogenic activity based on the results of both *in vitro* and *in vivo* tests involving
- 418 terrestrial and aquatic organisms (Bakke, 2003). Endocrine disruptors are substances that can disturb the 419 hormal system by mimicking the occurrence of naturally occurring hormones (e.g., estrogen), blocking
- 419 normal system by numericing the occurrence of naturally occurring normones (e.g., estrogen), blocking420 their production, and/or inhibiting or stimulating the endocrine system. NP and, to a lesser extent, short-
- 420 then production, and, or minioring or summating the endocrine system. NP and, to a lesser extent, short-421 chain NPEs have been found to mimic the natural hormone 17- β -estradiol by competing for the binding
- 422 site of the estrogenic receptor due to their structural similarities of these species (Soares, 2008). The
- 423 available data suggests that, in comparison to natural estrogen 17-β-estradiol, NP is approximately 1,000 to
- 424 100,000 times weaker in eliciting estrogenic responses (Bakke, 2003). NPE has been found to be weakly
- 425 estrogenic using *in vitro* tests, but is less potent than NP by one to three orders of magnitude (i.e., 10X to
- 426 1,000X). One study found that NP, NP1EO and NP2EO stimulated cell proliferation in MCF-7 human
- 427 breast cancer cells, which indicated estrogenic activity. In contrast, results of a recombinant estrogen yeast

428 screen assay showed that NP12EO exhibited no estrogenic effects (Bakke, 2003). A three-generation feeding 429 study found reproductive effects - including decreased epididymal sperm density and testicular spermatid head counts (males), as well as increased estrus cycle length and decreased ovarian weights (females) -430 only in experimental rats exposed to the highest levels of NP (650 and 2,000 mg/kg) in the diet (NTP, 1997). 431 432 Based on the body of available data, it has been concluded that NPEs with greater than three EO groups 433 have little, if any, estrogenic effect, and the estrogenic activity of these substances appears to decrease with 434 increasing EO number. As described in Soares et al. (2008), the endocrine disrupting potential of NP is 435 complicated:

436The capacity of nonylphenol to disrupt the endocrine system, measured as the no-observed-effect437concentration (NOEC), is frequently found to be far higher than the concentrations of nonylphneol found in438the environment. However, this does not mean that nonylphenol is not implicated, but rather in the439environment there exists more than one stress factor or contaminant that might adversely affect the440organism. In the case of xenoestrogens, it has been demonstrated in several investigations that the effect of441two or more compounds with endocrine disruption capacity, even at low concentrations, can be additive or442synergistic.

A limited amount of information is available regarding the toxicity of NPE and NP to other terrestrial

organisms, including birds, invertebrates and plants. In one study, bobwhite quail chicks exposed to

- 445 NP9EO in the diet for five days at concentrations ranging from 0 to 5,000 parts per million (ppm) exhibited
- no adverse behavioral effects, and no mortality was observed in this study Bakke, 2003). Toxicity to
- terrestrial invertebrates is commonly assessed using the honey bee. One such study involved the
- 448 application of excessively high spray volumes of aqueous surfactant solutions to anesthetized bees placed 449 in a petri dish. For the alkylphenol ethoxylate (APE) surfactant, concentrations up to 0.1% in water at a rate
- 449 In a petri dish. For the alkylphenol ethoxylate (AFE) surfactant, concentrations up to 0.1% in water at a rate 450 equivalent to 205–250 gallons per acre were applied to test bees. It was found that concentrations above

450 equivalent to 205–200 gallors per acre were applied to test bees. It was found that concentrations above 451 0.031% resulted in increased mortality over controls; however, the authors speculated that the observed

452 lethal effects are due to drowning rather than the toxicity of the surfactant (Bakke, 2003).

453 Concerns have been expressed regarding acute and chronic toxicity of NPE and NP to aquatic organisms

454 (Bakke, 2003; US EPA, 2010). Ninety-six hour 50% lethal concentrations (LC₅₀) for exposure of fathead

455 minnow, rainbow trout and Japanese medaka to NP9EO in standard toxicity tests range from 4–14 ppm,

- 456 indicating NPEs are slightly to moderately toxic to aquatic organisms (Bakke, 2003). In addition,
- subchronic NOECs for NPEs typically range from 1–23 ppb based on laboratory studies using various fish
 species. Acute and chronic toxicity values for NP9EO are one to three orders of magnitude lower than the
- 458 species. Acute and chronic toxicity values for NP 9EO are one to three orders of magnitude lower main in 459 corresponding values for NP, with the toxicity values of NPEs tending to decrease with increasing
- 460 ethoxylation (EO groups). However, the results of one study in *Moina macrocopa* (a type of water flea)
- 461 indicated that the toxic effects of NP10EO and NP mixtures are more severe than those related to the
- 462 individual chemicals for this aquatic invertebrate species (Hu, 2014). The available acute and chronic
- 463 toxicity data of NP to aquatic organisms indicates that NP is highly toxic to fish, aquatic invertebrates and
- 464 aquatic plants. Indeed, most 96-hour LC_{50} values for exposure of tested fish species to NP range from 0.1–
- 465 0.46 ppm (Bakke, 2003).
- Similar to the result for mammals, experimental studies have indicated that the direct toxicity of NP may
- 467 be of greater concern than estrogenic effects (Schwaiger, 2000). The relative toxicity (based on LC₅₀) of
- 468 NPEs and NP can be expressed as follows: NP > NP1-3EO > NP1-3EC > NP4-9EO, where EO refers to
- ethoxylates and EC refers to carboxylate breakdown intermediates (Health Canada, 2001). Likewise, the
- relative estrogenic potency of these species can be represented as NP > NP1-2EO > NP1-2EC > NP9EO
- 471 (Health Canada, 2001). Multiple studies have demonstrated the ability of NP and the short chain congeners
- 472 NP1EO and NP2EO to increase vitellogenin levels in the isolated hepatocytes of rainbow trout as well as
- the serum of adult male and female fish species (Bakke, 2003). Vitellogenin is a protein that naturally
 occurs in the serum of adult female non-mammalian species during egg production; its presence in the
- blood of male fish can thus serve as an indicator of exposure to endocrine active substances (Bakke, 2003).
- 475 blood of male fish can thus serve as an indicator of exposure to endocrine active substances (barke, 2005).
 476 In a year-long exposure study, NP induced vitellogenin production at concentrations as low as 1–10 µg/L,
- 476 If a year-long exposure study, Nr induced vitelogenin production at concentrations as low as 1–10 µg/ L,477 equivalent to one part per billion in some of the exposed rainbow trout (Ackermann, 2002). It was further
- determined that these field-realistic NP concentrations that cause increased vitellogenin product had no
- 478 determined that these field-realistic for concentrations that cause increased vielogenin product had no
 479 effect on rainbow trout hatching rate, body weight, sex ratios of offspring, or the development of testis/ova
- 479 in males. The induction of vitellogenin may thus be the most sensitive endpoint for exposure to NP, but

- does not necessarily correspond to reproductive harm to male fish at low concentrations (Ackermann,2002).
- 483 Based on the available aquatic toxicity data, non-reproductive toxicity is likely to be more critical to
- 484 experimental animals than toxicity associated with the estrogenic activity of NP. Reproductive effects
- potentially associated with the endocrine activity of NP, such as programmed cell death in spermatocytes
- 486 and other testicular cells of exposed Japanese rice fish, only occurs at relatively high concentrations (e.g.,
- 487 100 μ g/L) (Bakke, 2003). Further, the 90-day NOEC for NP in rainbow trout based on growth effects is 488 6 μ g/L, while the minimum concentration required for vitellogenin induction in the same species is
- 6 μg/L, while the minimum concentration required for vitellogenin induction in the same species is
 20 μg/L (Bakke, 2003). The monitored concentrations of NP in United States lakes and rivers, which
- 490 typically ranges from 0.01 to 1.0 μ g/L, suggests that vitellogenin induction and any related reproductive
- 491 effects are unlikely to result from NP exposure in US waters not directly adjacent to an NP application or
- 492 spill site (US EPA, 2010).

493 <u>Evaluation Question #6:</u> Describe any environmental contamination that could result from the 494 petitioned substance's manufacture, use, misuse, or disposal (7 U.S.C. § 6518 (m) (3)).

- 495 There is general agreement among experts in the scientific community that widespread, low-concentration
- 496 environmental contamination with NPEs and NP exists (Porter & Hayden, 2002). The most common route
- 497 of NPE and NP entry into the environment is through wastewater, although large-scale use of NPEs as
- dispersing agents with pesticides will also result in releases to soil, groundwater and nearby surface
- 499 waters. Landfilling of sludge, or the application of sewage sludge or pulp and paper mill sludge to
- agricultural soils also represent common pathways for these substances to the terrestrial and even aquatic
- environments (Careghini, 2014). NPEs used in domestic laundry detergents, industrial soaps and cleansers,
- cosmetics and paints are discharged to the sewer system and travel to wastewater treatment plants. Under
- 503 the anaerobic conditions found in sewers, sediments and most treatment operations, NPEs are biodegraded
- to the more persistent contaminant NP according to the stepwise loss of ethoxylate groups described in
 Evaluation Question #4. It seems clear that contamination of the terrestrial or aquatic environment
- associated with use of NPEs will occur in the form of more highly toxic and persist NP.
- 507 The high degree of hydrophobicity indicates that NP should preferentially adsorb to biosolids, sediments 508 and soils. High concentrations of NP (i.e., 1.45–2.43 mg/kg dry weight) were measured in soils treated with
- sewage sludge, with detectible residues levels persisting for up to eight years after amendment with sludge
- 500 had ceased. In contrast, the reported NP concentrations in unamended soils, soils fertilized with manure or
- with limited amounts of sewage sludge were between 0.01 and 0.98 μ g/kg dry weight (Careghini, 2014).
- 512 Variability of up to four orders of magnitude were observed in the dataset for NP residues in sediment,
- with reported concentrations ranging from 3.6 μ g/kg to 72 mg/kg dry weight. The higher concentrations
- 514 observed in sediments were generally associated with specific point sources, such as industrial plants or
- 515 large volumes of domestic wastewater entering a river as it flows through urban areas. Careghini *et al.*
- 516 (2014) remark specifically "the presence of NP in freshwater sediments was primarily ascribed to domestic
- and industrial wastes and to a lesser extent by agricultural activities (pesticide applications, sludge
- amendment, and irrigation with wastewater)." However, the use of NPEs in organic agriculture is
- 519 ultimately tied to the environmental contamination resulting from the industrial production of NPE
- 520 adjuvants and associated waste streams from these processes.
- 521 In addition to soils and sediments, NP has also been detected in groundwater and various surface waters.
- 522 Recent monitoring of groundwater resulted in measured NP concentrations ranging from below the limit
- of detection to $3.85 \,\mu\text{g/L}$ (Careghini, 2014). According to Luo *et al.* (2014), the observed NP contamination
- of groundwater is likely associated with the percolation of landfill leachate, water drainage from
- agricultural land and/or seepage from septic tanks and sewer systems. Measured NP concentrations in
- surface waters range from 0.0003 to 37.3 μ g/L (Careghini, 2014). When working in a single location,
- 527 researchers often observe higher concentrations in surface sediments than in surface waters due to the high
- 528 hydrophobicity and propensity of NP to adsorb to suspended solids and eventually accumulate in 520 sodimente. NP is spatially distributed similarly in surface waters and sodimente, with the highest levels
- 529 sediments. NP is spatially distributed similarly in surface waters and sediments, with the highest levels 530 closely related to the influx of industrial or domestic wastewater discharges as well as wastewater
- 530 closely related to the minux of industrial or domestic wastewater discharges as well as wastewater 531 treatment plant effluents (Careghini, 2014). NP concentrations are generally lower in the surface waters of
- rural and agricultural areas when compared to levels in urbanized and industrialized sections of a

- particular river (Careghini, 2014; Luo, 2014). Despite the general assumption that agricultural lands are 533 534 minor point sources of NP, an Italian study reported NP concentrations in water samples collected from an 535 agricultural segment of the Tiber River in excess of 0.3 µg/L (Patrolecco, 2006). These NP concentrations
- 536 are thus comparable to levels detected in effluents from wastewater treatment plants (Careghini, 2014).
- Certain food items may also contain significant NP residues due to direct application in pesticide sprays 537
- 538 and/or contamination of waters, sediments and agricultural land with NPE and NP. Monitoring studies by
- 539 Dodder *et al.* (2014) reported maximum NP residues levels exceeding 50 μ g/kg and detection frequencies
- of greater than 50% in the tissues of mussels collected along the California coast. The data supports a 540
- 541 general trend of increasing NP residue levels in mussel tissue with increasing urbanization of the sampling
- 542 site. High NP concentrations have also been reported in related studies of edible marine species from Asia and Europe (Careghini, 2014). Likewise, measured NP residue concentrations in commercially available 543
- 544 vegetables and fruits varied between five and 50 μ g/kg food weight (Careghini, 2014). For example, Lu *et*
- al. (2013) detected residues of both branched and linear NP in the tissues of pumpkin, carrot, citrus and 545
- 546 apple samples purchased in Florida, with the highest concentration of 18.5 μ g/kg being reported for citrus.
- 547 Experiments investigating the accumulation of NP in various parts of lettuce and collard plants indicate
- minimal translocation of NP from the roots to upper leaves when plants are grown soils contaminated with 548
- 549 NP. Indeed, Dodgen et al. (2013) reported NP concentrations of 1.18-4.31 µg/kg (lettuce) and 3.79-
- $6.95 \,\mu\text{g}/\text{kg}$ (collards) in the stems and leaves versus 926.9 and 339.2 $\mu\text{g}/\text{kg}$ in the roots of lettuce and 550
- 551 collards, respectively.
- NPEs do not exhibit the high levels of toxicity, estrogenic activity or environmental persistence associated 552
- with NP. However, release of NPEs to the environment from agricultural and consumer products 553
- 554 ultimately leads to the introduction of more highly toxic and persistent NP residues. A lifecycle analysis of
- NPEs therefore highlights a conflict between use of these substances and the principles of organic 555
- 556 agriculture, which seeks to avoid contamination of the environment with toxic and persistent substances.
- Evaluation Question #7: Describe any known chemical interactions between the petitioned substance 557
- 558 and other substances used in organic crop or livestock production or handling. Describe any 559 environmental or human health effects from these chemical interactions (7 U.S.C. § 6518 (m) (1)).
- According to the Materials Safety Data Sheet (MSDS) for Tergitol® NP-9, NPEs are not chemically 560
- compatible with acids, strong bases and strong oxidizing agents (Sigma Aldrich, 2014). Further, any other 561
- materials that may react with hydroxyl groups should not be combined with or brought into proximity of 562 NPE solutions to avoid potentially violent chemical reactions (Dow, 2010). The MSDS recommends that 563
- 564 manufacturers and users of NPE products keep containers of these materials tightly closed in dry and well-
- ventilated locations for safe storage and to avoid reactions with incompatible substances. Opened 565
- containers of NPEs should be carefully resealed and kept upright to prevent leakage to the surroundings. 566
- 567 The available literature also indicates that NPE-based surfactants are unlikely to interact, either
- synergistically or antagonistically, with the herbicides commonly applied in forestry with regard to human 568
- health impacts (Bakke, 2003). Rather, adjuvants such as NPEs used with herbicide and other pesticide 569
- 570 sprays break water surface tension to allow more even distribution of the pesticide active ingredient on the
- 571 target surface (Czarnota & Thomas, 2013).

Evaluation Question #8: Describe any effects of the petitioned substance on biological or chemical 572 573 interactions in the agro-ecosystem, including physiological effects on soil organisms (including the salt 574 index and solubility of the soil), crops, and livestock (7 U.S.C. § 6518 (m) (5)).

- 575 Domene et al. (2009) conducted a series of experiments evaluating the acute toxicity and sublethal effects
- 576 associated with exposure of plants, earthworms and other soil invertebrates to soil mixtures containing
- NP8EO or NP. In comparison mammalian and aquatic toxicity tests, the chemicals tested showed 577
- 578 contrasting toxicity, with NP being significantly more toxic than NP8EO. The authors also reported
- 579 substantial differences in sensitivity among of the tested species to these chemicals. Only relatively high
- 580 NP8EO concentrations in excess of 10 g/kg soil would be required to suppress germination by 50% relative
- to controls (EC₅₀) in *Brassica rapa* (a dicot plant) and *Lolium perenne* (a monocot plant), suggesting NPEs are 581
- 582 not acutely toxic to plants (Domene, 2009). The test plants were more sensitive to NP exposure, but only
- moderate toxicity was observed since EC_{50} values were consistently above 1 g/kg soil (i.e., the threshold 583
- concentration of concern). NP8EO and NP were also tested in three soil invertebrate species, including 584

Eisenia andrei (earthworm), Enchytraeus crypticus (annelid worms) and Folsomia candida (soil arthropod) – 585 were less sensitive to NP8EO compared to NP. Concerning the effects on survival of these invertebrates, 586 NP8EO exhibited a lower acute toxicity (LC_{50} = 747 to greater than 3,000 mg/kg) compared to the effects 587 observed for NP (LC_{50} = 69 to 615 mg/kg). Reproduction of these invertebrates was effected at somewhat 588 589 lower concentrations for NP8EO (EC_{50} = 356 to 1,876 mg/kg) and NP (64 to 226 mg/kg). Effects on 590 earthworm total biomass occurred at higher concentrations of both substances in soils (Domene, 2009). The 591 authors conclude there is a low ecological risk of NPEs for plants and soil invertebrates since the normal 592 soil concentrations (i.e., below 2.6 mg/kg) are significantly lower than any of the concentrations associated 593 with toxicity in this study.

594 Naturally occurring soil microbes are capable of utilizing NPE and NP with little or no lag phase, at 595 applied concentrations of NP in the soil ranging from one to 250 mg/kg. These observations suggest a general lack of toxicity of both NPE and NP to most soil microorganisms at concentrations typically 596 597 observed in soils (Bakke, 2003). Few studies have demonstrated effects of NPEs on bacteria, which 598 generally appear to be more tolerant of NPEs than other organisms (Health Canada, 2001). Toxicity studies 599 using Photobacterium phosphoreum and other bacterial strains revealed a decrease in toxicity with NPEs of 600 increasing EO chain length, growth inhibition only at high concentrations, and growth stimulation at low, environmentally relevant concentrations (Health Canada, 2001). Mineralization of the more persistent 601 602 metabolite (NP) has been observed in a variety of soil types – including agricultural soils with various 603 textures, non-cultivated temperate soils and soils from the Canadian tundra – through the metabolic 604 actions of presumably diverse microbial populations (Topp & Starratt, 2000). Although NP undergoes 605 aerobic degradation in water, sediment and soil systems, high concentrations of the substance can be toxic

- 606 to microorganisms (Careghini, 2014).
- 607 Little information is available regarding the potential or actual impacts of the substance on endangered
- 608 species and populations or the potential for measurable reductions in genetic, species or eco-system
- 609 biodiversity. NP has exhibited estrogenic activity in numerous laboratory studies; however, the doses of
- 610 NP required to elicit estrogenic responses are generally higher than the endpoints for non-reproductive
- toxicity. In studies of terrestrial invertebrates, reproductive effects associated with NPE and NP exposure
 occur at concentrations significantly higher than environmentally relevant levels in soil. Higher
- 613 concentrations of NPEs and NP in soils resulting from large-volume spills could impact the reproductive
- 614 health and survival of beneficial soil invertebrates, such as earthworms. Likewise, higher concentrations of
- 615 these substances could impact biodiversity in the affected area since tolerant species can more easily adapt
- 616 to these environmental stressors.

617 <u>Evaluation Question #9:</u> Discuss and summarize findings on whether the use of the petitioned

- substance may be harmful to the environment (7 U.S.C. § 6517 (c) (1) (A) (i) and 7 U.S.C. § 6517 (c) (2) (A)
 (i)).
- 620 Pesticide mixtures containing NPE surfactants are applied in agricultural settings to control weeds, insects
- and plant pathogens. NPEs will necessarily be released to the environmental according to this use pattern
- in conventional and organic crop production.
- 623 The constituents of NPE mixtures are expected to have moderate mobility in soils, with variation in this
- 624 parameter based on the degree of ethoxylation (HSDB, 2012). Only the metabolite NP and smaller
- oligomers (NP1EO and NP2EO) expected to adsorb suspended solids and sediments. Microbial
- degradation is the primary break down process, and occurs rapidly for NPEs with greater than three EO
- groups. Under aerobic conditions, higher molecular weight NPEs biodegrade to NP1EO and NP2EO with
 68–91% conversion over the course of 8–21 days (HSDB, 2012). The short chain oligomers are more slowly
- 629 transformed to NP, which is itself persistent in the environment. According to Health Canada (2001), more
- 630 than 60% of the higher-chain NPEs that enter wastewater treatment plants exit the facility as stable
- 631 metabolites such as short-chain NPEs and NP in the effluents and sludges. The ultimate metabolite, NP, is
- 632 persistent in soil and water with respective half-lives of 30 and 150 days (Health Canada, 2001).
- 633 NPEs are generally considered low to moderate toxicity surfactants based on studies in terrestrial and
- aquatic organisms. In mammals, NP and the small oligomers NP1EO and NP2EO have exhibited weak
- 635 estrogenic activity in cell culture assays and live animal studies. The available data suggests that, in
- 636 comparison to the natural estrogen substrate 17-b-oestradiol, NP is approximately 1,000 to 100,000 times

weaker in eliciting estrogenic responses in mammalian species (Bakke, 2003). Acute and chronic toxicity
 doses for NP9EO are one to three orders of magnitude higher (i.e., lower toxicity) than the corresponding

- values for the persistent NP metabolite, with decreasing toxicity observed in NPEs with higher degrees of
- 640 ethoxylation. In contrast to the toxicity level in mammals, the NP metabolite is highly toxic to aquatic
- 641 organisms (i.e., fish and aquatic invertebrates) based on lethal concentrations and non-reproductive
- 642 endpoints determined in acute toxicity studies (Bakke, 2003). Low-level exposure to NP has consistently
- resulted in vitellogenin induction in both male and female fish; however, reproductive effects associated
- 644 with NP have not been observed at environmentally relevant concentrations. Therefore, the high acute
- 645 toxicity and non-reproductive effects are considered more sensitive endpoints compared to the potential
- 646 for endocrine disruption in fish.
- 647 Virtually every environmental compartment can be contaminated through the use of NPEs. These
- substances generally enter the environment through wastewater, although large-scale applications of NPE
- 649 dispersing agents in pesticide mixtures will also result in releases to soil, groundwater and neighboring
- surface waters. In the long term, contamination associated with NPE use occurs in the form of the more
- toxic and persistent metabolite, NP. Indeed, low to moderate concentrations of NP have been detected in
- 652 soils, groundwater and surface water, as well as organisms that live and grow in these media, such as fish,
- shellfish and various crops (Careghini, 2014). NP concentrations ranging from a few to several hundred
 parts per billion are commonly found in the tissues of shellfish (e.g., mussels) and raw agricultural
- parts per billion are commonly found in the tissues of shellfish (e.g., mussels) and raw agricultural
 commodities, including pumpkin, carrots, citrus and apples (Dodder, 2014; Lu, 2013). The ecological effects
- associated with chronic exposure to low concentrations of these chemicals remains uncertain.

Evaluation Question #10: Describe and summarize any reported effects upon human health from use of the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i)) and 7 U.S.C. § 6518 (m) (4)).

- 660 Few adverse effects have resulted from NPE exposure in laboratory animals and human volunteers. NPEs
- 661 containing four to nine ethoxylate groups are classified as slightly toxic to practically non-toxic to
- mammals and are thus assigned to US EPA Toxicity Category III or IV (Bakke, 2003). Contact dermatitis
- and contact photosensitivity have been reported in humans following exposure to NPE mixtures in
- consumer products (Bakke, 2003). Studies have specifically investigated the effects of human exposure to
- 665 mixtures primarily consisting of NP6EO, NP10EO and NP12EO. In one experiment, application of NP4EO
- to the skin on the back resulted in faint to moderate erythema in approximately 32% (i.e., 36 of 111) of
- human volunteers. Only three of these cases were classified as allergic contact dermatitis (Health Canada,
- 668 2001). The acute toxicity of the primary metabolite NP is somewhat higher than that observed for NP4–9EO 669 in laboratory mammals; however, the LD_{50} values for NP (580–1,620 mg/kg) indicate that NP is classified
- in laboratory mammals; however, the LD₅₀ values for NP (580–1,620 mg/kg) indicate that NP is cl
 as slightly toxic (Toxicity Category III) to mammalian species (Health Canada, 2001; Bakke, 2003).
- as slightly toxic (Toxicity Category III) to mammalian species (Health Canada, 2001; Bakke, 2003)
- 671 Many of the studies investigating human exposure to NPEs involve vaginal applications of nonoxynol-9
- (NP9EO) due to its use in contraceptives as a spermicide. Several studies in which women were exposed
- 673 intravaginally to NP9EO at doses ranging from 1.5 to 12 mg/kg-day for 14 days indicated no treatment
- related differences in blood chemistry and liver function (Bakke, 2003). In a related study, female
- volunteers were exposed to 150 mg NP9EO four times per day for 14 days, which corresponds to a daily
- dose of 9 mg/kg-day (assuming a 64 kg body weight). Some subjects in this trial experienced vaginal
- 677 irritation, disruption and redness of the epithelium and bleeding. More severe reactions, including swelling
- of the application site with bleeding of the cervix, were observed in one of the 14 subjects (Bakke, 2003).
- 679 This NPE formulation has caused adverse effects when administered at higher doses in rats. For example, a
- 680 single intravaginal dose of 50 mg/kg NP9EO caused inflammation and necrosis of mucosal cells in the
- vagina (Bakke, 2003). Although sensitivity to the substance has been observed, the bulk of the available
- data suggest that NPEs pose a low risk of adverse effects in humans undergoing repeated dermal exposure
- 683 to these substances.
- The estrogenic activity associated with exposure to NP has only been observed in whole organisms (i.e., *in*
- *vivo*) studies in the context of laboratory animals. Decreased sperm density, reduced ovarian weights and
- other reproductive effects associated with the demonstrated estrogen response generally occur at the
- highest NP concentrations tested (NTP, 1997). Therefore, it is uncertain whether humans and terrestrial
- 688 wildlife are at risk of reproductive effects from exposure to NP at the relatively low environmental

689 concentrations that exist. All of the studies suggesting the potential for estrogenic effects in humans associated with NPE and NP exposure were performed using human cell cultures. For example, one study 690 found that NP, NP1EO and NP2EO stimulated cell proliferation in MCF-7 human breast cancer cells, which 691 692 may serve as an indicator of estrogenic activity in humans (Bakke, 2003). A recent study further demonstrated that NP and 17-β-estradiol induce similar gene expression responses in the MCF-7 cell line, 693 694 although the response is significantly weaker for NP (Amaro, 2014). It is also known that NP is transferred from the mother to embryo, and the results of cell culture experiments by Bechi et al. (2006) using a 695 696 placental cancer cell line suggest that the human trophoblast (cells that provide nutrients to the embryo) may be highly responsive to NP. In the absence of epidemiological evidence, it is difficult to determine 697 698 whether the estrogenic activity of NP in cell culture studies is indicative of reproductive and 699 developmental effects in humans.

700 Occupational exposure of agricultural workers to the metabolic breakdown products of NPEs, primarily

consisting of NP, is unlikely considering the use pattern in conventional and organic crop production. In agricultural production, workers involved in combining the pesticide and adjuvant solution in a tank mix

may be exposed to NPEs through the dermal route. Rain and irrigation water may wash residues of

applied NPEs from the surfaces of treated plants to the surrounding soil before workers are involved in

- intensive crop maintenance activities (e.g., weeding and pruning). Residues of NPEs and metabolites from
- these treatments are, however, available for uptake from the soil, and post-harvest applications of pesticide
- sprays containing NPEs may result in the contamination of food for human consumption with NP. Based
- on the concentration of NP in food (see Evaluation Question #6 for details) and the predicted consumption
- rates, the average daily intake of NP varies between 0.067 and 0.370 mg/kg-day for adults weighing
- approximately 60 kilograms (Careghini, 2014). In a survey of Italian women, Ademollo *et al.* (2008)
- 711 revealed a positive correlation between fish consumption levels and the concentration of NP detected in 712 breast milk. The authors calculated a maximum daily intake of 3.94 µg/kg-day, which is close to the

breast milk. The authors calculated a maximum daily intake of 3.94 µg/kg-day, which is close to the tolerable daily intake (5 µg/kg-day) proposed by the Danish Institute of Safety and Toxicology. Based on

tolerable daily intake (5 µg/kg-day) proposed by the Danish institute of Safety and Toxicology. based on the available information, diet may represent a major exposure route for segments of the human

715 population not directly involved in the industrial production and use of NPEs and NP.

Evaluation Question #11: Describe all natural (non-synthetic) substances or products which may be used in place of a petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (ii)). Provide a list of allowed substances that may be used in place of the petitioned substance (7 U.S.C. § 6518 (m) (6)).

719 Although naturally occurring surfactants exist, the available literature does not indicate whether these

substances are commonly used as adjuvants in pesticide sprays. Many plants produce significant quantities

721 of saponins (steroid or triterpenoid glycosides), which naturally have surfactant properties (Hargreaves,

- 2003). For example, the soapwort plant *Saponaria officianalis* produces a glycoside capable of wetting,
- foaming and grease dispersion. These natural glycosides are still in use today for specialized processes
- such as the washing of delicate fabrics (Hargreaves, 2003). Another natural surfactant substance known as
- 125 lecithin is a fat-based material belonging to the phospholipid class of biomolecules. Phospholipids contain
- both hydrophobic (fat attractive) and hydrophilic (water attractive) functionality, making lecithin-based
- materials work well with both oil-soluble and water-soluble pesticides (UAP, 2012). Although lecithin
- would be considered a natural substance, lecithin derivatives formulated in some surfactant products are
- obtained from the hydrogenation/hydroxylation of natural soy lecithin and would likely be classified as
- synthetic materials (UAP, 2012). Depending on the economics of substitution, saponins and lecithin are

attractive alternatives due to their natural, plant-based status and minimal likelihood of adversely affecting

- the growth and productivity of treated plants.
- A variety of synthetic substances are available as alternatives to NPE-based surfactants. Most nonionic
- surfactants, such as NPEs, rely on polyethoxylation to provide the hydrophilic moiety (US EPA, 2010).
- 735 Other surfactants are based on glycerol (glycerin), glucosides, or other sugars existing as either
- 736 monosaccharides (e.g., sorbitol) or disaccharides (e.g., sucrose). The simplest alternatives to NPEs and
- other alkylphenol ethoxylates (APEs) include alcohol ethoxylates (AEs) derived from aliphatic
- (hydrocarbon-based) alcohols instead of nonylphenol or other alkyl phenols. Glucose-based carbohydrate
- 739 derivatives such as alkylpolyglucoside, glucamides and glucamine oxides may also be viable alternatives
- in pesticide sprays. Many of these alternatives are less persistent and biodegrade to breakdown products
- and metabolites with lower degrees of aquatic toxicity than NP (US EPA, 2010).

742 US EPA's Design for the Environment (DfE) program recently completed an alternatives assessment for 743 synthetic surfactants to assist in the voluntary phase-out of NPEs used in industrial detergents (US EPA, 744 2012). The DfE assessment for NPEs reviewed several alternatives to NPE surfactants – including 745 ethoxylated surfactants and glucosides - that are comparable in cost, readily available, and rapidly 746 biodegrade to non-polluting, lower hazard compounds in aquatic environments. The alternatives with 747 greatest molecular similarity to APEs are the alcohol ethoxylates (AEs), which are produced through the 748 reaction of linear or branched fatty alcohols with ethylene oxide. Although AEs are highly toxic to aquatic 749 organisms on an acute basis, these materials are rapidly transformed to lower toxicity degradates in the 750 environment (US EPA, 2012). In addition, ethoxylated and propoxylated [-CH₂CH(CH₃)O-] products of 751 linear or branched alcohols are included on US EPA's List 4B, indicating that these materials could easily serve as alternatives to NPEs in organic crop production (US EPA, 2004). Alkyl polyglucose (APG) is 752 753 another common alternative prepared through the reaction of fatty alcohols (synthetic derivatives of 754 natural fats and oils) and the natural sugar, glucose. Similar products may also be obtained from other sugars such as sucrose. APG and related sugar-based surfactants have very lower persistence, moderate 755 756 toxicity to aquatic organisms and rapidly form low toxicity breakdown products (US EPA, 2012).

757 In addition to commonly used AE and glucoside surfactants, sulfonates, sulfate esters and ether sulfates

are also potential alternatives to NPEs. Linear alkylbenzene sulfonates (LAS) are produced through a two-

- step processing involving the acid-catalyzed alkylation of benzene followed by sulfonation of the alkyl
- benzene intermediate. LASs are highly toxic to aquatic organisms on an acute basis, but produce no known
- 761 degradates of concern and are rapidly degraded (US EPA, 2012). Like LASs, alkyl sulfate esters are formed
- through sulfonation (in this case, sulfonation of fatty alcohols), and are low impact alternatives to toxic

surfactants. Sodium lauryl sulfate (CAS# 151-21-3) is a common example of the AS chemical class, and is
 listed on US EPA's 4B List of Inerts (US EPA, 2004). Caution should be exercised in using sodium lauryl

rot instead of CS EI A S 4D Elst of merts (CS EI A, 2004). Caution should be exercised in using sodium addyr sulfate on crops, however, since the substances is also listed as an active ingredient in herbicides, such as

- the BurnOut Weed & Grass Killer product (Patton & Weisenberger, 2011). Sulfonation of an alcohol
- rethoxylate (AE) surfactant provides alkyl ether sulfonates (AESs), which US EPA DfE also recommends as
- an alternative to NPEs based on a low persistence rating and absence of toxic metabolites (US EPA, 2012).
- 769 The final category of alternative surfactants recommended in the US EPA DfE report is the sorbitan esters.
- 770 These synthetic surfactants are produced through the reaction of sorbitan (derived from natural sorbitol)
- and fatty acid methyl esters in the presence of an alkaline catalyst (US EPA, 2012). According to US EPA,
- the sorbitan fatty acids esters are inert ingredients used as surfactants, related adjuvants of surfactants,

emulsifiers, buffering agents and corrosion inhibitors in a variety of pesticide products (US EPA, 2005).

- 774Based on the low hazard profile and long history of use, US EPA renewed tolerance exemptions for774100 minute of the low hazard profile and long history of use, US EPA renewed tolerance exemptions for
- residues of sorbitan esters used as inert ingredients on crops during the growing season and following
- 776 harvest (40 CFR 180.910 and 180.920).

Evaluation Question #12: Describe any alternative practices that would make the use of the petitioned substance unnecessary (7 U.S.C. § 6518 (m) (6)).

779 Cultural practices will not replace the intended function of surfactants/adjuvants in organic crop 780 production for enhancing the spread and leaf penetrability of aqueous pesticide solutions. For pesticide applications to be most effective, a high degree of coverage and spread of the spray droplets on the leaf 781 782 surface is necessary (Zhu, 2012). The utility of surfactants and other adjuvants is most pronounced for 783 hairy or waxy leaf surfaces. Nonionic surfactants are commonly recommended for use in formulations and 784 tank mixtures of foliar-applied spray treatments because they effectively break the surface tension and allow pesticide solution droplets to spread evenly and adhere longer on leaf surfaces (Zhu, 2012). Using 785 786 botanical pesticides and essential oils that naturally spread over the leaf surface may preclude the use of 787 adjuvants in combination with aqueous pesticide solutions. Preventative pest management practices will, by definition, reduce the necessity of pesticide applications and associated use of synthetic adjuvants. 788

- 789 Organic farmers are generally dependent upon preventative cultural practices and physical controls for
- suppressing pest insects, weeds and soil-borne pathogens. The "Crop pest, weed, and disease management
- 791 practice standard" in the NOP rule states that producers must use the following management practices to
- 792 prevent crop pests, weeds and diseases (7 CFR 205.206(a)):
- Crop rotation and soil and crop nutrient management practices;

794 795 796 797	 Sanitation measures to remove disease vectors, weed seeds and habitat for pest organisms; Cultural practices that enhance crop health, including selection of plant species and varieties with regard to suitability to site-specific conditions and resistance to prevalent pests, weeds and diseases.
798	Pest problems may be controlled through mechanical or physical methods (7 CFR 205.206(b)):
799 800 801	 Augmentation or introduction of predators or parasites of the pest species; Development of habitat for natural enemies of pests; Nonsynthetic controls such as lures, traps and repellents.
802	Organic producers may control weed problems using the following activities (7 CFR 205.206(c)):
803 804 805 806 807 808 809	 Mulching with fully biodegradable materials; Mowing; Livestock grazing; Hand weeding and mechanical cultivation; Flame, heat or electrical means; Plastic or other synthetic mulches: <i>Provided</i> that, they are removed from the field at the end of the growing or harvest season.
810	Lastly, the standard allows for the following activities to control plant disease problems (7 CFR 205.206(d)):
811 812	 Management practices which suppress the spread of disease organisms; Application of nonsynthetic biological, botanical or mineral inputs.
813	References
814 815 816	Ackermann GE, Schwaiger J, Negele RD, Fent K. 2002. Effects of long-term nonylphenol exposure on gonadal development and biomarkers of estrogenicity in juvenile rainbow trout (<i>Oncorhynchus mykiss</i>). Aquatic Toxicology 60(3–4): 203–221.
814 815	gonadal development and biomarkers of estrogenicity in juvenile rainbow trout (Oncorhynchus mykiss).
814 815 816 817	gonadal development and biomarkers of estrogenicity in juvenile rainbow trout (<i>Oncorhynchus mykiss</i>). Aquatic Toxicology 60(3–4): 203–221. Ademollo N, Ferrara F, Delise M, Fabietti F, Funari E. 2008. Nonylphenol and octylphenol in human breast
 814 815 816 817 818 819 820 	 gonadal development and biomarkers of estrogenicity in juvenile rainbow trout (<i>Oncorhynchus mykiss</i>). Aquatic Toxicology 60(3–4): 203–221. Ademollo N, Ferrara F, Delise M, Fabietti F, Funari E. 2008. Nonylphenol and octylphenol in human breast milk. Environment International 34(7): 984–987; doi:10.1016/j.envint.2008.03.001. Amaro AA, Esposito AI, Mirisola V, Mehilli A, Rosano C, Noonan DM, Albini A, Pfeffer U, Angelini G. 2014. Endocrine disruptor agent nonyl phenol exerts an estrogen-like transcriptional activity on estrogen
 814 815 816 817 818 819 820 821 822 823 824 	 gonadal development and biomarkers of estrogenicity in juvenile rainbow trout (<i>Oncorhynchus mykiss</i>). Aquatic Toxicology 60(3-4): 203-221. Ademollo N, Ferrara F, Delise M, Fabietti F, Funari E. 2008. Nonylphenol and octylphenol in human breast milk. Environment International 34(7): 984-987; doi:10.1016/j.envint.2008.03.001. Amaro AA, Esposito AI, Mirisola V, Mehilli A, Rosano C, Noonan DM, Albini A, Pfeffer U, Angelini G. 2014. Endocrine disruptor agent nonyl phenol exerts an estrogen-like transcriptional activity on estrogen receptor positive breast cancer cells. Curr Med Chem 21(5): 630-640. Bakke D. 2003. Human and Ecological Risk Assessment of Nonylphenol Polyethoxylate-based (NPE) Surfactants in Forest Service Herbicide Applications. Pacific Southwest Region (Region 5). USDA Forest Service. Retrieved January 7, 2015 from
 814 815 816 817 818 819 820 821 822 823 824 825 826 827 	 gonadal development and biomarkers of estrogenicity in juvenile rainbow trout (<i>Oncorhynchus mykiss</i>). Aquatic Toxicology 60(3-4): 203–221. Ademollo N, Ferrara F, Delise M, Fabietti F, Funari E. 2008. Nonylphenol and octylphenol in human breast milk. Environment International 34(7): 984–987; doi:10.1016/j.envint.2008.03.001. Amaro AA, Esposito AI, Mirisola V, Mehilli A, Rosano C, Noonan DM, Albini A, Pfeffer U, Angelini G. 2014. Endocrine disruptor agent nonyl phenol exerts an estrogen-like transcriptional activity on estrogen receptor positive breast cancer cells. Curr Med Chem 21(5): 630–640. Bakke D. 2003. Human and Ecological Risk Assessment of Nonylphenol Polyethoxylate-based (NPE) Surfactants in Forest Service Herbicide Applications. Pacific Southwest Region (Region 5). USDA Forest Service. Retrieved January 7, 2015 from http://www.fs.usda.gov/Internet/FSE_DOCUMENTS/stelprdb5346866.pdf. Bayer CropScience. 2011. Label: K-Othrine® SC Insecticide. Bayer Environmental Science. Bayer CropScience LP. Retrieved January 9, 2015 from
 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 	 gonadal development and biomarkers of estrogenicity in juvenile rainbow trout (<i>Oncorhynchus mykiss</i>). Aquatic Toxicology 60(3-4): 203-221. Ademollo N, Ferrara F, Delise M, Fabietti F, Funari E. 2008. Nonylphenol and octylphenol in human breast milk. Environment International 34(7): 984-987; doi:10.1016/j.envint.2008.03.001. Amaro AA, Esposito AI, Mirisola V, Mehilli A, Rosano C, Noonan DM, Albini A, Pfeffer U, Angelini G. 2014. Endocrine disruptor agent nonyl phenol exerts an estrogen-like transcriptional activity on estrogen receptor positive breast cancer cells. Curr Med Chem 21(5): 630-640. Bakke D. 2003. Human and Ecological Risk Assessment of Nonylphenol Polyethoxylate-based (NPE) Surfactants in Forest Service Herbicide Applications. Pacific Southwest Region (Region 5). USDA Forest Service. Retrieved January 7, 2015 from http://www.fs.usda.gov/Internet/FSE_DOCUMENTS/stelprdb5346866.pdf. Bayer CropScience. 2011. Label: K-Othrine® SC Insecticide. Bayer Environmental Science. Bayer CropScience LP. Retrieved January 9, 2015 from http://iaspub.epa.gov/apex/pesticides/f?p=PPLS:102:::NO::P102_REG_NUM:432-763. Bechi N, Ietta F, Romagnoli R, Focardi S, Corsi I, Buffi C, Paulesu L. 2006. Estrogen-like response to p-

835 Marketing & Research Inc. Retrieved January 9, 2015 from

- CAN. 2011. Organic Production Systems Permitted Substances Lists: CAN/CGSB-32.311-2006. Canadian
 General Standards Board. Retrieved January 9, 2015 from http://www.tpsgc-pwgsc.gc.ca/ongc-
- 839 <u>cgsb/programme-program/normes-standards/internet/bio-org/documents/032-0311-2008-eng.pdf</u>.
- 840 Careghini A, Mastorgio AF, Saponaro S, Sezenna E. 2014. Bisphenol A, nonylphenols, benzophenones, and
- benzotriazoles in soils, groundwater, surface water, sediments, and food: a review. Environmental Scienceand Pollution Research 1–31.
- 843 Codex. 2013. Guidelines for the Production, Processing, Labeling and Marketing of Organically Produced
- Foods (CAC/GL 32-1999). Codex Alimentarius Commission. Retrieved January 9, 2015 from
 http://www.codexalimentarius.org/standards/list-of-standards/en/?no cache=1.
- <u>interretional and a second se</u>
- 846 Czarnota M, Thomas P. 2013. Using Surfactants, Wetting Agents, and Adjuvants in the Greenhouse (B
- 847 1319). University of Georgia Extension. Retrieved January 7, 2015 from
- 848 <u>http://extension.uga.edu/publications/detail.cfm?number=B1319</u>.
- 849 DEPA. 2006. Fact Sheet: Nonylphenol and nonylphenol ethoxylates. Danish Environmental Protection
- 850 Agency. Retrieved January 7, 2015 from <u>http://eng.mst.dk/topics/chemicals/legislation-on-</u>
- 851 <u>chemicals/fact-sheets/fact-sheet-nonylphenol-and-nonylphenol-ethoxylates/</u>.
- 852 Dodder NG, Maruya KA, Lee Ferguson P, Grace R, Klosterhaus S, La Guardia MJ, et al. 2014. Occurrence of
- contaminants of emerging concern in mussels (Mytilus spp.) along the California coast and the influence of
- land use, storm water discharge, and treated wastewater effluent. Marine Pollution Bulletin 81: 340–346;
- 855 doi:10.1016/j.marpolbul.2013.06.041.
- Dodgen LK, Li J, Parker D, Gan JJ. 2013. Uptake and accumulation of four PPCP/EDCs in two leafy
 vegetables. Environ Pollut 182: 150–156; doi:10.1016/j.envpol.2013.06.038.
- Domene X, Ramírez W, Solà L, Alcañiz JM, Andrés P. 2009. Soil pollution by nonylphenol and nonylphenol
 ethoxylates and their effects to plants and invertebrates. Journal of Soils and Sediments 9: 555–567;
- 860 doi:10.1007/s11368-009-0117-6.
- 861 Dow. 2010. Product Safety Assessment: DowTM Nonylphenol Ethoxylate Surfactants. The Dow Chemical
- 862 Company. Revised: October 11, 2010. Retrieved January 7, 2015 from
- 863 <u>http://www.dow.com/productsafety/finder</u>.
- 864 EC. 2008. Commission Regulation (EC) No 889/2008 of 5 September 2008: Laying down detailed rules for
- the implementation of Council Regulation (EC) No 834/2007 on organic production and labeling of organic
- 866 products with regard to organic production, labeling and control. European Commission. Retrieved
- 867 January 9, 2015 from <u>http://eur-</u>
- 868 lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2008:250:0001:0084:EN:PDF.
- 869 Environment Canada. 2002. Canadian Sediment Quality Guidelines for the Protection of Aquatic Life:
- Nonylphenol and its Ethoxylates. Canadian Council of Ministers of the Environment. Retrieved January 7,
 2015 from http://ceqg-rcqe.ccme.ca/download/en/242.
- Fiege H, Voges H-W, Hamamoto T, Umemura S, Iwata T, Miki H, et al. 2000. Phenol Derivatives. In *Ullmann's Encyclopedia of Industrial Chemistry*, Wiley-VCH Verlag GmbH & Co. KGaA.
- 874 HSDB. 2012. National Library of Medicine, TOXNET. Polyethylene Glycol Nonylphenol Ether. Hazardous
- Substances Data Bank. Retrieved January 12, 2015 from http://toxnet.nlm.nih.gov/cgi-bin/sis/htmlgen?HSDB.
- Hargreaves T. 2003. Surfactants: The ubiquitous amphiphiles. Royal Society of Chemistry. Retrieved
 January 15, 2015 from http://www.rsc.org/chemistryworld/Issues/2003/July/amphiphiles.asp.
- 879 Health Canada. 2001. Priority Substances List Assessment Report: Nonylphenol and its Ethoxylates. April
- 2001. Retrieved January 7, 2015 from http://www.hc-sc.gc.ca/ewh-semt/pubs/contaminants/psl2-
- 881 <u>lsp2/nonylphenol/index-eng.php</u>.

- Hock WH, Richards KH, Gripp SI. 2015. Spray Adjuvants. Penn State Extension. Retrieved January 9, 2015
 from http://extension.psu.edu/pests/pesticide-education/applicators/fact-sheets/pesticide-
- 884 <u>safety/spray-adjuvants</u>.
- 885 Hu X, Sun Z, Wang J, An M, Duan S. 2014. Sublethal Toxic Effects of Nonylphenol Ethoxylate and
- Nonylphenol to Moina macrocopa. Bull Environ Contam Toxicol 93: 204–208; doi:10.1007/s00128-014-1310x.
- IARC. 2014. Agents Classified by the IARC Monographs, Volumes 1–111. Last update: 23 October 2014.
- 889 International Agency for Research on Cancer. Retrieved January 13, 2015 from
- 890 <u>http://monographs.iarc.fr/ENG/Classification/</u>.
- 891 IFOAM. 2014. The IFOAM Norms for Organic Production and Processing. International Federation of
- 892 Organic Agriculture Movements. Dated: August 2014. Retrieved January 9, 2015 from
- 893 <u>http://www.ifoam.org/en/ifoam-norms</u>.
- 894 JMAFF. 2012. Japanese Agricultural Standard for Organic Plants (Notification No 1605). Japanese Ministry
- 895 of Agriculture, Forestry and Fisheries. Retrieved January 9, 2015 from
- 896 <u>http://www.maff.go.jp/e/jas/specific/pdf/833_2012-3.pdf</u>.
- Lauterbach A, Uber G. 2011. Iodine and Iodine Compounds. Kirk-Othmer Encyclopedia of Chemical
 Technology. John Wiley & Sons, Inc. Pages 1-28.
- Lorenc JF, Lambeth G, Scheffer W. 2003. Alkylphenols. In *Kirk-Othmer Encyclopedia of Chemical Technology*,
 John Wiley & Sons, Inc.
- Lower S. 2014. Hydrogen-Bonding and Water. UC Davis ChemWiki. University of California at Davis.
 Retrieved January 9, 2015 from
- 903 <u>http://chemwiki.ucdavis.edu/Wikitexts/Simon_Fraser_Chem1%3A_Lower/06._States_of_Matter/Hydro</u>
 904 <u>gen-Bonding_and_Water</u>.
- Lu J, Wu J, Stoffella PJ, Wilson PC. 2012. Analysis of Bisphenol A, Nonylphenol, and Natural Estrogens in
- 906 Vegetables and Fruits Using Gas Chromatography–Tandem Mass Spectrometry. J. Agric. Food Chem. 61:
- 907 84–89; doi:10.1021/jf304971k.
- Luo Y, Guo W, Ngo HH, Nghiem LD, Hai FI, Zhang J, Liang S, Wang XC. 2014. A review on the occurrence
 of micropollutants in the aquatic environment and their fate and removal during wastewater treatment. Sci
 Total Environ 473-474: 619-641.
- Mao Z, Zheng X-F, Zhang Y-Q, Tao X-X, Li Y, Wang W. 2012. Occurrence and Biodegradation of
 Nonylphenol in the Environment. Int J Mol Sci 13: 491–505; doi:10.3390/ijms13010491.
- McDaniel KG, Reese JR. 2009. High Productivity Process for Alkylphenol Ethoxylates. US Patent #
 7,473,677 B2. Retrieved January 7, 2015 from http://www.google.com/patents/US7473677.
- 915 NTP. 1997. Nonylphenol: Multigenerational Reproductive Effects in Sprague-Dawley Rats when Exposed
- to Nonylphenol (CASRN: 84852-15-3) in the Diet. National Toxicology Program. Retrieved January 14, 2015
 from http://ntp.niehs.nih.gov/testing/types/repro/abstracts/racb/index-101.html.
- 918 Nisus Corporation. 2014. Label: BoraCare® Termiticide, Insecticide and Fungicide Concentrate. Retrieved
- January 9, 2015 from http://iaspub.epa.gov/apex/pesticides/f?p=PPLS:102:::NO::P102_REG_NUM:64405-
 1.
- 921 OEHHA. 2014. Chemicals Known to the State of California to Cause Cancer or Reproductive Toxicity.
- 922 Dated: December 26, 2014. California Environmental Protection Agency Office of Environmental Health
- 923 Hazard Assessment. Retrieved January 13, 2015 from
- 924 <u>http://oehha.ca.gov/prop65/prop65_list/files/p65single122614.pdf</u>.

- PMRA. 2010. PMRA List of Formulants. Dated: 31 August 2010. Pesticide Management Regulatory Agency.
 Retrieved January 9, 2015 from http://publications.gc.ca/collections/collection_2010/arla-pmra/H114-22-2010-eng.pdf.
- Patrolecco L, Capri S, De Agnelis S, Pagnotta R, Polesello S, Valsecchi S. 2006. Partition of nonylphenol and
- related compounds among different aquatic compartments in Tiber River (Central Italy). Water Air Soil
- 930 Poll 172: 151–166.
- Patton A, Weisenberger D. 2011. Efficacy of Current Organic Postemergent Weed Control Options in
- 932 Turfgrass Systems. Purdue University Turfgrass Science. Retrieved January 15, 2015 from
- 933 <u>http://www.agry.purdue.edu/turf/report/2011/PDF/06_AGRY_Patton_organic%20weed%20control.pdf</u>
- 934 Porter AJ, Hayden NJ. 2002. Nonylphenol in the Environment: A Critical Review. College of Engineering
- and Mathematical Sciences (CEMS). University of Vermont. Retrieved January 14, 2015 from
 www.emba.uvm.edu/~nhayden/npreview.pdf.
- 937 Schwaiger J, Spieser OH, Bauer C, Ferling H, Mallow U, Kalbfus W, Negele RD. 2000. Chronic toxicity of
- 937 Schwaiger J, Spieser OH, Bauer C, Ferning H, Manow C, Kabbus W, Negere KD. 2000. Chronic toxicity of
 938 nonylphenol and ethinylestradiol: Haematological and histopathological effects in juvenile Common carp
 939 (Cyprinus carpio). Aquat Toxicol. 51(1): 69–78.
- Sigma Aldrich. 2014. Material Safety Data Sheet: Tergitol® NP-9. Version 5.6. Revision Date: 11/25/2014.
 Retrieved January 14, 2015 from http://www.sigmaaldrich.com/united-states.html.
- 942 Soares A, Guieysse B, Jefferson B, Cartmell E, Lester JN. 2008. Nonylphenol in the environment: A critical
- review on occurrence, fate, toxicity and treatment in wastewaters. Environment International 34: 1033– 1049; doi:10.1016/j.envint.2008.01.004.
- Topp E, Starratt A. 2000. Rapid Mineralization of the Endocrine-Disrupting Chemical 4-Nonylphenol in
 Soil. Environmental Toxicology and Chemistry 19(2): 313–318.
- US EPA. 2015. Pesticide Product Information System (PPIS). Last updated on 1/6/2015. US Environmental
 Protection Agency. Retrieved January 9, 2015 from <u>http://www.epa.gov/pesticides/PPISdata/</u>.
- 949 US EPA. 2010. Nonylphenol (NP) and Nonylphenol Ethoxylates (NPEs) Action Plan [RIN 2070-ZA09]. US
- Environmental Protection Agency, August 2010. Retrieved January 7, 2015 from
- 951 <u>http://www.epa.gov/oppt/existingchemicals/pubs/actionplans/RIN2070-ZA09_NP-</u>
- 952 <u>NPEs%20Action%20Plan_Final_2010-08-09.pdf</u>.
- US EPA. 2006. Registration Eligibility Decision for Iodine and Iodophor Complexes. US Environmental
- 954 Protection Agency, July 2006. Retrieved January 8, 2015 from
- 955 <u>http://www.epa.gov/oppsrrd1/reregistration/REDs/iodine-red.pdf</u>.
- US EPA. 2005a. Aquatic Life Ambient Water Quality Criteria Nonylphenol. FINAL. US Environmental
 Protection Agency. Retrieved January 7, 2015 from
- http://water.epa.gov/scitech/swguidance/standards/criteria/aqlife/nonylphenol/upload/2006_5_18_cr
 iteria_nonylphenol_final-doc.pdf.
- 960 US EPA. 2005b. Inert Reassessment Members of the Sorbitan Fatty Acid Esters and the Polysorbates. US
- 961 Environmental Protection Agency. Retrieved January 16, 2015 from
- 962 US EPA. 2004a. List 4B Other ingredients for which EPA has sufficient information to reasonably
- 963 conclude that the current use pattern in pesticide products will not adversely affect public health or the
- 964 environment. Updated August 2004. US Environmental Protection Agency. Retrieved January 7, 2015 from
 965 <u>http://www.epa.gov/opprd001/inerts/inerts_list4Bname.pdf</u>.
- 966 US EPA. 2004b. List 1 Inert Ingredients of Toxicological Concern. Updated August 2004. US
- 967 Environmental Protection Agency. Retrieved January 9, 2015 from
- 968 <u>http://www.epa.gov/opprd001/inerts/list1_chemname.pdf</u>.

- 969 UAP Canada. 2012. Improving Pesticide Performance with Surfactant Technology: The LI 700® Way. A
- 970 White Paper for Crop Producers, Retailers, Custom Applicators and Crop Consultants. United Agri
- 971 Products Canada Inc. Retrieved January 9, 2015 from
- 972 <u>http://www.uap.ca/products/documents/UAP_LI700_WhitePaper_Final_LoRez.pdf</u>.
- 973 WHO. 2004. Integrated Risk Assessment: Nonylphenol Case Study. International Programme on Chemical
- 974 Safety. World Health Organization. Retrieved January 15, 2015 from
- 975 www.who.int/ipcs/methods/Nonylphenol.pdf.
- 976 Warhurst M. 1995. An Environmental Assessment of Alkylphenol Ethoxylates and Alkylphenols. Friends
- 977 of the Earth-UK. Retrieved January 7, 2015 from
- 978 <u>http://www.foe.co.uk/sites/default/files/downloads/ethoxylates_alkylphenols.pdf</u>.
- White Cap Inc. 2012. Label: Real Pine Cleaner Disinfectant Deodorizer. Retrieved January 9, 2015 from
- 980 <u>http://iaspub.epa.gov/apex/pesticides/f?p=PPLS:102:::NO::P102_REG_NUM:72138-1</u>.
- 281 Zhu H. 2012. Evaporation and spread of surfactant-amended droplets on leaves. Updated 4/23/2012.
- 982 USDA Agricultural Research Service. Retrieved January 16, 2015 from
- 983 <u>http://www.ars.usda.gov/Research/docs.htm?docid=19299</u>.