

# Glucono delta-lactone

## Handling/Processing

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### Identification of Petitioned Substance

**Chemical Names:**

D-glucono-delta-lactone  
*delta*-D-gluconolactone  
D-glucono-1,5-lactone

**Other Name:**

Glucono delta-lactone  
GDL

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**Trade Names:**

GDL F5010, GDL F2500

**CAS Numbers:**

90-80-2

**Other Codes:**

E 575 (European Union)  
INS 575 (Codex Alimentarius)  
EINECS 202-016-5 (European Inventory Number)

### Summary of Petitioned Use

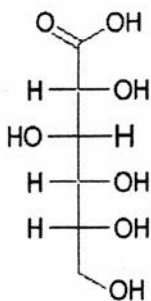
Glucono delta-lactone (GDL) is currently allowed under the National Organic Program (NOP) regulations at 7 CFR 205.605(a). It is an allowed nonsynthetic ingredient in or on processed products labeled as “organic” or “made with organic (specified ingredients or food group(s)),” provided that it meets the annotation requirement, “production by the oxidation of D-glucose with bromine water is prohibited.” It can be used in organic processing for all Generally Recognized as Safe (GRAS) applications. GDL can be added to food as a curing and pickling agent, a leavening agent, a pH control agent, and as a sequestrant (21 CFR 184.1318). It was originally petitioned for use as a tofu coagulant.

### Characterization of Petitioned Substance

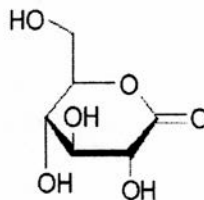
**Composition of the Substance:**

D-glucose is an aldohexose, which means it is a six-carbon sugar containing an aldehyde group. When the aldehyde group is oxidized to a carboxylic acid, D-gluconic acid is formed. The carbon adjacent to the acid group is called *alpha* (C2), the next one is *beta* (C3), the next is *gamma* (C4), and the next is *delta* (C5).

D-gluconic acid can react with itself, forming a cyclic ester called a lactone. When the reaction is between the acid group on C1 and a hydroxyl group on the *delta* (C5) carbon, the lactone is called *delta*-D-gluconolactone, D-glucono-*delta*-lactone or D-glucono-1,5-lactone (Ramachandran et al. 2006).



Gluconic Acid



Glucono Delta-Lactone

42 (For the rest of this report, D-glucose will simply be called glucose, D-gluconic acid will be called gluconic acid,  
43 and *delta*-D-gluconolactone will be called glucono delta-lactone or GDL).  
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45

#### 46 **Source or Origin of the Substance:**

47 Glucose is present naturally in many foods. When it reacts with oxygen in the air, some of it oxidizes to  
48 gluconic acid. Gluconic acid can be found in honey (up to 1%), wine (up to 0.25%), and in rice, meat and  
49 vinegar. (Amounts are higher in honey because the enzyme glucose oxidase is a salivary secretion of the  
50 honey bee, *Apis mellifera*, so there is some enzymatic conversion.) Wherever gluconic acid occurs in the  
51 presence of water, some of it can cyclize to glucono delta-lactone (Ramachandran et al. 2006; Wong et al.  
52 2008).  
53

54 Glucono delta-lactone is also produced industrially by a number of methods. (See Evaluation Questions 1  
55 and 2.)  
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57

#### 58 **Properties of the Substance:**

59  
60 Table 1: Properties of glucono delta-lactone and gluconic acid (UNEP 2006)

	<b>Glucono delta-lactone</b>	<b>Gluconic acid</b>
Physical state	white solid	white solid
Melting point	153°C	131°C
Estimated boiling point	398.5°C	417.1°C
Density	1.68 g/ml	1.23 g/ml
Vapor pressure	2.41 x 10 <sup>-9</sup> hPa at 25°C	10.87 x 10 <sup>-10</sup> hPa at 25°C
Water solubility	590 g/liter at 25°C	1000 g/liter at 25°C
pKa	3.70	3.7
Molecular weight	178.14	196.16
Molecular formula	C <sub>6</sub> H <sub>10</sub> O <sub>6</sub>	C <sub>6</sub> H <sub>12</sub> O <sub>7</sub>

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62  
63 **Specific Uses of the Substance:**  
64  
65 *Coagulant in tofu production*  
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67 GDL is used as a coagulant in the production of tofu. It was introduced for this purpose in the 1980s (Lim  
68 et al. 1990). To make tofu, soybeans are soaked in water overnight, and then ground into a slurry. Water is  
69 added to obtain an optimal 10:1 ratio of water to beans. The slurry is heated or boiled or steam cooked for a  
70 short time (5-10 min); then coarse solids are filtered off, leaving soymilk with soluble protein and  
71 suspended small particles (Beddows and Wong 1987a). The soymilk produced typically contains about  
72 10% total solids, including 4.7% protein and 2.5% fat (deMan et al. 1986). Coagulant is added to the  
73 resulting soymilk either with or without stirring. Stirring produces a firmer product. The coagulated bean  
74 curd is called tofu (Tsai et al. 1981; Beddows and Wong 1987a, b and c).  
75

76 Coagulants used in tofu production include (1) chloride salts such as magnesium chloride, calcium  
77 chloride, and nigari; (2) sulfate salts such as calcium sulfate and magnesium sulfate; (3) GDL; or (4) citrus  
78 juices or lactic acid (Chang 2006; Sanjay et al. 2008). Nigari coagulant is commonly used in Japan, and is  
79 produced by removing sodium chloride from seawater, then evaporating the solution. Nigari is mostly  
80 composed of magnesium chloride and magnesium sulfate. Nigari tofu is widely appreciated for its flavor  
81 (Tsai et al. 1981; Chang 2006). Yields increase with the concentration of coagulant up to an optimal  
82 concentration (Sun and Breene 1991). Yields with citrus and lactic acids are low, and the resulting products  
83 have an acidic taste that many people do not like (Chang 2006).  
84

85 Texture of tofu varies with how quickly the curds are formed. (See *Action of the Substance*.) When added to  
86 warm soymilk, totally dissociated salts such as calcium chloride and magnesium chloride form curds

87 quickly, and produce tofu that is coarse, granular and hard. Calcium sulfate, magnesium sulfate and GDL  
88 react slowly to produce a softer, smoother curd. Calcium sulfate reacts slowly because it is nearly insoluble  
89 in water, and few ions are available initially. GDL reacts slowly because the actual coagulant, gluconic acid,  
90 is formed slowly (Hou et al. 1997; deMan et al. 1986; Yang and James 2013).

91  
92 The texture, taste, flavor, and other characteristics of the tofu vary according to bean cultivar, water/bean  
93 ratio, temperature, heating times, degree of mixing, filtration pressure, type of coagulant, coagulant  
94 concentration, and coagulation times (Beddows and Wong 1987a, b and c; Hou et al. 1997; Yang and James  
95 2013).

96  
97 Flavor of the tofu depends partly on processing. Soaking and cold grinding gives a “beany” taste. Soaking  
98 and hot grinding does not. In small scale taste tests, tofu made with calcium sulfate or nigari receive the  
99 highest ratings for flavor. Concentrations of GDL higher than 0.44% leave an acidic taste that some people  
100 find unpleasant (Hou et al. 1997; Lim et al. 1990; Lu et al. 1980; Sun and Breene 1991).

101  
102 An important characteristic of tofu is its texture. Textures may be hard, soft, or silken depending on the  
103 amount of water in the tofu. Hard tofu is produced by using coagulant salts such as calcium sulfate. The  
104 curds that form are broken and added to a mold, and water is pressed out. The pressed cubes are sliced,  
105 then packed into containers and sterilized. This form of tofu is called momen tofu (Chang 2006), and it is  
106 often called “firm” tofu on packaging labels. Increasing coagulation temperatures and stirring rate after  
107 adding coagulant increases hardness (Hou et al. 1997). Hardness also increases with the amount of  
108 particulate protein in the soymilk (Cheng et al. 2005). Hard tofu has a relatively low water content (74%  
109 average). Hard tofu is used for purposes such as stir frying (Tsai et al. 1981).

110  
111 Soft tofu is often used in soups. It has a higher water content (84% average) than the drier hard tofu. Soft  
112 tofu is produced by coagulants such as nigari, calcium chloride, or calcium sulfate, and by adjusting  
113 temperatures and stirring rates to produce a softer product. Water is either pressed out of the curds that  
114 form, or curds are left unpressed (Tsai et al. 1981; Chang 2006).

115  
116 Silken tofu has the consistency of yogurt or custard. Curds are not pressed to remove water and whey, and  
117 the tofu has a high water concentration (87-89%). GDL can be used to make silken tofu. A preferred  
118 industrial process is to add hot soymilk and fresh GDL solution simultaneously to sterilized containers. As  
119 the GDL heats up, it is slowly converted to gluconic acid; the pH drops, and the solution slowly congeals.  
120 Silken tofu produced with GDL is produced directly in the container (deMan et al. 1986). This type of  
121 production reduces the chance of microbial contamination (Yang and James 2013). Production with GDL in  
122 this manner extends the shelf life of the tofu (Guo et al. 2005; Tsai et al. 1981).

123  
124 Silken, packed tofu of this type can also be made with nigari or calcium chloride. The soymilk is cooled to  
125 4°C and the coagulant is added. Starting at low temperatures prevents fast coagulation that would  
126 otherwise occur. Containers are filled before the solution coagulates. Then containers are heated to  
127 coagulate the tofu (Chang 2006).

128  
129 GDL used alone to make hard tofu produces a rubbery product, but yields are about 20% higher than with  
130 calcium sulfate. GDL has been combined with calcium sulfate to produce hard tofu that has a smooth  
131 texture and high yield (Lu et al. 1980; Lim et al. 1990; Shen et al. 1991; Cheng et al. 2005). The addition of  
132 carrageenan to calcium sulfate or calcium acetate tofu increases yields and decreases hardness (Karim et al.  
133 1999).

134  
135 *Other uses in food production*

136  
137 Most food uses of GDL depend on its acidic and chelating properties. GDL is used as a curing and pickling  
138 agent, a leavening agent, and as a pH control agent. When GDL is added to water, the taste is initially  
139 sweet. Then it hydrolyzes to gluconic acid, giving a slightly sweet, mildly acidic taste. In many foods, it is  
140 preferred to other acids that give stronger, more acidic tastes (Jungbunzlauer 2008; Rubico and McDaniel  
141 1992).

142  
143 Many dairy processes require a slow pH reduction. GDL can be used instead of lactic acid bacteria in  
144 production of cottage cheese, feta cheese and mozzarella (Jungbunzlauer 2008; Rankin et al. 2006). Direct  
145 acidification to produce curding is an easier process to control than production with bacterial cultures.  
146 Cheese production is also quicker. However, in the case of cottage cheese, the cultured product has a better  
147 flavor and texture (Makhal et al. 2013).

148  
149 Addition of GDL to processed meat such as frankfurters controls the pH and hastens the curing and  
150 ripening process. It allows up to a 30% reduction in the amount of nitrite added, and a 75% reduction of  
151 residual nitrite (Jungbunzlauer 2008). However, when GDL and ascorbic acid were used to replace some of  
152 the nitrites in Turkish fermented sausages, the product had lower yield and poorer flavor (Yilmaz and  
153 Zorba 2010). According to 7 CFR 205.605, nitrites are not allowed in organic processed products.

154  
155 GDL acidifies restructured pork and allows reduction in the amount of sodium chloride used for meat  
156 preservation (Hong et al. 2008). It is an antimicrobial, extending the shelf life of bologna and reducing total  
157 microbial counts in beef and pork products. Combined with sodium erythorbate, it helps keep myoglobin  
158 oxidized and leaves meat with a redder color (Barringer et al. 2005). Sodium erythorbate is not listed at 7  
159 CFR 205.605 and is therefore not allowed in organic processing.

160  
161 GDL is used as a leavening agent in bread. The gluconic acid produced when water is added reacts with  
162 sodium bicarbonate to produce carbon dioxide gas, causing the dough to rise. Compared to other  
163 leavening agents, it has a slow to intermediate rate of carbon dioxide release (Jungbunzlauer 2008; 2009).  
164 GDL is often added to cake mixes because it has a long shelf life, and it is used often in pastries. Up to 40%  
165 more GDL may be added than is necessary for the reaction with sodium bicarbonate. This acidifies the  
166 product and retards the growth of mold (Feldberg 1959; Jungbunzlauer 2009). Other acids used as chemical  
167 leavening agents include adipic acid, potassium tartrate, sodium acid pyrophosphate and others (Bellido et  
168 al 2009).

169  
170 GDL is used to acidify and help preserve processed salad dressings. Its chelating properties help protect  
171 against oil rancidity by removing ions that catalyze oxidation of fats (PMP 2004).

172  
173 It is also used as an acidulant in ready-to-eat pasta and rice. It lowers the pH and retards microbial growth.  
174 It is also used as a browning inhibitor in canned fruits and vegetables (Jungbunzlauer 2008). (See *Action of*  
175 *the Substance*.)

176  
177 GDL is used as a chelating agent in seafood, allowing a 50-90% reduction in sulfites. In canning brine, it  
178 enhances the effects of sodium benzoate preservative by decreasing the pH (Jungbunzlauer 2008). In an  
179 organic processed product, however, sodium benzoate would not be allowed.

180  
181 *Non-food uses*

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183 In addition to food uses, GDL is used as a cleaning compound, a medication, and as a tobacco additive (US  
184 NIEHS 2015).

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186  
187 **Approved Legal Uses of the Substance:**

188 D-gluconic acid and sodium gluconate are listed in the 2004 EPA List 4A as inert ingredients exempt from  
189 tolerance (US EPA 2005).

190  
191 U.S. FDA lists glucono delta-lactone as a GRAS food additive. It is approved as a curding agent for cheese  
192 (21 CFR 133.129) and as a component in canned green or wax beans (21 CFR 155.120). It is also approved as  
193 a curing and pickling agent, leavening agent, pH control agent, and sequestrant (21 CFR 184.1318).

194  
195 USDA Food and Safety Inspection Service mandates that when GDL is used in restructured meat products,  
196 the product label must include GDL (9 CFR 317.8) (USDA FSIS 2008).

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### **Action of the Substance:**

GDL is used to coagulate soybean protein, producing tofu. The action of the substance in this process is complex and not completely understood (Kohyama and Nishinari 1993). A simple description is that undenatured soybean protein has the hydrophobic parts folded to the inside of protein particles. Heating denatures the protein, unfolding polypeptide chains, turning sulfhydryl groups and hydrophobic sites to the outside and leaving negative charges on the outside of the protein globules. The negative charges repel each other, keeping the denatured protein in solution (Guo and Ono 2005; Campbell et al. 2009; Kohyama et al. 1995). The addition of GDL causes more hydrogen ions to be produced, due to formation of gluconic acid. The positive ions neutralize the negative electric charges on the protein, causing coagulation through hydrophobic interactions and hydrogen bonding. Positive calcium ions also neutralize the charges, producing coagulation by the same mechanism. When coagulation occurs, a gel is formed, trapping water, lipids and other components of the soymilk (Chang 2006; Guo and Ono 2005; Campbell et al. 2009; Kohyama et al. 1995).

Soymilk contains soluble and particulate (>40 nm) proteins. Major soybean proteins include glycinin and beta-conglycin. Particulate proteins are more likely to contain glycinin, and coagulation increases with the concentration of particulates in soymilk. Coagulation and firm tofu are favored by higher concentrations of both glycinin and particulate proteins in the soymilk (Guo and Ono 2005).

GDL's function as a preservative results from the acidity produced by gluconic acid. This acidity retards the growth of many microbes. Making a substrate acidic also increases the efficacy of preservatives such as sodium benzoate, which is more effective in non-ionized form (Jungbunzlauer 2008).

GDL is used as an acidulant in ready-to-eat pasta and rice. It lowers the pH and retards microbial growth. It is also used as a browning inhibitor in canned fruits and vegetables. Browning is caused by enzymes, and lowering the pH away from the optimal pH for enzyme function slows the process. GDL also chelates ions that catalyze and accelerate the browning reaction (Jungbunzlauer 2008; PMP 2004).

In cheesemaking, the release of gluconic acid when GDL is dissolved denatures proteins, leading to the formation of curds. Other acids used in cheesemaking include lactic acid. Rennet enzymatically cleaves casein, which makes it insoluble in water and leads to curd formation. Sometimes rennet is combined with GDL or other acidulants (Rankin 2006; Lucey et al. 2000).

Microbials have a growth profile dependent on pH. GDL acidifies meat and reduces the growth of pathogens such as *Listeria* sp. In canned products, GDL makes it easier for heat to kill *Clostridium* sp. and other pathogens (Santos and Zarzo 1995; Barringer et al. 2005). In canned goods such as asparagus, apricots, peaches, tomatoes, and other commodities, the acidity produced by GDL makes heat sterilization possible at lower temperatures. This improves the texture of the product (Heil et al. 1988; Heil et al. 1989; McGlynn et al. 1993).

In seafood such as shrimp, enzymes can cause the product to turn brown. GDL is added to chelate iron and copper ions, so that enzymes that depend on them are not able to exert their effects (Jungbunzlauer 2008; PMP 2004).

### **Combinations of the Substance:**

GDL is generally sold in pure form to companies that produce processed food products. Additional ingredients (e.g., inert ingredients, stabilizers, preservatives, carriers, anti-caking agents, or other materials) are not generally added to commercially available forms of the substance. However, for bakery uses Jungbunzlauer sells GDL that is coated with fat to slow down carbon dioxide production (Jungbunzlauer 2009).

251 Food processors use the GDL they purchase in combination with other ingredients. For instance, sodium  
252 alginate, calcium carbonate and GDL are used in combination as a meat preservative (PMP 2004). Suppliers  
253 of pasta and rice use GDL in combination with sodium citrate and tricalcium phosphate to improve color  
254 and texture (PMP 2004). Bakers sometimes combine GDL with other leavening agents such as potassium  
255 acid tartrate, sodium acid pyrophosphate and monocalcium phosphate. Sodium bicarbonate is added to  
256 release carbon dioxide (PMP 2004). Tofu producers use GDL in combination with other coagulants such as  
257 magnesium chloride, nigari, and calcium sulfate (Chang 2006). GDL is used in combination with vinegar to  
258 make commercial salad dressings (PMP 2004). Jungbunzlauer combines GDL and sodium bicarbonate in a  
259 low-sodium baking powder. GDL is combined with potassium bicarbonate and corn starch in a sodium-  
260 and phosphate-free baking powder (Jungbunzlauer 2012).

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<b>Status</b>
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**Historic Use:**

265 The National Organic Standards Board (NOSB) reviewed GDL in 1995 for inclusion on the National List of  
266 Approved and Prohibited Substances as a tofu coagulant. It was not included in the Final Rule creating the  
267 National Organic Program (NOP) on December 21, 2000 (USDA Agricultural Marketing Service 2000).

268  
269 A Technical Advisory Panel report written in 2002 recommended the addition of GDL to the National List  
270 as an allowed nonsynthetic for “organic” (at least 95% organic ingredients) and “made with organic” (at  
271 least 70% organic ingredients) foods (OMRI 2001). For “organic” use, reviewers suggested that the NOSB  
272 either add it with annotations, or not add it at all. For “made with organic” use, two reviewers requested  
273 annotations and a third did not. Annotations requested included “for tofu production only” and  
274 “produced by microbial fermentation” (OMRI 2002).

275  
276 A Final Rule to address GDL was published on November 3, 2003 (USDA Agricultural Marketing Service  
277 2003). According to the Final Rule, “four of the commenters requested it be added with the following  
278 annotation: ‘produced through microbial fermentation of carbohydrates only.’ This annotation would  
279 disallow the use of oxidation of D-glucose with enzymes, but enzymes are allowed in Sec. 205.605(a).  
280 Accordingly, this annotation is not adopted. However, the listing is amended to add the annotation  
281 ‘production by the oxidation of D-glucose with bromine water is prohibited.’ This will allow only the  
282 microbial and enzymes oxidation production methods.” [Note: There are many chemical methods of  
283 gluconic acid synthesis other than bromine water. See Evaluation Question 1. Also, production with pure  
284 enzymes may involve Genetically Modified Organisms (GMOs).] The 2003 Final Rule added GDL to 7 CFR  
285 205.605(a) with the following annotation: “production by the oxidation of D-glucose with bromine water is  
286 prohibited” (USDA Agricultural Marketing Service 2003).

287  
288 Since then, GDL has remained on the National List at 7 CFR 205.605(a) without change throughout  
289 subsequent sunset reviews by the NOSB. At the meeting in Arlington, Virginia November 27-30, 2007, the  
290 NOSB voted 15-0 to keep GDL on the National List with the annotation intact, although some members  
291 questioned whether it was nonsynthetic (National Organic Standards Board 2007). The GDL listing and  
292 annotation were formally renewed in a Final Rule published October 9, 2008 (USDA Agricultural  
293 Marketing Service 2008).

294  
295 On May 25, 2012, the NOSB voted 14-1 to keep GDL on the National List with the annotation intact.  
296 According to the Board, “review of the original recommendation, the 2002 TAP review, historical  
297 documents, the 2007 sunset recommendation, and public comments does not reveal unacceptable risks to  
298 the environment, human, or animal health as a result of the use or manufacture of this material. There is no  
299 new information contradicting the original recommendation which was the basis for the previous NOSB  
300 decisions to list and again re-list this material” (National Organic Standards Board 2012). GDL was  
301 formally renewed on the National List in a Final Rule published October 3, 2013 (USDA Agricultural  
302 Marketing Service 2013).

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**306 Organic Foods Production Act, USDA Final Rule:**

307 GDL was not listed in the Organic Foods Production Act of 1990, and it was not mentioned in the Final  
308 Rule creating the NOP published October 21, 2000 (USDA Agricultural Marketing Service 2000). It is  
309 currently on the National List of Allowed and Prohibited Substances at 7 CFR 205.605(a) with the  
310 annotation, "production by the oxidation of D-glucose with bromine water is prohibited."  
311

312

**313 International****314 Canada - Canadian General Standards Board Permitted Substances List, CAN/CGSB-32.311-2006**

315 Glucono delta-lactone is not on the Canadian General Standards Board Permitted Substances List.  
316 Specifically, it is not on the list of Non-organic Ingredients Classified as Food Additives, or Substances  
317 Permitted in Products Whose Contents are 70% or More and Less than 95% Organic Ingredients or  
318 Processing Aids (Canada 2011).  
319

**320 CODEX Alimentarius Commission, Guidelines for the Production, Processing, Labelling and Marketing**  
**321 of Organically Produced Foods (GL 32-1999)**

322 Glucono delta-lactone is not mentioned in the *Codex Alimentarius* Guidelines for the Production, Processing,  
323 Labelling and Marketing of Organically Produced Foods. Specifically it is not listed in Annex 2 "Permitted  
324 Substances for the Production of Organic Foods." It is also not listed in Table 3 "Ingredients of Non-  
325 Agricultural Origin..." or in Table 4 "Processing Aids Which May be Use for the Preparation of Products of  
326 Agricultural Origin" (Codex 2001).  
327

**328 European Economic Community (EEC) Council Regulation, EC No. 834/2007 and 889/2008**

329 Glucono delta-lactone is not mentioned in European Community Council Regulation 834/2007. However,  
330 in Article 7 "Specific Principles Applicable to the Processing of Organic Food," part b states as a principle,  
331 "the restriction of the use of food additives, of nonorganic ingredients with mainly technological and  
332 sensory functions and of micronutrients and processing aids, so that they are used to a minimum extent  
333 and only in case of essential technological need or for particular nutritional purposes" (EU ECC 2007).  
334

335 Glucono delta-lactone is not mentioned in European Community Council Regulation 889/2008.

336 Specifically, it is not mentioned in Annex 8. "Certain Products and Substances Which May be Used in  
337 Production of Processed Organic Food" (EU ECC 2008).  
338

**339 Japan Agricultural Standard (JAS) for Organic Production**

340 Glucono delta-lactone is not mentioned in the Japan Agricultural Standard for Organic Production (JAS  
341 2005).  
342

**343 IFOAM - Organics International (IFOAM)**

344 Glucono delta-lactone is not listed in the IFOAM Norms for Organic Production and Processing.  
345 Specifically, it is not listed in Appendix 4, Table 1 "List of Approved Additives and Processing/Postharvest  
346 Handling Aids" (IFOAM 2012).  
347

348  
349**Evaluation Questions for Substances to be used in Organic Handling**

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351 **Evaluation Question #1:** Describe the most prevalent processes used to manufacture or formulate the  
352 petitioned substance. Further, describe any chemical change that may occur during manufacture or  
353 formulation of the petitioned substance when this substance is extracted from naturally occurring plant,  
354 animal, or mineral sources (7 U.S.C. § 6502 (21)).  
355

**Production of Glucono delta-lactone**

356  
357 Glucono delta-lactone (GDL) is commercially produced by crystallization from an aqueous solution of  
358 gluconic acid. Chemically, glucose is an aldohexose, which is a six-carbon sugar containing an aldehyde  
359 group. The aldehyde group can be oxidized to a carboxyl group, producing gluconic acid. When gluconic  
360 acid is dissolved in water, it reacts with itself, forming two cyclic esters called lactones: a six-carbon cyclic  
361 compound called glucono delta-lactone, and a five-carbon cyclic compound called glucono gamma-lactone.  
362 In aqueous solutions, the three compounds are in equilibrium (Ramachandran et al. 2006).  
363

364 Gluconic acid, glucono delta-lactone, and glucono gamma-lactone have different solubilities in water. In  
365 concentrated aqueous solutions, glucono delta-lactone crystallizes out of solution at temperatures between  
366 30°C and 70°C. The crystals can be isolated by filtration or centrifugation (Pasternack 1937; Rohr et al.  
367 1983).  
368

**Production of Gluconic Acid**

369  
370 Production of GDL depends on a source of gluconic acid. Gluconic acid is produced by oxidation of  
371 glucose. Oxidation can be accomplished by many different processes, which can be classified as (1)  
372 oxidation by chemical methods, (2) oxidation by microbes during fermentation, or (3) oxidation by purified  
373 enzymes (Ramachandran et al. 2006; Rohr et al. 1983; Anastassiadis and Morgunov 2007).  
374

**(1) Oxidation by Chemical Methods**

375  
376 Early production involved chemical oxidation with bromine water or bleach, but purification was difficult  
377 and labor-intensive. A modification of the process was electrolysis of a glucose solution containing  
378 bromide ion (Isbell et al. 1932). Catalytic oxidation with dissolved oxygen and platinum, palladium and  
379 other catalysts has been used. Many of these processes have drawbacks that make them uneconomical (de  
380 Wilt 1972). Oxidation by bromine water is specifically prohibited in the annotation for GDL at 7 CFR  
381 205.605(a).  
382

**(2) Oxidation by Microbes during Fermentation**

383  
384 Most of the industrial production of gluconic acid is currently accomplished by microbial fermentation.  
385 Fungi such as *Aspergillus niger*, *Penicillium* spp., and others produce a glucose oxidase enzyme (*beta*-D-  
386 glucose: oxygen 1-oxidoreductase, E.C. 1.1.3.4) that oxidizes glucose to gluconic acid. In fact, glucose  
387 oxidase was first discovered in 1928 in an extract of *Aspergillus niger*. The fermentations are mostly  
388 accomplished by a submerged culture process wherein glucose and growth media are sterilized, and then  
389 the microbe is added to a vigorously stirred and oxygenated reaction vessel (Anastassiadis and Morgunov  
390 2007; Wong et al. 2008; May et al. 1934). In a batch process, reactants are added initially and the reaction is  
391 allowed to run to completion. In a fed batch process, the glucose is added at convenient intervals to  
392 increase the concentration of product in the batch. In a continuous process, the reaction products are  
393 continuously siphoned off and new reactants are continuously added until the microbe loses potency.  
394

395 The bulk of the production today is with submerged culture using *Aspergillus niger* as the active microbial  
396 (Blom et al. 1952; Anastassiadis and Morgunov 2007). To produce gluconic acid, the fermentation has to be  
397 done near pH 6, and the reaction product is a gluconic acid salt (Blom et al. 1952). The *Aspergillus niger*  
398 submerged culture fermentation is discussed in more detail below.  
399

400 Some production by continuous submerged culture process has involved the use of the yeast-like  
401 organism, *Aureobasidium pullulans*. Best yields are at pH 6.5. At this pH, gluconic acid is mostly present as



402 the gluconate salt. Other pH values led to poor product selectivity and yields (Seiskari et al. 1985;  
403 Anastassiadis et al. 2005; Ramachandran et al. 2006).

404  
405 Gluconic acid can also be produced by fermentation with *Aspergillus niger* immobilized in gels or on  
406 various supports, such as non-woven polypropylene. The process is slow and the product is contaminated  
407 with citric acid, making cleanup and isolation more difficult. Bacteria such as *Gluconobacter oxydans* have  
408 also been used as the active microbials. The advantage is that the bacterial dehydrogenase enzyme (GDH,  
409 E.C. 1.1.99.17) is not affected by low pH, so that gluconic acid can be isolated directly. The disadvantage is  
410 that the bacterial enzymes can oxidize gluconic acid further to keto acids. This oxidation makes purification  
411 of gluconic acid more difficult (Stubbs et al. 1940; Seiskari et al. 1985; Ramachandran et al. 2006).

412  
413 (3) Oxidation by Purified Enzymes

414 In the 1960s, some gluconic acid was produced with immobilized, purified glucose oxidase and catalase  
415 enzymes. Due to the current high cost of the purified enzymes, that method is seldom used today (Pazur  
416 and Kleppe 1964; Ramachandran et al. 2006; Anastassiadis and Morgunov 2007). Also, commercial  
417 enzymes today are likely to originate from Genetically Modified Organisms (GMOs), since GMO  
418 microbials can be engineered to overexpress the enzyme needed (Bankar et al. 2009; Singh and Kumar  
419 2007; Schuster et al. 2002).

420  
421 **Submerged Fermentation with *Aspergillus niger***

422 In concentrated glucose solutions at optimal pH 5 and in an excess of oxygen, glucose oxidase from  
423 *Aspergillus niger* oxidizes glucose to glucono delta-lactone, which then hydrolyzes in the acidic solution to  
424 gluconic acid (Rohr et al. 1983).

425  
426 The active oxidation center in glucose oxidase is a Flavin Adenine Dinucleotide (FAD) component. When  
427 glucose is oxidized, FAD of the enzyme is reduced. The reduced FAD is then oxidized by reaction with  
428 oxygen, forming hydrogen peroxide. Hydrogen peroxide is converted to water and oxygen by the  
429 *Aspergillus niger* enzyme catalase, completing the reaction cycle (Ramachandran et al. 2006).

430  
431 As the fermentation proceeds, the solution becomes more acidic due to production of gluconic acid. When  
432 the pH drops below 3.5, glucose oxidase is inactivated and the fermentation mechanism shifts toward  
433 production of citric acid. In fact, production of citric acid was one of the first industrial uses of *Aspergillus*  
434 *niger*. For production of gluconic acid, alkaline materials have to be added to the fermentation to neutralize  
435 the gluconic acid produced so that the process can continue to completion (Rohr et al. 1983; Soccol et al.  
436 2006).

437  
438 Most submerged *Aspergillus niger* fermentations today use various modifications of the Blom process to  
439 produce a gluconic acid salt (Blom et al. 1952; Ramachandran et al. 2006). In the Blom process, a growth  
440 medium containing fermentation nutrients and glucose feedstock is sterilized by heat. The growth medium  
441 contains glucose, corn steep liquor and urea, along with nutrient salts of magnesium sulfate, potassium  
442 dihydrogen sulfate, and ammonium phosphate. Initial pH is adjusted to 6.0-6.5. An *Aspergillus niger*  
443 inoculant solution is then added. Sodium hydroxide is added on a regular basis to maintain pH above 5.  
444 Sometimes calcium carbonate is used instead of sodium hydroxide. Fermentation times are about 10 to 45  
445 hours at 30°C.

446  
447 The glucose source used by Blom et al. came from corn starch. Currently, most production uses pure  
448 glucose monohydrate or glucose syrup. Other substrates include sucrose, hydrolysed starch, hydrolysed  
449 corn starch (hydrol), cane molasses, whey, waste paper, grape must, and other materials (Matsui et al. 2013;  
450 Mafra et al. 2015; Ramachandran et al. 2006).

451  
452 The *Aspergillus niger* strain used by Blom et al. was NRRL-3. [NRRL-3 is also called CBS 120.49 and ATCC  
453 1015.] The *Aspergillus niger* organism is discussed further in Evaluation Question 2.

454  
455 Fermentation proceeds with high aeration and vigorous mixing. Since the gluconic acid produced tends to  
456 inactivate glucose oxidase, sodium hydroxide is continuously added. The substance produced by

457 fermentation is actually sodium gluconate. Sodium gluconate yields of >90% were produced by this  
458 method (Blom et al. 1952; Rohr et al. 1983). Later refinements have led to almost quantitative conversion  
459 from glucose (>98%) (Ramachandran et al. 2006).

460  
461 To isolate sodium gluconate, the fungus is filtered off and the solution is concentrated then dried in a drum  
462 dryer, producing solid sodium gluconate. This is dissolved in water and recrystallized to give a product  
463 >99% pure. The method's simplicity and reliability has made it the industrial favorite. However,  
464 production of gluconic acid involves another step, such as running the solution through an ion exchange  
465 column (Blom et al. 1952; Rohr et al. 1983).

466  
467 Most commercial gluconic acid production today proceeds through sodium gluconate or calcium gluconate  
468 in modifications of the Blom et al. 1952 process, and most GDL used in organic processing, likely comes  
469 through this pathway (Ramachandran et al. 2006; Anastassiadis and Morgunov 2007).

470  
471 Suppliers of GDL include Jungbunzlauer, Roquette, PMP, Omicron, Purac, Westco and others. Gluconic  
472 acid and gluconates are supplied by Pfizer, Bristol-Meyers, Premier Malt Products, Roquette, Benckiser,  
473 and Fujisawa. Annual world production of gluconic acid is 60,000 to 100,000 metric tons (Singh and Kumar  
474 2007; Xavier et al. 2010).

475  
476  
477 **Evaluation Question #2: Discuss whether the petitioned substance is formulated or manufactured by a**  
478 **chemical process, or created by naturally occurring biological processes (7 U.S.C. § 6502 (21)). Discuss**  
479 **whether the petitioned substance is derived from an agricultural source.**

480  
481 GDL produced by fermentation with *Aspergillus niger* is currently classified as nonsynthetic as indicated by  
482 its listing under (a), *Nonsynthetics allowed*, of 7 CFR 205.605.

483  
484 Production of GDL by dissolving gluconic acid in water followed by fractional crystallization is a  
485 nonsynthetic process (Ramachandran et al 2006). Dissolving gluconic acid in water does not involve a  
486 chemical reaction. Spontaneous reaction of gluconic acid in water to form glucono delta-lactone (GDL) is a  
487 natural, nonsynthetic process that does not involve addition of chemical reagents. GDL formed from  
488 gluconic acid occurs naturally in many foods. Crystallization from water is a physical process that does not  
489 involve a synthetic chemical reaction.

490  
491 The gluconic acid needed to produce GDL is created by naturally occurring biological processes. The major  
492 production pathway is oxidation of glucose by aqueous submerged cultures of *Aspergillus niger*  
493 (Ramachandran et al. 2006; Blom et al. 1952) as described in Evaluation Question 1. More specifically,  
494 production of gluconic acid by *Aspergillus niger* in the Blom process proceeds through five distinct steps: (1)  
495 initial synthesis of glucono delta-lactone and gluconic acid by fermentation, (2) addition of sodium  
496 hydroxide or calcium carbonate to produce a gluconate salt, (3) isolation of sodium or calcium gluconate,  
497 (4) recrystallization of the gluconate salt, and (5) conversion of gluconate salts to gluconic acid. The  
498 chemical and biological processes are described below for each step.

499  
500 (1) Initial Synthesis

501 Oxidation of glucose to glucono delta-lactone by *Aspergillus niger* is a nonsynthetic spontaneous enzymatic  
502 process. Oxidation by glucose oxidase also occurs naturally, and glucono delta-lactone is present in food.  
503 Glucono delta-lactone then hydrolyzes to gluconic acid in a spontaneous process that is also nonsynthetic.  
504 Hydrolysis occurs naturally whenever glucono delta-lactone is added to water (Ramachandran et al. 2006).

505  
506 (2) Addition of Alkali, Producing a Salt

507 When sodium hydroxide is added to the fermentation, an acid-base reaction occurs, forming sodium  
508 gluconate.

509  
510 (3) Isolation of the Salt

511 Isolation of sodium gluconate solid by evaporation of the fermentation medium is a nonsynthetic process.  
512 Nothing new is formed, and the sodium ions and gluconate ions in solution combine to form a solid salt  
513 when the water is removed. No chemical reaction has occurred. The ions present in solution are now in a  
514 solid matrix.

515

#### 516 (4) Recrystallization of the Salt

517 The solid sodium gluconate is dissolved in water and recrystallized. At this point most impurities such as  
518 sodium hydroxide, growth media salts, and other substances introduced during fermentation are removed.  
519 The sodium gluconate salt is unchanged by recrystallization, and the purity is >99%.

520

#### 521 (5) Conversion of Salt to Gluconic Acid

522 When the solid sodium gluconate is dissolved in water and passed through an ion exchange column, the  
523 polymeric beads in the column act like a filter, removing sodium ions. Sodium ions are electrostatically  
524 attached to the beads. This is a form of ionic attraction, and not a chemical reaction where bonds are broken  
525 and new bonds are formed.

526

527 Hydrogen ions from the column are then exchanged for the sodium ions. The hydrogen ions react with  
528 gluconate ions, forming gluconic acid. The calcium gluconate produced by glucose fermentation by  
529 *Aspergillus niger* in the presence of calcium carbonate can also be converted into gluconic acid. Either an ion  
530 exchange column is used, or sulfuric acid is added to a calcium gluconate solution. Insoluble calcium  
531 sulfate forms, which is filtered off to leave a solution of gluconic acid. This is an acid-base reaction where  
532 hydrogen ions from sulfuric acid react with gluconate ions, forming gluconic acid.

533

#### 534 *Aspergillus niger*

535 *Aspergillus niger* is often found in nature. It grows on a wide variety of substrates, producing spores. The  
536 initial fermentation experiments in the 1930s used strains isolated from the environment. Some of these  
537 were archived by American Type Culture Collection (ATCC) and other organizations. As the process  
538 became more industrialized, special production strains were produced by mutation (Soccol et al. 2006). The  
539 strain NRRL-3 (also called CBS 120.49, ATCC 1015) used by Blom et al. (1952) is a wild type strain. It is one  
540 of the most commonly used industrial strains (Frisvad et al. 2011).

541

542 Up to the 1980s, special strains used for industrial fermentation came from mutation with chemicals, UV  
543 light, and gamma radiation. As genetic engineering techniques became more ubiquitous, some GMO  
544 strains were created. Many of the GMO strains of *Aspergillus niger* are used to produce purified enzymes  
545 that have industrial importance (Soccol et al. 2006; van Dijck et al. 2003).

546

547 Mutants and GMOs are seldom used in gluconic acid production from pure glucose because the wild  
548 industrial strains available can produce nearly quantitative conversions in 24 to 48 hours (Ruijter et al.  
549 2002). However, there are instances where mutants and GMOs are used, especially for complex substrates  
550 (Purane et al. 2012; Singh and Kumar 2007).

551

552 FDA requirements for GRAS use of GDL as a food additive stipulate that microbes used for production be  
553 "non-pathogenic and non-toxicogenic to man and other animals" (21 CFR 184.1318). The safety of  
554 *Aspergillus niger* is discussed in Evaluation Question 10.

555

#### 556 **Similarities to Citric Acid Production**

557 Citric acid is produced by a similar process involving *Aspergillus niger* fermentation of molasses, sucrose,  
558 starch and other substrates. In that process, mutant strains of *Aspergillus niger* are used. The product is  
559 isolated by the addition of lime to produce the insoluble salt, tricalcium citrate tetrahydrate. The salt is  
560 treated with sulfuric acid, producing soluble citric acid and insoluble calcium sulfate. The calcium sulfate is  
561 filtered off and the solution is concentrated until citric acid crystallizes. Alternatively, removal of the citric  
562 acid from the fermentation medium is carried out by solvent extraction or by more esoteric methods  
563 (Soccol et al. 2006; OMRI 2015). Citric acid produced by this process is listed as nonsynthetic at 7 CFR  
564 205.605(a). However, the salts produced by the reaction of citric acid with bases such as sodium hydroxide  
565 are listed as synthetic at 7 CFR 205.605(b).

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### **Agricultural Sources**

GDL is classified as a nonagricultural substance as indicated by its listing at 7 CFR 205.605, *Nonagricultural (nonorganic) substances*. The starting materials, such as cornstarch or molasses that are necessary for production of gluconic acid are agricultural products.

### **Evaluation Question #3: If the substance is a synthetic substance, provide a list of nonsynthetic or natural source(s) of the petitioned substance (7 CFR § 205.600 (b) (1)).**

GDL produced by fermentation with *Aspergillus niger* is currently classified as nonsynthetic as indicated by its listing under (a), *Nonsynthetics allowed*, of 7 CFR 205.605. There are no other convenient nonsynthetic sources. Fermentation with other organisms is less convenient, and involves cleanup and isolation steps that are possibly synthetic. Production by purified enzymes is expensive, and may involve GMOs (see Evaluation Question 1).

### **Evaluation Question #4: Specify whether the petitioned substance is categorized as generally recognized as safe (GRAS) when used according to FDA's good manufacturing practices (7 CFR § 205.600 (b)(5)). If not categorized as GRAS, describe the regulatory status.**

FDA lists glucono delta-lactone as a GRAS food additive. It is used as a curdling agent for cheese, a coagulant for tofu (21 CFR 133.129), and as a component in canned green or wax beans (21 CFR 155.120). It is a GRAS food additive as a curing and pickling agent, leavening agent, pH control agent, and sequestrant (21 CFR 184.1318).

### **Evaluation Question #5: Describe whether the primary technical function or purpose of the petitioned substance is a preservative. If so, provide a detailed description of its mechanism as a preservative (7 CFR § 205.600 (b)(4)).**

GDL can be used to help preserve food, but it is also used in making tofu, as a leavening agent in bread, and in cheesemaking (FASEB 1981; PMP 2004). It is used to make preservatives more effective, reducing the amount of salt needed to preserve meat, and reducing the amount of nitrites needed in sausage (Jungbunzlauer 2008; PMP 2004).

Its function as a preservative depends partly on the acidity produced by gluconic acid. This acidity retards growth of some microbes. Making a substrate acidic also increases efficacy of preservatives such as sodium benzoate, which is more effective in non-ionized form. However, use of sodium benzoate would not be allowed in organic processing. GDL also helps preserve food by chelating metal ions that catalyze decay (Jungbunzlauer 2008). The mechanism of preservation is discussed further in *Action of the Substance*.

### **Evaluation Question #6: Describe whether the petitioned substance will be used primarily to recreate or improve flavors, colors, textures, or nutritive values lost in processing (except when required by law) and how the substance recreates or improves any of these food/feed characteristics (7 CFR § 205.600 (b)(4)).**

GDL is not used primarily to improve flavors, colors, textures, or nutritive values lost in processing. It is used as a coagulant, a leavening agent, a sequestrant, and for other uses. When used in canning (21 CFR 155.120), it can prevent the loss of color and texture from excessive heat processing. When used in frankfurters, it accelerates curing and production of color (Jungbunzlauer 2008; Heil et al. 1988; Acton and Dick 1977).

621 **Evaluation Question #7: Describe any effect or potential effect on the nutritional quality of the food or**  
622 **feed when the petitioned substance is used (7 CFR § 205.600 (b)(3)).**  
623

624 Addition of GDL is nutritionally equivalent to addition of glucose (UNEP 2006; Stetten and Stetten 1950).  
625 Addition of GDL increases the number of calories available. The physical structure of proteins are changed  
626 when GDL is used as a coagulant for tofu and cottage cheese, but nutrition is not affected (Chang 2006).  
627

628 Addition of GDL in quantities greater than 0.44% by weight (25 mM) to soybean milk gives a sour taste to  
629 the resulting tofu (Tsai et al. 1981).  
630

631 The use of GDL instead of calcium salts for tofu coagulation results in a tofu that has less calcium and  
632 phosphate ion, and thus less nutrition. GDL tofu also has a higher water content (90% versus 84-88% for  
633 calcium ion coagulants) (Tseng et al. 1977).  
634

635 When used in bread, the gluconic acid produced reacts with baking soda, releasing carbon dioxide gas and  
636 causing the dough to rise. Nutrition is generally not affected, but taste of the final product depends on the  
637 leavening agent used (Lai and Lin 2006). GDL is also used in sodium free baking powder. In this instance,  
638 the nutrition is changed because potassium bicarbonate is substituted for sodium bicarbonate  
639 (Jungbunzlauer 2012).  
640

641  
642 **Evaluation Question #8: List any reported residues of heavy metals or other contaminants in excess of**  
643 **FDA tolerances that are present or have been reported in the petitioned substance (7 CFR § 205.600**  
644 **(b)(5)).**  
645

646 Standard of purity for GDL is listed in the *Food Chemicals Codex*. It must be >99% pure. It can contain up to  
647 4mg/kg of lead. Standards for other heavy metals are not listed. Up to 0.5% glucose contaminant is  
648 allowed (Food Chemicals Codex 2004). No reports of GDL contamination were found on the Internet or in  
649 CAB or Pub Med databases as of September 29, 2015.  
650

651  
652 **Evaluation Question #9: Discuss and summarize findings on whether the manufacture and use of the**  
653 **petitioned substance may be harmful to the environment or biodiversity (7 U.S.C. § 6517 (c) (1) (A) (i)**  
654 **and 7 U.S.C. § 6517 (c) (2) (A) (i)).**  
655

656 Manufacture of GDL by fermentation is not expected to be harmful to the environment or biodiversity.  
657 All manufacturing steps of gluconic acid and GDL take place in closed systems in all countries of  
658 production. Only maintenance workers and laboratory personnel are potentially exposed to any  
659 components (UNEP 2006). The fermentation medium contains nutrient salts, glucose, and *Aspergillus niger*  
660 (See Evaluation Question 1).  
661

662 *Aspergillus niger* is a ubiquitous fungus that occurs naturally, so it is already present in the environment. It  
663 is generally thought to be safe, but human infections have occurred in cases of compromised immune  
664 systems (Schuster et al. 2002; UNEP 2006) (see Evaluation Question 10). With batch fermentation, fungi are  
665 recycled through many batches. Solid microbial residues can be composted (Blom et al. 1952).  
666

667 Fermentation media contains nutrient salts of low toxicity. Magnesium sulfate, dihydrogen phosphate and  
668 ammonium phosphate are used. Amounts not used for microbial growth could be recovered during  
669 recrystallization of gluconate salts (Blom et al. 1952).  
670

671  
672 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use of**  
673 **the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i)) and 7 U.S.C. § 6518**  
674 **(m) (4)).**  
675

676 The U.S. FDA classifies GDL as a permitted food additive that is GRAS (Generally Recognized as Safe) (21  
677 CFR 184.1318). The Pub Med Database contains no reported effects of GDL on human health (Pub Med,  
678 October 5, 2015).

679  
680 To determine possible human health effects, the toxicity of GDL should be considered. GDL is  
681 metabolically related to glucose, gluconic acid and gluconate salts. In the body, about 10% of all glucose  
682 ingested is converted to gluconate. The gluconate is then either phosphorylated and oxidized further, or is  
683 converted back to glucose. This means that there is a natural exposure to gluconate from the food supply.  
684 On average, about 275 g of glucose are ingested daily, resulting in a gluconate exposure of about 450  
685 mg/kg/day for a 60 kg human (UNEP 2006).

686  
687 GDL is mostly metabolized in the same way as glucose and is nutritionally equivalent (Stetten and Stetten  
688 1950; Stetten and Topper 1953; UNEP 2006).

689  
690 Gluconates have low toxicity. The oral LD50 of sodium gluconate in a rat is >2000 mg/kg. The oral LD50 of  
691 potassium gluconate in a rat is 6060 mg/kg, and the oral LD50 of GDL in a rat is 5940 mg/kg (JECFA 1999;  
692 UNEP 2006). [In toxicology, the LD50 refers to the amount of a substance that will kill half the test  
693 population.]

694  
695 GDL is not mutagenic, and does not cause birth defects or reproductive problems. Rats fed 10% GDL in rat  
696 feed for 24 months (4920-5720 mg/kg) showed no adverse effects (UNEP 2006).

697  
698 When 10 g doses of GDL were fed to humans, about 7-15% was recovered from urine and the rest was  
699 metabolized. Doses of 5 g were completely metabolized. Doses of 15 g administered frequently caused  
700 diarrhea (FASEB 1981; JECFA 1999).

#### 701 702 **GDL Consumption**

703 In the U.S. in 1970, annual consumption was 73,000 kg and the daily per capita average was 0.9 mg GDL.  
704 Amounts added to food (expressed as weighted mean) were: 0.31% baked goods, 1.01% milk products, 1%  
705 cheese, 0.25% drinks, and 0.15% meat (FASEB 1981).

706  
707 Current rates of addition are limited to 0.5% (5 g/kg) in sausages, except 1% (10g/kg) is allowed for Genoa  
708 sausage. About 0.3% is added to restructured meat, and 0.5% is added to pork liver pate (PMP 2004).

709  
710 About 0.4% to 1.2% is added to pasta noodles. In bakery products, 1 gram is added for every 0.472 g of  
711 baking soda. This is about 4% of the total weight of flour in cake mixes, bread, and pastries (Feldberg 1959).  
712 About 1% is added to canned fruit and vegetables. Amount of GDL added to cheese is 12% of milk solids.  
713 In tofu, GDL is 0.3% or 0.4% of the weight of soymilk (PMP 2004).

714  
715 The annual worldwide consumption of gluconic acid is about 87,000 tons, or 87 million kg (191 million lbs).  
716 Of this, about 30,000 tons or 30 million kg (66 million lbs) are used in food. From this data, one can make a  
717 very rough estimate of GDL per capita consumption. Assuming all food uses are GDL, and it is consumed  
718 by a billion people in industrialized countries, per capita consumption would be 30 grams a year, or 82  
719 mg/day. This is a high estimate because gluconate salts as well as GDL are used in food (Singh and Kumar  
720 2007).

721  
722 Another estimate of worldwide annual consumption of GDL is 10,000 to 20,000 tons (UNEP 2006). This  
723 would give a very rough estimate of per capita consumption in industrialized countries of 10-20 g/year or  
724 27-55 mg/day.

725  
726 Per capita GDL use has increased since 1970 from 0.9 mg to at least 27 mg/day, but amounts used are well  
727 below any toxic thresholds (FASEB 1981; UNEP 2006; JECFA 1999). [Note: This is a very rough estimate.  
728 The 1970 figure refers to the U.S., and the current estimate is based on worldwide consumption.]

#### 729 730 **Health Effects of *Aspergillus niger***

731 U.S. FDA requirements for GRAS use of GDL as a food additive stipulate that microbes used for  
732 production be “non-pathogenic and non-toxicogenic to man and other animals” (21 CFR 184.1318).  
733 *Aspergillus niger* is thought to be a safe microbe. It occurs naturally on many food plants. Humans are  
734 exposed to spores every day in gardens and other outdoor situations without harm. However, infections of  
735 humans can occur when immune systems are compromised. Some people may be allergic to the spores.  
736 Toxic materials produced in some cases include nigracillin, plant malformins, and naphtho-gamma-pyrones  
737 (Schuster et al. 2002).

738  
739 *A. niger* does not produce aflatoxins or trichothenes, but one review found about 6% of *A. niger* isolates  
740 produce ochratoxin A that can damage kidneys (Schuster et al. 2002). Later work found the frequency of  
741 occurrence was higher, especially in industrial strains. Toxins such as fumonisins can also be produced  
742 (Frisvad et al. 2011).

743  
744 *A. niger* has been well studied, and three strains: NRRL-3, CBS 513.88 and ATCC 1015 have had their  
745 genomes sequenced (Baker 2006). Most strains have the genes to produce fumonisins and ochratoxins.  
746 Agar is the most favorable growth medium for production of the toxins. In one experiment, 83% of  
747 industrial strains grown on agar produced fumonisins, 33% produced ochratoxins, and 26% produced both  
748 (Frisvad et al. 2011).

749  
750 Therefore, many industrial strains will produce toxins when grown on agar. But if any toxins are produced  
751 during industrial production, amounts produced are diluted below the level of detection. According to  
752 Frisvad et al. (2011), “numerous batches of all products have been tested for cytotoxicity, carcinogenicity  
753 and other tests for product approval. These are all tests which clearly would have picked up significant  
754 concentrations of ochratoxins and/or fumonisins, indicating that these toxins may not be produced under  
755 industrial submerged growth conditions” (Frisvad et al. 2011).

756  
757  
758 **Evaluation Question #11: Describe any alternative practices that would make the use of the petitioned**  
759 **substance unnecessary (7 U.S.C. § 6518 (m) (6)).**

760  
761 The original petitioned use for GDL was for production of tofu, especially silken tofu. Silken tofu can be  
762 produced with coagulants other than GDL, but the process is not as convenient, because the soymilk must  
763 be chilled to slow coagulation. However, the flavor may be better (Chang 2006).

764  
765 GDL is added to foods to replace older practices such as making bread with yeast or culturing cheese with  
766 bacteria. Yeast is still used extensively to make bread. As it grows, it produces carbon dioxide that causes  
767 the bread to rise. Organic acids are produced as well as carbon dioxide, and these give yeast bread its  
768 unique flavor. Making bread this way is time consuming, and the bread must be kneaded (Lai and Lin  
769 2006).

770  
771 Bread can also be made to rise by reaction between sodium bicarbonate and acidic chemical leaveners. The  
772 process is more convenient than the use of yeast. GDL is especially convenient for frozen or refrigerated  
773 dough because cold temperatures adversely affect baking yeast. GDL is slowly converted to gluconic acid  
774 as the dough is heated, causing production of carbon dioxide. Other chemical leaveners such as potassium  
775 tartrate can also be used. Each acidic chemical leavener has a different reaction profile as the temperature  
776 increases (Lai and Lin 2006; PMP 2004).

777  
778 Bacterial cultures can be used in cheesemaking instead of acidification with GDL. The taste of the cultured  
779 cheese is better, but use of GDL is easier and produces a more uniform product (Rankin et al. 2006). Other  
780 chelating agents such as ethylenediaminetetraacetic acid (EDTA) can be used to replace GDL’s chelating  
781 actions. Sulfites can be used to preserve seafood, and nitrites can be used to preserve sausages (PMP 2004).  
782 However, EDTA, sulfites and nitrites would not be allowed in organic processed products.

783  
784 One advantage of GDL is that it can help replace materials that are more toxic, such as nitrites in sausage,  
785 but some believe use of GDL in sausage leads to inferior taste (Toldra 2006). GDL is used because of

786 convenience, economics, to increase yields, and for long shelf life. There are alternatives that in some cases  
787 produce a better tasting product. In other cases, taste suffers when GDL is replaced (PMP 2004; Toldra  
788 2006; Rankin 2006; Lai and Lin 2006; Chang 2006).

789  
790

791 **Evaluation Question #12: Describe all natural (non-synthetic) substances or products which may be**  
792 **used in place of a petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (ii)). Provide a list of allowed**  
793 **substances that may be used in place of the petitioned substance (7 U.S.C. § 6518 (m) (6)).**

794

795 Organic silken tofu made with calcium sulfate and nigari and without GDL is commercially available (e.g.  
796 Nasoya Organic Silken Tofu). GDL does not appear to be necessary to make silken tofu (Nasoya 2015;  
797 Chang 2006).

798

799 Yeast can be used to make bread instead of using chemical leaveners such as GDL (Lai and Lin 2006).  
800 Lactic acid bacteria and rennet can be used in cheesemaking to coagulate milk (Rankin 2006).

801

802 GDL can be replaced by other acids in canning processes, but other acids may produce less flavorful food  
803 (PMP 1994; McGlynn et al. 1993; Rubico and McDaniel 1992). GDL has a bland taste that does not intrude  
804 on the quality of the product.

805

806

807 **Evaluation Information #13: Provide a list of organic agricultural products that could be alternatives for**  
808 **the petitioned substance (7 CFR § 205.600 (b) (1)).**

809

810 Juice from organic lemons could be used as a coagulant for tofu (Sanjay et al. 2008). However, the lemon  
811 juice may give an undesirable flavor, and tofu produced with GDL and other coagulants may have a better  
812 taste (Chang 2006). Although the Internet features a number of recipes for tofu coagulated with lemon  
813 juice, no commercial organic products are currently available.

814

815

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816

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