

Ascorbyl Palmitate

Handling/Processing

Identification of Petitioned Substance

	19	
Chemical Names:	20	Ascorbyl monopalmitate
Ascorbyl palmitate	21	Cetyl ascorbate
2,3-didehydro-L-threo-hexono-1,4-lactone-6-palmitate	22	Ascorbylpalmitic acid
L- ascorbic acid 6-hexadeconoate	23	6-O-palmitoyl L-ascorbic acid
6-palmitoyl-3-keto-L-gulofuranolactone	24	Ondascora
	25	Quicifal
IUPAC name:	CAS Number:	
[2-(4,5,-dihydroxy-3-oxofuran-2-yl)-2-hydroxyethyl] hexadeconoate	137-66-6	
Other Names:	Other Codes:	
Vitamin C palmitate, ascorbic acid palmitate	800155 (U.S. EPA PC Code)	
Vitamin C ester	E 304 (European Union INS number)	
L-Ascorbyl palmitate, 1-ascorbyl palmitate	205-305-4 (EINECS number)	
	CI7671040 (RTECS Code)	

Characterization of Petitioned Substance

Composition of the Substance:

Ascorbyl palmitate is an antioxidant, antimutagenic, and antineoplastic compound (i.e., inhibits the development of neoplasms, controls growth of malignant cells) composed of carbon, hydrogen, and oxygen, $C_{22}H_{38}O_7$ (ChemIDplus Advanced, 2011). The main use of ascorbyl palmitate in processed foods is as a preservative, which capitalizes on the antioxidant functions of the compound. It is an ester of ascorbic acid (vitamin C) and palmitic acid and is assumed to be hydrolyzed back to these parts when metabolized by the body (Madhavi and Salunkhe, 1995; Akoh and Min, 2008). The molecular structures of palmitic acid, ascorbic acid, and ascorbyl palmitate are shown in Figure 1.

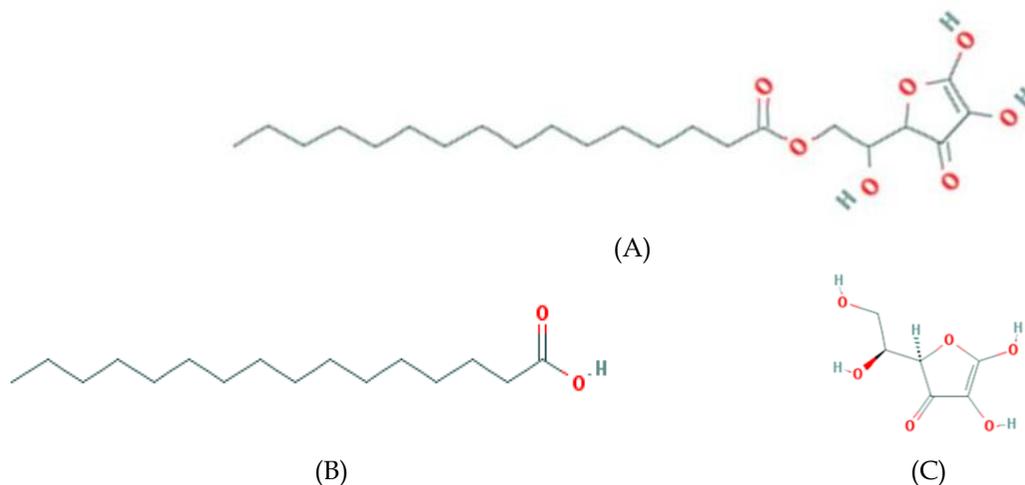


Figure 1. Molecular Structures

Ascorbyl Palmitate (A); Palmitic Acid (B); Ascorbic Acid (C)

Source: PubChem, 2011

43 Properties of the Substance:

44
45 Commercial preparations of ascorbyl palmitate are solid powders with a citrus-like odor and white to
46 yellowish color (HSDB, 2011). Ascorbyl palmitate is an amphipathic compound meaning that it has both a
47 hydrophobic (apolar) tail and a hydrophilic (polar) head and, therefore, is both lipophilic and water soluble
48 (Meves et al., 2002). Ascorbyl palmitate is chosen over ascorbic acid as an ingredient in many foods due to
49 its lipophilic (i.e., fat-soluble) property (Coppen, 1999). Physical and chemical properties of ascorbyl
50 palmitate are provided in Table 1.

51
52

Table 1. Physicochemical Properties of Ascorbyl Palmitate

Property	Value ^a
Physical state	Solid
Appearance	White to yellow-white powder
Odor	Citrus-like
Molecular weight	414.533
Melting point (°C)	112
Solubility in water (mg/L at 25°C)	Slightly soluble, 7.44×10^{-2}
Solubility in other solvents	Soluble in alcohol, animal oil, vegetable oil
Vapor pressure (mm Hg at 25°C)	2.09×10^{-15}
Octanol/water partition coefficient (log K_{ow})	6.00
Henry's Law constant (atm·m ³ /mol at 25°C)	1.40×10^{-7}

^aSource: HSDB, 2011

53
54
55

Specific Uses of the Substance:

56
57 Ascorbyl palmitate is an antioxidant used as a food additive to prevent rancidity (the decomposition of fats
58 and oils due to oxidation) and to preserve canned foods, frozen foods, and cured meats (HSDB, 2011).
59 Additionally, ascorbyl palmitate is used to preserve a number of nonfood products such as
60 pharmaceuticals, cosmetics, fragrances, and colorings (Ash and Ash, 2004). Ascorbyl palmitate is
61 considered by some researchers to be a source of bioavailable vitamin C (Ash and Ash, 2004) and is readily
62 available for purchase as a nutritional supplement. No information was identified to indicate that ascorbyl
63 palmitate is added to processed foods for nutritional purposes.

64
65 In August 2011, a petition was filed for the inclusion of ascorbyl palmitate to the National List of Allowed
66 and Prohibited Substances (hereafter referred to as the National List) at 7 CFR 205.605(b) as a synthetic
67 nonagricultural substance in or on processed infant formula products labeled as "organic" or "made with
68 organic (specified ingredients or food group(s))." Many infant formulas contain polyunsaturated chain
69 fatty acids (PUFA) as some researchers believe they are essential for visual and cognitive development in
70 infants (Gil et al., 2003; Simmer et al., 2008). However, these compounds are susceptible to oxidation and
71 free radical formation, which can result in bad flavors and odors (Jacobsen, 2010). Adding antioxidant oils
72 such as ascorbyl palmitate can help control oxidation of lipids like PUFA (Jacobsen, 2010).

73
74

Approved Legal Uses of the Substance:

75
76 The Food and Drug Administration (FDA) lists ascorbyl palmitate as a food additive that is generally
77 recognized as safe (GRAS) for human consumption (21 CFR 182.3149) and for animal drugs, feeds, or other
78 related products (21 CFR 582.3149) when used in accordance with good manufacturing or feeding practice
79 (see Evaluation Question #4). The FDA standard of identity for margarine lists ascorbyl palmitate as an
80 optional ingredient allowed as a preservative at up to 0.02% by weight of the finished product (21 CFR
81 166.110[b]).

82

83 FDA regulates infant formulas for sale in the U.S under 21 CFR 107. The regulation does not include
84 specifications for the use of ascorbyl palmitate.

85
86 Ascorbyl palmitate can be used legally as a human dietary supplement, but it is not registered with the
87 FDA for this use. The FDA does not regulate human dietary supplements in the same way as drugs or
88 animal feed additives; generally, manufacturers do not need to register their products with FDA or get
89 approval before producing and selling supplements for human consumption. The product manufacturer is
90 responsible for ensuring the safety of the product. The FDA is responsible for taking action regarding an
91 unsafe product after it reaches the market and to make sure the supplement's label is accurate and not
92 misleading (FDA, 2005).

93 94 **Action of the Substance:**

95
96 The preservative action of ascorbyl palmitate is attributable to its antioxidant properties. Ascorbyl
97 palmitate, along with other similar antioxidants like ascorbic acid, erythorbic acid, and sulfites, can
98 function as an oxygen scavenger (Gunstone, 2001; Akoh and Min, 2008). This means it is a reducing agent
99 that scavenges free oxygen atoms in a food, drink, oil, or other such product and donates a hydrogen atom
100 thereby preventing oxidation of the product (Akoh and Min, 2008). A study by Lee et al. (1999) showed
101 that ascorbyl palmitate was very effective at minimizing oxidation in oils, including soybean oil, cottonseed
102 oil, corn oil, tallow, lard, and linoleic acid, through its action as a singlet oxygen quencher. Singlet oxygen
103 quenchers prevent oxidation by reacting with the singlet oxygen molecule before it has a chance to oxidize
104 the lipid, preventing free radicals or peroxides from being formed (Buettner and Schafer, 2002). Ascorbyl
105 palmitate can also act as a chain-breaking antioxidant; however, this action is weak and dependant on the
106 type of oil being oxidized (Gunstone, 2001). Chain-breaking antioxidants slow or stop oxidation after it has
107 already started by intercepting peroxy radicals that were formed when a lipid was oxidized. These
108 peroxy radicalations feed a chain of oxidation events, and so a chain-breaking antioxidant breaks the cycle
109 of continued oxidation reactions (Buettner and Schafer, 2002).

110
111 Ascorbyl palmitate is most effective as a secondary or synergistic antioxidant (Gunstone, 2001; Eitenmiller
112 and Lee, 2006) (see "Combinations of the Substance" below). Secondary antioxidants work by promoting
113 the activity of a primary antioxidant (Eitenmiller and Lee, 2006). For example, ascorbyl palmitate works
114 synergistically with antioxidant tocopherols by regenerating the tocopherols that are lost during the
115 antioxidantizing reactions (Gunstone, 2001).

116 117 **Combinations of the Substance:**

118
119 Ascorbyl palmitate is rarely used on its own as an antioxidant agent (Coppen, 1999). As mentioned under
120 "Action of the Substance," it is often combined with tocopherols – natural antioxidants that are
121 commercially available in synthetic forms (Coppen, 1999; Lee et al., 1999; Akoh and Min, 2008). Synthetic
122 tocopherols are currently included on the National List as a nonagricultural ingredient allowed in or on
123 processed products labeled as "organic" or "made with organic (specified ingredients or food group(s))"
124 provided that they are derived from vegetable oil and only when rosemary extracts are not a suitable
125 alternative (21 CFR 205.605(b)).

126
127 Ascorbyl palmitate is often added to oils containing compounds like the polyunsaturated fatty acids
128 docosahexaenoic acid (DHA), arachidonic acid (ARA), and docosapentaenoic acid (DPA), because it
129 prevents oxidation and related adverse effects on the nutritional quality, odor, and flavor of the oil (Bartee
130 et al., 2007; Jacobsen, 2010). DHA algal oil and ARA single cell oil have been petitioned to be added to the
131 National List.

132
133 Ascorbyl palmitate is petitioned for addition to infant formula, which contains a number of nutrients
134 (protein, calcium, iron, thiamin, biotin, phosphorus, magnesium, zinc, riboflavin, niacin, pantothenic acid,
135 iodine, copper, potassium, and vitamins A, C, D, E, B₆ and B₁₂) included on the National List through the
136 listing of "nutrient vitamins and minerals, in accordance with 21 CFR 104.20, "Nutritional Quality
137 Guidelines For Foods" (7 CFR 205.605). The NOP recently published a proposed rule that would amend

138 and correct the National List cross-reference to the FDA regulation 21 CFR 104.20, and specify that certain
139 nutrients are allowed in non-milk based infant formulas as required by 21 CFR 107.100 (USDA, 2012).

140
141 Mixtures of food ingredients including carbohydrates, proteins, fats, and stabilizers are expected to be
142 included in infant formula and other foods to which ascorbyl palmitate is added. These ingredients will
143 vary significantly with the type of product and manufacturer.

144
145 Ascorbyl palmitate is lipid soluble, but is not highly soluble; so it is often combined with solubilizing
146 agents such as monoglyceride (Coppen, 1999). Monoglycerides are included on the National List as a
147 nonagricultural ingredient allowed in or on processed products labeled as “organic” or “made with
148 organic (specified ingredients or food group(s))” with the specification that they are for use only in drum
149 drying of food (21 CFR 205.605(b)).

Status

151
152
153 **Historic Use:**

154
155 The antioxidant properties of ascorbyl palmitate were identified by American chemist and food scientist
156 Lloyd A. Hall (1874–1971), who discovered that fatty and oily foods became rancid due to oxidation
157 processes (Carey, 2006; Mabunda, 1994). In addition to identifying ascorbyl palmitate as an antioxidant,
158 Hall is credited with developing methods to use antioxidants like ascorbyl palmitate, citric acid, lecithin,
159 and propyl gallate to treat foods and prevent oxidation (Carey, 2006; Mabunda, 1994). Hall’s discoveries
160 were reported in a patent issued on March 22, 1949 (U.S. Patent 2,464,927).

161
162 The standard of identity for margarine, in which ascorbyl palmitate is currently listed as an optional
163 ingredient allowed as a preservative at up to 0.02% by weight of the finished product (21 CFR 166.110), was
164 first promulgated in 1977 and last amended in 1998. It is unclear whether ascorbyl palmitate was included
165 in the initially written standard or added during a subsequent amendment.

166
167 **OFPA, USDA Final Rule:**

168
169 Ascorbyl palmitate is not allowed as an ingredient in organic production or handling (7 CFR 205.105).
170 Ascorbyl palmitate is not currently listed under 7 CFR 205.605(b) as a synthetic substance allowed in or on
171 processed products labeled as “organic” or “made with organic (specified ingredients or food group(s)).”

172
173 **International:**

174
175 The Codex Alimentarius Commission of the Joint FAO/WHO Food Standards Programme, to which the
176 United States is a member, does not list ascorbyl palmitate as an allowed substance for organically-
177 produced foods. Ascorbic acid is listed as an approved food additive for organically-produced foods
178 (Codex Alimentarius Commission, 2001). Minerals (including trace elements), vitamins, essential fatty and
179 amino acids, and other nitrogen compounds are permitted for use as food additives in organic processed
180 foods only when their use is legally required in the food products in which they are incorporated (CODEX
181 Alimentarius Commission, 2001). The Codex world-wide standard for infant formula that ascorbyl
182 palmitate is an antioxidant allowed in all types of infant formulas up to a maximum level of 1 mg per
183 100 mL of consumption-ready product, either singly or in combination with mixed tocopherol concentrate.
184 A minimum required level is not established (Codex Alimentarius Commission, 1981).

185
186 The International Federation of Organic Agriculture Movements (IFOAM) does not list ascorbyl palmitate
187 within its “Norms for Organic Production and Processing” (IFOAM, 2006). However, the IFOAM Norms
188 state that, “Minerals (including trace elements), vitamins and similar isolated ingredients shall not be used
189 unless their use is legally required or where severe dietary or nutritional deficiency can be demonstrated”
190 (IFOAM, 2006). IFOAM does, however, list ascorbic acid as an approved food additive (IFOAM, 2006).

192 The East African Organic Product Standard and the Pacific Organic Standard were both created using the
193 IFOAM and Codex guidelines as models; both standards do not list ascorbyl palmitate but do list ascorbic
194 acid as an allowed additive in organic food processing (East African Community, 2007; Secretariat of the
195 Pacific Community, 2008).

196
197 The Canadian Organic Production Systems Permitted Substances List does not include ascorbyl palmitate
198 (CGSB, 2011). However, “non-synthetic sources of vitamins” and “synthetic sources of vitamin C (ascorbic
199 acid)” can be used in crop production. Nonsynthetic ascorbic acid is allowed as a food additive; synthetic
200 ascorbic acid is allowed as a food additive in fruits and vegetables only if nonsynthetic forms are not
201 commercially available (CGSB, 2011). Canadian Food and Drug Regulations do not require infant formula
202 to contain ascorbyl palmitate under Section B.25.054. Additionally, Section B.25.062 states that no food can
203 be labeled or advertised for consumption by infants if it contains a food additive with the exception of
204 those listed in the regulation; ascorbyl palmitate and oils to which ascorbyl palmitate have been added are
205 exceptions (Health Canada, 2011).

206
207 The European Economic Community (EEC) Council Regulation does not list ascorbyl palmitate as an
208 additive allowable in organic foods (Commission of the European Communities, 2008). The regulation
209 does list ascorbic acid as a permitted food additive in processed organic meats. While minerals (trace
210 elements included), vitamins, amino acids, and micronutrients are allowed in the processing of organic
211 food, they are only authorized if their use is legally required in the foodstuffs in which they are
212 incorporated (Commission of the European Communities, 2008). For example, European Commission
213 Directive 2006/141/EC states that infant formula may contain ascorbyl palmitate as a formulation for
214 vitamin C in order to satisfy the requirements on vitamins as specified in other sections of the directive
215 (Commission of the European Communities, 2006).

216
217 The Japanese Agricultural Standard for Organic Processed Foods does not list ascorbyl palmitate, but does
218 list ascorbic acid as a food additive allowed in processed foods of plant origin only (JMAFF, 2006).

219

Evaluation Questions for Substances to be used in Organic Handling

220
221
222 **Evaluation Question #1: Describe the most prevalent processes used to manufacture or formulate the**
223 **petitioned substance. Further, describe any chemical change that may occur during manufacture or**
224 **formulation of the petitioned substance when this substance is extracted from naturally occurring plant,**
225 **animal, or mineral sources (7 U.S.C. § 6502 (21)).**

226
227 Ascorbyl palmitate is typically manufactured through industrial-scale chemical reactions (Humeau et al.,
228 1995; Pokorny et al., 2001), and these processes have been described in sources related to the food
229 applications of ascorbyl palmitate. In the most prevalent manufacturing process, ascorbic acid is esterified
230 with sulfuric acid (H₂SO₄), and the product of that reaction is esterified with palmitic acid (Pokorny et al.,
231 2001). This process is typically achieved by combining equal molar concentrations of ascorbic acid and
232 palmitic acid in a sulfuric acid solution and leaving it at room temperature for 16–24 hours (Madhavi et al.,
233 1996). The resulting ascorbyl palmitate is recovered and purified through a recrystallization process
234 (Pokorny et al., 2001). There are many patented processes for the chemical synthesis of ascorbyl palmitate.
235 These processes follow the general acid-catalyzed esterification process described above, but use solvents
236 other than sulfuric acid, (e.g., dimethylformamide, dimethyl sulfoxide, or hydrogen fluoride) (Humeau et
237 al., 1995).

238
239 Humeau et al. (1995) describe a lipase-catalyzed enzymatic synthesis for preparation of ascorbyl palmitate
240 in which *Candida antartica* lipase is used as the enzyme catalyst to convert ascorbic acid and palmitic acid to
241 ascorbyl palmitate. Similar processes have been described with other enzyme catalysts including
242 *Rhizomucor miehei*, *Pseudomonas cepacia*, and *C. rugosa*, which are isolated from porcine pancreas, and
243 *Bacillus stearothermophilus* SB-1, isolated from bacteria (Bradoo et al., 1999). Enzymatic synthesis processes
244 can achieve greater regioselectivity compared with acid-catalyzed esterification (i.e., results in more of the
245 desired derivative, so less intensive purification is needed); however, they generally result in a lower
246 overall product yield (Humeau et al., 1995). No information was found to indicate the relative amount of

247 ascorbyl palmitate that is industrially manufactured using enzymatic synthesis processes instead of acid
248 esterification.

249

250 For cosmetic formulations, ascorbyl palmitate has been prepared by condensing palmitoyl chloride and
251 ascorbic acid, using pyridine as a dehydrochlorinating agent. It can also be formed by reacting ascorbic
252 acid and palmitic acid as already described (HSDB, 2011).

253

254 **Evaluation Question #2: Is the substance synthetic? Discuss whether the petitioned substance is**
255 **formulated or manufactured by a chemical process, or created by naturally occurring biological**
256 **processes (7 U.S.C. § 6502 (21)).**

257

258 Ascorbyl palmitate is a synthetic antioxidant (Coppen, 1999; Akoh and Min, 2008). As discussed above,
259 ascorbyl palmitate can be derived through various chemical reactions, most predominantly the
260 esterification of ascorbic acid and palmitic acid (see Evaluation Question #1) (Humeau et al., 1995;
261 Madhavi et al., 1996; Pokorny et al., 2001; HSDB, 2011).

262

263 Some authors (e.g., Lee et al., 1999; Coppen, 1995; Humeau et al., 1995) consider ascorbyl palmitate to be a
264 natural antioxidant when enzymatically produced from natural ascorbic acid and natural palmitic acid.
265 However, ascorbyl palmitate and the enzymatic reaction through which it is produced are not found in
266 nature.

267

268 While ascorbic acid is naturally occurring and can be isolated from plant sources (Coppen, 1999), the
269 ascorbic acid used in the production of ascorbyl palmitate is typically synthetically derived from glucose
270 (Pokorny, 2001). The Reichstein and Grussner process for synthesizing ascorbic acid from glucose was
271 developed in 1934, and almost all current industrial processes for ascorbic acid production are variations of
272 this technique (Chotani et al., 2000). Alternatively, ascorbic acid can be industrially produced through
273 biosynthetic methods, such as isolation from rosehips or fermentation of microalgae or genetically
274 modified bacteria or fungi (DVC Inc., 1999).

275

276 **Evaluation Question #3: Provide a list of non-synthetic or natural source(s) of the petitioned substance**
277 **(7 CFR § 205.600 (b) (1)).**

278

279 As previously discussed, ascorbyl palmitate is manufactured by chemical processes. It is not created by
280 naturally-occurring biological processes (see Evaluation Question #1).

281

282 **Evaluation Question #4: Specify whether the petitioned substance is categorized as generally**
283 **recognized as safe (GRAS) when used according to FDA's good manufacturing practices (7 CFR §**
284 **205.600 (b)(5)). If not categorized as GRAS, describe the regulatory status. What is the technical function**
285 **of the substance?**

286

287 FDA lists ascorbyl palmitate as a chemical preservative that is GRAS for human consumption when used in
288 accordance with good manufacturing practice (21 CFR 182.3149) and for animal drugs, feeds, or other
289 related products when used in accordance with good manufacturing or feeding practice (21 CFR 582.3149).
290 A review of ascorbyl palmitate along with L-ascorbic acid, sodium L-ascorbic acid, calcium L-ascorbate,
291 erythorbic acid (D-isoascorbic acid), and sodium erythorbate (sodium D-isoascorbate) was completed in
292 1979 by the Select Committee on GRAS Substances (SCOGS) (U.S. FDA, 2006). The Committee concluded
293 that there was "no available information" on the listed substances "that demonstrates, or suggests
294 reasonable grounds to suspect, a hazard to the public when they are used as food ingredients at levels that
295 are now current or that might reasonably be expected in the future" (U.S. FDA, 2006).

296

297 **Evaluation Question #5: Describe whether the primary function/purpose of the petitioned substance is**
298 **a preservative. If so, provide a detailed description of its mechanism as a preservative (7 CFR § 205.600**
299 **(b)(4)).**

300

301 The primary function of ascorbyl palmitate is as a preservative. As previously discussed, ascorbyl
302 palmitate is added to foods in order to counteract the naturally-occurring oxidation of lipids within the

303 food, thereby preventing development of off-flavors or bad odors that would otherwise occur over time
304 (Jacobsen, 2010). Ascorbyl palmitate is also used to preserve a number of nonfood products such as
305 pharmaceuticals, cosmetics, fragrances, and colorings (Ash and Ash, 2004). Ascorbyl palmitate counteracts
306 oxidation of oils in these products in the same way that it does in food products. In cosmetics, such as
307 lotions, skin foundations, and topical skin care products, ascorbyl palmitate is also used as an anti-aging
308 ingredient as some believe it stimulates growth of fibroblasts that produce collagen and elastin (Perricone,
309 2007).

310
311 **Evaluation Question #6: Describe whether the petitioned substance will be used primarily to recreate**
312 **or improve flavors, colors, textures, or nutritive values lost in processing (except when required by law)**
313 **and how the substance recreates or improves any of these food/feed characteristics (7 CFR § 205.600**
314 **(b)(4)).**

315
316 No information was found to suggest that ascorbyl palmitate is used to recreate or improve flavors, colors,
317 textures, or nutritive values that are lost in processing. Ascorbyl palmitate is used as a preservative, which
318 includes the prevention of off-flavors or bad odors during the shelf life of the product.

319
320 **Evaluation Question #7: Describe any effect or potential effect on the nutritional quality of the food or**
321 **feed when the petitioned substance is used (7 CFR § 205.600 (b)(3)).**

322
323 Ascorbyl palmitate is a fat-soluble source of vitamin C that is a powerful antioxidant. Because it is fat-
324 soluble, ascorbyl palmitate is better absorbed by the body and its excess can be stored in the body's cell
325 membranes until it is needed. On the other hand, excess ascorbic acid, a water-soluble form of vitamin C,
326 is flushed from the body (excreted in urine) (Naidu, 2003). When ascorbyl palmitate is metabolized, it
327 breaks down into nutritionally-available forms of ascorbic acid and palmitic acid (Madhavi and Salunkhe,
328 1995; Akoh and Min, 2008). While ascorbic acid is an essential vitamin (vitamin C), it remains inconclusive
329 whether or not the body actually utilizes ascorbic acid that is metabolized from ascorbyl palmitate (Akoh
330 and Min, 2008). No other information was found on the potential effects of ascorbyl palmitate on the
331 nutritional quality of the food to which it is added.

332
333 **Evaluation Question #8: List any reported residues of heavy metals or other contaminants in excess of**
334 **FDA tolerances that are present or have been reported in the petitioned substance (7 CFR § 205.600**
335 **(b)(5)).**

336
337 No reports of excessive levels of heavy metals or other dangerous contaminants in ascorbyl palmitate have
338 been identified. No substances listed on FDA's Action Levels for Poisonous or Deleterious Substances in
339 Human Food have been reported as contaminants of concern in ascorbyl palmitate. The requirements for
340 ascorbyl palmitate in the 7th edition of the "Food Chemicals Codex" specify that it contain no more than
341 2 mg/kg lead (U.S. Pharmacopeia, 2010).

342
343 **Evaluation Question #9: Discuss and summarize findings on whether the manufacture and use of the**
344 **petitioned substance may be harmful to the environment or biodiversity (7 U.S.C. § 6517 (c) (1) (A) (i)**
345 **and 7 U.S.C. § 6517 (c) (2) (A) (i)).**

346
347 The acid esterification method of ascorbyl palmitate synthesis is energy intensive and involves significant
348 post-processing (e.g., crystallization recovery, purification) to isolate the desired product. Less processing
349 is required when enzyme-catalyzed synthesis processes are used (Bradoo et al., 1999). No other
350 information was found that indicated that ascorbic palmitate manufacture or use might be harmful to the
351 environment of biodiversity.

352
353 **Evaluation Question #10: Describe and summarize any reported effects upon human health from use of**
354 **the petitioned substance (7 U.S.C. § 6517 (c) (1) (A) (i), 7 U.S.C. § 6517 (c) (2) (A) (i) and 7 U.S.C. § 6518**
355 **(m) (4)).**

356
357 No reports of harmful effects on human health resulting from the use of ascorbyl palmitate as a food
358 additive and preservative were found. As stated in response to Evaluation Question #4, ascorbyl palmitate

359 is a chemical preservative that is affirmed as GRAS by FDA (21 CFR 182.3149). Ascorbyl palmitate shows
360 low acute oral toxicity in laboratory animals and is not a dermal irritant or sensitizer (Andersen, 1999). In
361 2004, the European Food Safety Authority Scientific Panel on Dietetic Products, Nutrition and Allergies
362 released an opinion on the tolerable upper intake level of vitamin C, defined as L-ascorbic acid along with
363 its calcium, potassium, and sodium salts and L-ascorbyl-6-palmitate. The Panel concluded that there is
364 insufficient data to establish a tolerable upper intake level, noting that “average dietary intakes do not
365 represent a cause for concern” (EFSA, 2004).

366
367 Ascorbic acid and its derivatives have been shown to be cytotoxic, resulting in antimutagenic and
368 antimetastatic action (Naidu, 2003). Because ascorbyl palmitate is lipophilic, it can cross the blood-brain
369 barrier and has been shown to inhibit proliferation of brain tumor cells. It is more effective than ascorbic
370 acid at inhibiting leukemia cell grown in mice (Naidu, 2003).

371
372 In cosmetics, such as lotions, skin foundations, and topical skin care products, ascorbyl palmitate is
373 sometimes used as an anti-aging ingredient as some believe it protects the skin tissues from oxidation due
374 to sunlight (Perricone, 2007). However, other research has shown that ascorbyl palmitate may have
375 negative effects on skin, as antioxidant action during exposure to ultraviolet radiation can cause damage
376 such as lipid peroxidation and cytotoxicity (Meves et al., 2002).

377
378 As mentioned in the response to Evaluation Question #7, ascorbyl palmitate is metabolized into
379 nutritionally-available forms of ascorbic acid and palmitic acid (Madhavi and Salunkhe, 1995; Akoh and
380 Min, 2008). While ascorbic acid is an essential vitamin, it cannot be determined whether ascorbyl palmitate
381 provides added health benefits in contributing to the body’s bioavailable vitamin C (Akoh and Min, 2008).

382
383 **Evaluation Information #11: Provide a list of organic agricultural products that could be alternatives for**
384 **the petitioned substance (7 CFR § 205.600 (b)(1)).**

385
386 Ascorbyl palmitate is a fat-soluble antioxidant and therefore a useful preservative in foods and cosmetics
387 with appreciable oil or fat content (Coppen, 1999). Nonorganic nonagricultural alternatives exist, such as
388 synthetic BHA and BHT (Coppen, 1999), but information on organic, agricultural alternatives is limited.
389 Other organic agricultural fat-soluble antioxidants which may be potential alternative preservatives
390 include, but are not limited to, alpha-tocopherol (vitamin E), beta-carotene, alpha-lipoic and dihydrolipoic
391 acids, and ubiquinone. Beta-carotene is a naturally-occurring substance that can be isolated from
392 vegetables such as carrots (Banerjee, 2008). Alpha-lipoic acid, dihydrolipoic acid, and ubiquinone are also
393 naturally-occurring substances biosynthesized by animals and humans (Packer et al., 1995; Frei et al., 1990).
394 Like ascorbyl palmitate, ubiquinone and dihydrolipoic acid can function as synergistic antioxidants to
395 regenerate tocopherols (Banerjee, 2008). No information was found to indicate whether or not these other
396 fat-soluble antioxidants have been tested as alternatives to ascorbyl palmitate as preservatives in food or
397 cosmetics, or are readily available for commercial use in processed foods.

398
399 Tocopherols are used as preservatives in many processed food uses similar to the uses of ascorbyl
400 palmitate (Coppen, 1999). While tocopherols are naturally found in plants and animals, most commercially
401 available tocopherols are synthetic (Coppen, 1999). Tocopherols derived from vegetable oils are classified
402 under 21 CFR 205.605(b) as synthetic, nonagricultural substances allowed for use as an ingredient in or on
403 processed products labeled as “organic” or “made with organic (specified ingredients or food group(s))”
404 when rosemary extracts are not a suitable alternative. Rosemary extracts contain the antioxidants carnosol
405 and carnosic acid, so can be used as a preservative in products like oils, fats, starch-based bakery items (i.e.,
406 cakes, cookies, pastries), processed meats, pastas, egg products, and dehydrated or powdered milks, soups,
407 broths, and potatoes (EFSA, 2008). According to the petitioner, rosemary extracts are not considered
408 suitable for use in infant formulas because carnosic acid is a possible abortifacient (i.e., a substance that
409 induces abortion) and has unknown side effects in infants.

410

References:

- 411
412
413 Akoh, C. C., Min, D. B. (eds). 2008. Food lipids: Chemistry, nutrition, and biotechnology. 3rd Edition. Taylor
414 & Francis Group, LLC. Boca Raton, FL.
415
416 Andersen, F. A. 1999. Final report on the safety assessment of ascorbyl palmitate, ascorbyl dipalmitate,
417 ascorbyl stearate, erythorbic acid, and sodium erthorbate. International Journal of Toxicology 18(3 suppl):
418 1-26.
419
420 Ash, M., Ash, I. 2004. Handbook of preservatives. Synapse Information Resources, Inc. Endicott, NY.
421
422 Bartee, S.D., Kim, H.J., Min, D.B. 2007. Effects of Antioxidants on the oxidative stability of oils containing
423 arachidonic, docosapentaenoic and docosahexaenoic acids. J Amer oil Chem Soc 84: 363-368.
424
425 Banerjee, R. 2008. Redox biochemistry. John Wiley and Sons, Inc. Hoboken, NJ.
426
427 Beuttner, G.R. and F.Q. Schafer. 2002. What is an antioxidant? How do antioxidants work? Society for Free
428 Radical Biology and Medicine, Free Radical School Presentation. Available online at
429 <http://sfrbm.org/sections/frs.presentations.php>
430
431 Bradoo, S., Saxena, R. K., Gupta, R. 1999. High yields of ascorbyl palmitate by thermostable lipase-
432 mediated esterification. JAOCS 76: 1291-1295.
433
434 Carey, C. W. 2006. American scientists. Facts on File, Inc., Infobase Publishing. New York, NY.
435
436 CGSB (Canadian General Standards Board). 2011. Organic Production Systems Permitted Substances List.
437 CAN/CGSB-32.311-2006. Amended October 2008, December 2009 and June 2011. Available online at
438 <http://www.tpsgc-pwgsc.gc.ca/ongc-cgsb/internet/bio-org/index-eng.html>
439
440 ChemIDplus Advanced. 2011. (database) Ascorbyl palmitate, RN: 137-66-6. National Institutes of Health,
441 Department of Health and Human Services, U.S. National Library of Medicine. Accessed on November 1,
442 2011. Available online at <http://chem.sis.nlm.nih.gov/chemidplus/chemidheavy.jsp>
443
444 Chotani, G., Dodge, T., Hsu, A., Kumar, M., LaDuca, R., Trimbur, D., Weyler, W., Sanford, K. 2000. Review:
445 The commercial production of chemicals using pathway engineering. Biochimica et Biophysica Acta 1543:
446 434-455. <http://www.ibmb.uni.wroc.pl/prace2/praca12.pdf>
447
448 Codex Alimentarius Commission. 1981. Standard for infant formula and formulas for special medical
449 purposes intended for infants. CODEX STAN 72- 1981. Available online at
450 www.codexalimentarius.net/download/standards/288/CXS_072e.pdf
451
452 Codex Alimentarius Commission. 2001. Guidelines for the production, processing, labeling and marketing
453 of organically produced foods (GL 32 - 1999, Rev. 1 - 2001). Joint FAO/WHO Food Standards Programme.
454 Available online at <http://www.fao.org/organicag/doc/glorganicfinal.pdf>
455
456 Commission of the European Communities. 2008. Commission Regulation (EC) No 889/2008 of 5
457 September 2008 laying down detailed rules for the implementation of Council Regulation (EC) No
458 834/2007 on organic production and labeling of organic products with regard to organic production,
459 labeling and control. Official Journal of the European Union. Available online at [http://www.organic-
460 world.net/35.html?&tx_ttnews\[tt_news\]=111&cHash=b1bc05316718066deadd4c1f9e54549d](http://www.organic-world.net/35.html?&tx_ttnews[tt_news]=111&cHash=b1bc05316718066deadd4c1f9e54549d)
461
462 Coppen, P. P. 1999. Chapter 5: The use of antioxidants. In: Allen, J. C., Hamilton, R. J. (eds). Rancidity in
463 foods. pp 84-103. Aspen Publishers, Inc. Gaithersburg, MD.
464

- 465 DVC, Inc. 1999. Vitamin C Production in Microorganisms and Plants. World Intellectual Property
466 Organization Publication Number WO 99/64618. International Application Number PCT/US99/11576.
467 December 16, 1999. Available online at <http://www.freepatentsonline.com/EP1084267.pdf>
468
- 469 East African Community. 2007. East African organic products standards. EAS 456:2007. Available online at
470 [http://www.ifoam.org/partners/projects/pdfs/EAS%20456-](http://www.ifoam.org/partners/projects/pdfs/EAS%20456-2007_Organic%20products%20standard_w_cover.pdf)
471 [2007_Organic%20products%20standard_w_cover.pdf](http://www.ifoam.org/partners/projects/pdfs/EAS%20456-2007_Organic%20products%20standard_w_cover.pdf)
472
- 473 EFSA, 2004. Opinion of the Scientific Panel on Dietetic Products, Nutritions and Allergies on a request from
474 the Commission related to the tolerable upper intake level of Vitamin C (L-ascorbic acid, its calcium,
475 potassium and sodium salts and L-ascorbyl-6-palmitate). Request No EFSA-Q-2003-018. The EFSA Journal
476 59:1-21.
477
- 478 EFSA, 2008. Use of rosemary extracts as a food additive. Scientific opinion of the Panel on Food Additives,
479 Flavourings, Processing Aids and Materials in Contact with Foods. Question No EFSA-Q-2003-140). The
480 EFSA Journal 721:1-29.
481
- 482 Eitenmiller, R., Lee, J. 2006. Vitamin E: Food chemistry, composition, and analysis. Taylor & Francis e-
483 Library, Marcel Dekker, Inc. New York, NY.
484
- 485 Frei, B., Kim, M. C., Ames, B. N. 1990. Ubiquinol-10 is an effective lipid-soluble antioxidant at physiological
486 concentrations. Proc Natl Acad Sci USA 87:4879-4883.
487
- 488 Gil, A., Ramirez, M., Gil, M. 2003. Role of long-chain polyunsaturated fatty acids in infant nutrition.
489 European Journal of Clinical Nutrition 57 Suppl 1: S31-S34.
490
- 491 Gunstone, F. D. (Ed.) 2001. Structured and modified lipids. Marcel Dekker, Inc. New York, NY.
492
- 493 Hazardous Substances Data Bank (HSDB). 2011. (database) Ascorbyl palmitate, CSRN:137-66-6. Last
494 revised 1-4-2011. U.S. National Library of Medicine, National Institutes of Health, Department of Health
495 and Human Services, U.S. National Library of Medicine. Accessed on November 1, 2011. Available online
496 at <http://toxnet.nlm.nih.gov/cgi-bin/sis/htmlgen?HSDB>
497
- 498 Health Canada. 2011. Food and Drug Regulations. Available online at [http://lois-](http://lois-laws.justice.gc.ca/eng/regulations/C.R.C.,_c.870/page-172.html)
499 [laws.justice.gc.ca/eng/regulations/C.R.C., c. 870/page-172.html](http://lois-laws.justice.gc.ca/eng/regulations/C.R.C.,_c.870/page-172.html)
500
- 501 Humeau, C., Girardin, M., Coulon, D., Miclo, A. 1995. Synthesis of 6-O-Palmitoyl L-ascorbic acid catalyzed
502 by *Candida antarctica* lipase. Biotechnology Letters 17(10): 1091-1094.
503
- 504 IFOAM (International Federation of Organic Agriculture Movements). 2006. The IFOAM Norms for
505 Organic Production and Processing. Version 2005. Corrected version 2009. Available online at
506 [http://www.ifoam.org/about_ifoam/standards/norms/norm_documents_library/norms_documents_lib](http://www.ifoam.org/about_ifoam/standards/norms/norm_documents_library/norms_documents_library.html)
507 [rary.html](http://www.ifoam.org/about_ifoam/standards/norms/norm_documents_library/norms_documents_library.html)
508
- 509 Jacobsen, C. (2010). Challenges when developing omega-3 enriched foods. Oleagineux, Corps Gras,
510 Lipides, 17(4), 8.
511
- 512 JMAFF (Japanese Ministry of Agriculture, Forestry and Fisheries). 2006. Japanese Agriculture Standard for
513 Organic Plants (Notification No. 1180 of 2009). Established: Notification No. 59 of January 20, 2000. Partial
514 revision: Notification No. 1884 of November 18, 2003. Full revision: Notification No. 1605 of October 27,
515 2005. Partial revision: Notification No. 1463 of October 27, 2006. Partial revision: Notification No. 1180 of
516 August 20, 2009. Available online at http://www.maff.go.jp/e/jas/specific/criteria_o.html
517

- 518 Lee, K. H., Yook, H. S., Lee, J. W., Park, W. J., Kim, K. S., Byun, M. W. 1999. Quenching mechanism and
519 kinetic of ascorbyl palmitate for the reduction of the gamma irradiation-induced oxidation of oils. Journal
520 of the American Oil Chemists' Society 76(8):921-925.
521
- 522 Mabunda, L. M. 1994. Contemporary black biographies: Profiles from the international black community.
523 Gale Research, Inc. Farmington Hills, MI.
524
- 525 Madhavi, D. L., Salunkhe, D.K. 1995. Chapter 3: Antioxidants. In: Magu, J. A., Tu, A. T. (eds). Food additive
526 toxicology. pp 89-178. Marcel Dekker. New York, NY.
527
- 528 Madhavi, D. L., Singhal, R. S., Kulkarni, P. R. 1996. Chapter 4: Technological aspects of food antioxidants.
529 In: Madhavi, D. L., Deshpande, S. S., Salunkhe, D. K. (eds). Food antioxidants: Technological, toxicological,
530 and health perspectives. pp 159-266. Marcel Dekker. New York, NY.
531
- 532 Meves, A., Stock, S. N., Beyerle, A., Pittlekow, M. R., Peus, D. 2002. Vitamin C derivative ascorbyl palmitate
533 promotes ultraviolet-B-induced lipid peroxidation and cytotoxicity in keratinocytes. Journal of
534 Investigative Dermatology, 119(5):1103-1108.
535
- 536 Naidu, K A. 2003. Review: Vitamin C in human health and disease is still a mystery? An overview.
537 Nutrition Journal 2(7).
538
- 539 Packer, L., Witt, E. H., Tritschler, H. J. 1995. Alpha-lipoic acid as an antioxidant. Free Radical Biology &
540 Medicine 19(2): 227-250.
541
- 542 Perricone, N. 2007. The Perricone promise: Look younger, live longer in three easy steps. Warner Books,
543 Hatchette Book Group. New York, NY.
544
- 545 Pokorny, J., Yanishlieva, N., Gordon, M. (eds). 2001. Antioxidants in food: Practical applications.
546 Woodhead Publishing Ltd. Cornwall, England.
547
- 548 PubChem. 2011. (database) 6-O-palmitoylascorbic acid: Compound summary. National Center for
549 Biotechnology Information. Accessed on November 1, 2011. Available online at
550 <http://pubchem.ncbi.nlm.nih.gov/>
551
- 552 Secretariat of the Pacific Community. 2008. Pacific Organic Standard. Available online at
553 http://www.ifoam.org/partners/projects/pdfs/Pacific_Organic_Standard.pdf
554
- 555 Simmer, K., Patole, S. K., Rao, S. C. 2008. Longchain polyunsaturated fatty acid supplementation in infants
556 born at term. Conchrane Database Syst Rev. 1:CD000376.
557 <http://www.ncbi.nlm.nih.gov/pubmed/18253974/>
558
- 559 USDA (U.S. Department of Agriculture). 2010. Action memorandum for the chairman of the National
560 Organic Standards Board: Scope of nutrient vitamins and minerals in organic food. Retrieved January 24,
561 2012 from <http://www.ams.usda.gov/AMSv1.0/getfile?dDocName=STELPRDC5084068&acct=nosb>.
562
- 563 USDA (United States Department of Agriculture). 2011. National Organic Program; Petitioned Substances
564 Database. Last modified 11/15/2011. Accessed on November 19, 2011. Available online at
565 <http://www.ams.usda.gov/AMSv1.0/ams.fetchTemplateData.do?template=TemplateJ&page=NOPNationalList>
566
567
- 568 U.S. FDA (US Food and Drug Administration). 2005. Dietary supplements. Retrieved January 25, 2012 from
569 <http://www.fda.gov/food/dietarysupplements/default.htm>.
570
571

- 571 U.S. FDA (Food and Drug Administration). 2006. (database). Database of Select Committee on GRAS
572 Substances (SCOGS) Reviews. Last updated 10/31/2006. Accessed on November 14, 2011. Available online
573 at <http://www.accessdata.fda.gov/scripts/fcn/fcnNavigation.cfm?rpt=scogsListing>
574
575 U.S. Pharmacopeia. 2010. *2010-2011 Food Chemical Codex*. Seventh Edition. The United States
576 Pharmacopeial Convention, Rockville, MD.