



# APPROVED

## **SUPPLEMENT 304 TO THE AMS MASTER SOLICITATION FOR PURCHASE OF PORK LOIN CHOPS, CENTER CUT, BONELESS, FROZEN FOR DISTRIBUTION TO FEDERAL FOOD AND NUTRITION ASSISTANCE PROGRAMS**

Agricultural Marketing Service (AMS)  
Livestock, Poultry and Seed (LPS) Program  
Food Safety and Commodity Specification (FSCS) Division  
Room 2624 S-Bldg, Phone: (202) 692-0342

Supersedes: Supplement 304 April 2016 – Changes  
from previous requirements in [blue](#)

**Effective: [August 2017](#)**

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### **100 OVERVIEW**

110 This document provides additional program requirements for the purchase of Pork Loin Chops, Center Cut, Boneless, Frozen by the Department of Agriculture (USDA), including the applicable Federal Purchase Program Specification (FPPS) (**Exhibit A**).

### **200 INSTRUCTIONS TO POTENTIAL SUPPLIERS**

210 The Pork Loin Chops, Center Cut, Boneless, Frozen shall be purchased on a competitive bid basis from qualified suppliers who have met the requirements described in this Section. Interested suppliers may submit sample(s) and a technical proposal at any time during the purchase program. Suppliers should allow 10 working days, from USDA's receipt of the product sample(s) and technical proposal for notification of evaluation results. A supplier is deemed eligible upon notification of approval of the sample(s) and technical proposal by the FSCS Division.

220 Submission of sample(s) and a technical proposal is not binding on USDA. Actual purchases shall be described in the AMS Master Solicitation [for Commodity Procurements and Solicitations](#).

230 Documentation Requirements

231 Technical Proposal Requirement

231.1 Include a detailed description of the Pork Loin Chops, Center Cut, Boneless, Frozen offered and each of the production steps that are taken to meet or exceed the minimum product requirements set forth in the FPPS. (Plan/Do)

- 231.2 Describe all the quality assurance methods used to verify conformance to all requirements. This shall include the monitoring and measurements taken during the process to verify conformance with each requirement. All measurement results shall be recorded and made available to AMS. (Check)
- 231.3 Identify all corrective actions to be taken if deviations from contractual and specification requirements occur during production, and all preventative actions to be taken to preclude a reoccurrence. (Act)
- 231.4 The technical proposal shall follow the format as shown in **Exhibit C**. Technical proposals should be brief and concise.
- 231.5 The technical proposal shall be preceded by the following, as required by the template:
- 231.5.1 Table of Contents listing the major areas as they appear in the technical proposal; and
- 231.5.2 List of attachments, forms provided with the technical proposal, if appropriate with the applicable document name and reference number.
- 232 The FSCS Division shall review each technical proposal to determine if the proposal is adequate and shall notify the supplier of the status of its technical proposal and its eligibility to bid.
233. Animal Welfare Requirements
- 233.1 All contractors and subcontractors shall meet the animal handling and welfare requirements set forth in the Federal Purchase Program Specification (FPPS) For Animal Handling and Welfare, effective [August 2017](#) (**Exhibit B**).

### **300 INSTRUCTIONS FOR SUBMISSION OF TECHNICAL PROPOSAL**

- 310 The following procedures establish the acceptable minimum requirements for the format and content of the technical proposal:
- 310.1 The Government has provided a technical proposal format which is to be used in preparing the technical proposal (see **Exhibit C**). The supplier shall submit the technical proposal as an email file attachment to AMS ([Darin.Doerscher@ams.usda.gov](mailto:Darin.Doerscher@ams.usda.gov) and [Steve.Whisenant@ams.usda.gov](mailto:Steve.Whisenant@ams.usda.gov)). The technical proposal shall be saved in a non-portable document file format (not PDF; e.g., Microsoft Word). The technical proposal shall be submitted in its entirety. If the file size of the technical proposal is too large to send in a single email, it may be divided and sent in multiple emails (i.e., Part 1, Part 2, Part 3, etc.). The collection of attachments and appendices may be submitted as a separate document as well.
- 310.2 The technical proposal shall be submitted by an authorized agent of the company.

310.3 While it is not the desire of the Government to penalize an offeror for non-compliance with formatting instructions, technical evaluators may have difficulty evaluating the technical proposal to the fullest extent possible if the proposal is not presented in the proper format. Technical evaluators shall not be required to search other subsections or sections of the offeror's technical proposal for information requested in the evaluation.

## 320 Technical Proposal Revisions

321 Changes to a supplier's technical proposal may be submitted at any time or at the request of the FSCS Division. All technical proposal revisions shall meet the following criteria:

321.1 Any changes to a technical proposal made by the supplier after its initial submittal shall be accomplished by submitting an entire technical proposal. A cover letter shall be submitted with the changes identified and an explanation of the need for the change. The supplier shall include the revision date and the appropriate page number(s).

321.2 Changes from the original technical proposal shall be highlighted and deletions in strikeouts.

## 400 QUALITY ASSESSMENT (QA) DIVISION

410 Once a supplier is notified by the FSCS Division that the technical proposal meets the applicable criteria, the QA Division shall contact the supplier to set up a pre-award onsite capability assessment audit of the facility's processes, food defense plan, and quality control program used to produce the product(s) to determine the supplier's ability to meet contractual requirements.

### 420 Pre-Award Onsite Capability Assessment Audit

#### 421 Food Defense Assessment

421.1 The QA Division shall conduct a food defense audit that shall include, but is not limited to, a thorough evaluation of the potential contractors' and subcontractors' food defense plan. Documentation shall support the contractor's or subcontractor's food defense plan. If the report demonstrates that the food defense plan is inadequate, the applicant shall be notified by the Commodity Procurement Staff (CPS) that it is ineligible to bid. The applicant shall have an opportunity to correct identified deficiencies, modify its food defense plan and contact QAD. Eligibility shall depend on whether the modifications demonstrate compliance with its food defense plan.

422 Documentation shall support:

422.1 the production of Pork Loin Chops, Center Cut, Boneless, Frozen that complies with the applicable specification and the potential contractor's approved technical proposal, and

422.2 the contractor's or subcontractor's food defense plan. In addition, the audit shall consist of the review of records related to purchasing, receiving, production, quality control, inventory and shipping records, and interviews with management and production personnel.

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- 423 Upon completion of the onsite capability assessment, the auditor shall provide either a verbal or email notification of the audit findings to the FSCS Division to determine (based on the audit findings), supplier eligibility to bid. Supplier shall be notified by the FSCS Division and the official final report shall be sent once released from the QA Division.
- 423.1 If the audit findings demonstrate that the process or food defense plan is inadequate, the applicant shall be notified by CPS that it is ineligible to bid. The supplier shall have an opportunity to correct identified deficiencies, modify its process, and/or technical proposal, and resubmit to FSCS Division for further consideration.
- 423.2 Eligibility shall depend on whether the modifications demonstrate that:
- 423.2.1 the process is capable of delivering Pork Loin Chops, Center Cut, Boneless, Frozen in compliance with the FPPS,
- 423.2.2 the supplier is in compliance with its food defense plan,
- 423.2.3 includes the applicable assessment by USDA, and
- 423.2.4 the supplier complies with other applicable contractual requirements.
- 430 Post-Award Assessment Audit
- 431 Eligible suppliers who receive contracts shall have their documented food defense plan, technical proposal, and supporting documentation readily available for review by AMS agents. Records may be maintained on hard copy or electronic media. However, records maintained as electronic media shall be made available in printed form immediately upon request by AMS agents.
- 432 The QA Division shall conduct an onsite audit of the contractor's and subcontractor's facility(s) and processes when production commences for the first contract awarded. Additional audits shall be conducted as determined by the FSCS Division, but not less than once per month for contractors or subcontractors with continuous or multiple contracts, or once per contract for intermittent contractors. At the discretion of the FSCS Division, more frequent audits may be conducted when audit deficiencies are detected.
- 440 Post-Award Actions
- 441 Any deviation from contractual requirements shall be immediately reported by the contractor to the Contracting Officer and FSCS Division. The Contracting Officer shall notify the contractor regarding eligibility to continue to participate as a contractor.
- 442 The contractor shall assure that the delivered product complies with the provisions of the FPPS, the applicable assessment by USDA, and the contractor's technical proposal approved by the FSCS Division.
- 450 The cost of all audit, product monitoring, and certification services performed by the AMS agents shall be borne by the contractor. This includes, but is not limited to, audits, examinations, supervision, official documentation, and related services.

460 For questions concerning charges and the availability of AMS agents, contact USDA/AMS, LPS Program's QA Division field office or the Director of the QA Division, LPS Program, AMS, USDA, Room 3953-S, STOP 0258, 1400 Independence Avenue, SW, Washington, DC 20250-0258, telephone (202) 720-3271.

## **500 DOMESTIC ORIGIN CERTIFICATION CLAUSE**

510 The contractor agrees to include this domestic origin certification clause in its entirety in all subcontracts for meat or meat products used in fulfilling any contracts awarded under this Supplement and Master Solicitation. The burden of proof of compliance is on the Contractor. All raw materials shall be shipped in containers labeled as "Domestic Only Product" on the principle display panel and the bill of lading accompanying the shipment shall contain the statement "Domestic Only Product."

## **600 CERTIFICATE OF CONFORMANCE (COC)**

610 In addition to the referenced payment documents required in the Master Solicitation, please include a copy of the Contractor's Certificate of Conformance (**Exhibit D**).

## **700 CONTRACTOR CHECKLOADING**

710 The contractor shall perform checkloading examinations as described in the FPPS at the time of shipment and issue contractor's certificate to accompany each shipment that includes all of the following information:

710.1 Purchase Order Number,

710.2 Sales Order and Sales Order Item Number,

710.3 Name of product,

710.4 Shipping Date,

710.5 Production lot number(s) and date each lot was produced,

710.6 Count of shipping containers and total projected net weight in each production lot,

710.7 Identity of car or truck (car numbers and letters, seals, truck license, etc.) as applicable

710.8 Contractor certification that product conforms with the FPPS,

710.9 Count and projected net weight verified, and

710.10 Signature of company official responsible for checkloading.

## **800 EXHIBITS**

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# APPROVED

**FEDERAL PURCHASE  
PROGRAM SPECIFICATION  
(FPPS) FOR PORK LOIN  
CHOPS, CENTER CUT,  
BONELESS, FROZEN**

Agricultural Marketing Service (AMS)  
Livestock, Poultry, and Seed (LPS) Program  
Food Safety and Commodity Specification (FSCS) Division  
Room 2628 S-Bldg, Phone: (202) 692-0342

Supersedes: FPPS PLC January 2015 – Changes from  
previous requirements in [blue](#)

**Effective: [July 2017](#)**

**100 ITEM DESCRIPTION**

110 Pork Loin Chops, Center Cut, Boneless, Frozen – This item is as described in the Institutional Meat Purchase Specifications (IMPS) Item Number 1413 PSO 1. Individual boneless chops shall not be marinated and weigh four (4) oz. (114g) +/- one-half (½) oz. (14g), exhibit a tail length of ≤ one (1) in. (26mm) and be frozen prior to packaging.

**200 APPLICABLE DOCUMENTS**

210 IMPS for Fresh Pork - Series 400, effective November 2014.

**300 CHECKLIST OF REQUIREMENTS**

310 All items must be produced in accordance with Food Safety and Inspection Service (FSIS) regulations. The contractor's technical proposal, submitted to the FSCS Division, must adhere to the following AMS Checklist of Requirements.

**400 MATERIALS**

410 The contractor's technical proposal must include procedures to address conformance with the following material requirements.

**420 MEAT COMPONENT**

421 Pork derived from hog carcasses will be the only meat component allowed. Pork derived from boar and sow carcasses is not permissible.

422 Domestic Origin – All pork will originate from U.S. produced livestock as defined in the Master Solicitation [for Commodity Procurements](#) and Supplement.

- 423 Harvesting – Hogs will be harvested in facilities that comply with the following requirements:
- 423.1 Humane Handling – Hogs will be humanely handled in accordance with all applicable FSIS regulations and AMS requirements.
  - 423.2 Non-Ambulatory Disabled Animals – Meat from carcasses of non-ambulatory disabled livestock will not be included in USDA Purchase Programs.
  - 423.3 Spinal Cord Removal – All spinal cord tissue will be removed during the harvesting process.
- 424 Pork – Pork must comply with the following requirements:
- 424.1 Traceability – Contractors are responsible for providing sufficient product traceability and must have records to verify the source of raw materials used in each lot of product.
  - 424.2 Handling – All pork must be maintained in excellent condition. The contractor’s technical proposal shall include detailed production scheduling that addresses time and temperature controls necessary to maintain excellent condition of the pork.
  - 424.3 Lean Quality – Fresh-chilled pork must be reasonably uniform in color (slightly two-tone color is permissible) ranging from light pink to red. The pork muscles must not exhibit any evidence of pale, soft, and/or exudative conditions.
  - 424.4 Objectionable Materials – Pork will be free of skin, bone, cartilage, organ tissue, significant glandular tissue, heavy connective tissue and foreign materials.
- 425 **Mechanical Separation** – Pork that is mechanically separated from bone with automatic deboning systems or advanced lean (meat) recovery (AMR) systems is not allowed.

## **500 PROCESSING**

- 510 The contractor’s technical proposal shall describe the necessary processing steps (including pictures) to comply with the items below.
- 511 Processing Date – Pork chops will be processed into finished product form no more than 30 days prior to shipment.
- 512 Pork chops will be produced as outlined in **Section 100 – Item Descriptions** and as defined in IMPS Item Number 1413, PSO 1.
- 513 To facilitate portioning into chops, pork loins may be frozen and/or tempered, one time only.

514 Weight – Individual pork chops shall weigh four (4) oz. (114g) +/- one-half (½) oz. (14g).

515 Tail Length – Individual pork chops shall exhibit a tail length of ≤ one (1) in. (26 mm).

### **530 METAL DETECTION**

531 All products will be free of metal contaminants. Detection of stainless steel, ferrous, and non-ferrous (e.g., lead, copper, and aluminum) metals is required. The contractor's technical proposal must identify and describe the equipment, location, detection procedure, sensitivity levels, frequency of equipment validation, and corrective action procedures.

### **600 FINISHED PRODUCT LIMITATIONS**

610 The declared serving size, fat content and sodium level will be stated on the nutrition facts panel on each label according to FSIS nutritional labeling regulations.

#### **610 FAT**

611 The fat content of the finished product will not exceed 10 percent.

611.1  $((\text{Declared Fat (g)} / \text{Declared Serving Size (g; reference amount customarily consumed (racc))) \times 100) \leq 10$  percent.

612 External (subcutaneous) fat thickness of individual pork loin chops will be an average of one-eighth (⅛) in. (3 mm) with the maximum thickness at any one point not to exceed one-quarter (¼) in. (6mm).

#### **620 SODIUM**

621 Sodium level must not exceed 70mg per 100 gram basis

622  $((\text{Declared Sodium Level (mg)} \times 100) / \text{Declared Serving Size (g; racc)}) \leq 70$ .

### **700 STATE OF REFRIGERATION**

710 Pork chops will be frozen to an internal temperature of not higher than 0°F (-17.8°C) within 72 hours from the time of final fabrication of the production lot.

### **800 PREPARATION FOR DELIVERY**

#### **810 PACKAGING**

811 Four (4) pork chops shall be placed into a flexible immediate container and vacuum packaged to a net weight of one (1) pound.



812 Individual pork chops shall be prepared in a manner (e.g. individually quick frozen (IQF) or inter-leaving) which prevents them from sticking together after they are packaged and packed.

**820 PACKING**

821 Forty (40) immediate containers shall be placed into a shipping container to a net weight of 40 pounds.

**830 LABELING**

831 The shipping containers shall be in compliance with the National Motor Freight Classification, or the Uniform Freight Classification, as applicable. Both the immediate and shipping containers shall be labeled to include all information required by FSIS regulations, be illustrated in the contractor's technical proposal, and contain the following information:

832 Immediate Container Labels – Immediate container labels will be commercially labeled (e.g. "Brand X") and contain the following information:

832.1 A "Best-If-Used-By" date that is 180 calendar days from the date of production.

832.2 A nutrition facts panel based on actual nutritional analysis of the product.

832.3 A traceability code that is traced back to establishment number, production lot, and date.

832.4 Safe handling instructions.

832.5 Ingredient declaration (including single ingredient products).

832.6 Cooking instructions.

833 Shipping Container Labels – Shipping container labels will contain the following information:

833.1 USDA shield at least 2 inches high and appearing on the top of the container or on the principal display panel.



833.2 Applicable purchase order number.

833.3 A traceability code that is traced back to establishment number, production lot, and date.

- 833.4 A nutrition facts panel based on actual nutritional analysis of the product.
- 833.5 Material number: 110380
- 833.6 Product Name: Pork Loin Chops, Boneless, Center Cut, Frozen
- 833.7 An allergen statement in a format which complies with the Food Allergen Labeling and Consumer Protection Act (FALCPA) for any product which contains milk, eggs, fish, crustacean shellfish, tree nuts, peanuts, soy or wheat; e.g. Allergen: This product contains \_\_\_\_\_.

#### **840 CLOSURE**

- 841 Shipping containers will be closed by strapping, taping or gluing. When strapping is used, the initial closure (usually the bottom of container) shall be secured by the gluing or taping method.

#### **850 PALLETIZED UNIT LOADS**

- 851 All product shall be stacked on new or well-maintained pallets and palletized with shrink wrap plastic, unless otherwise specified in the solicitation. Pallet loads shall be stacked in a manner that minimizes the overhang of the shipping containers over the edges of the pallets and exposes each shipping container's principal display panel to facilitate certification examinations.

#### **900 DELIVERY UNIT**

- 910 Each delivery unit will consist of 950 cases and 38,000 pounds.

#### **1000 DELIVERED PRODUCT**

#### **1010 SIZE AND STYLE OF CONTAINER**

- 1011 Only one size and style of immediate and shipping containers may be offered in an individual shipping unit.

#### **1020 TEMPERATURE**

- 1021 All products will not exceed 0°F at the time of shipment and delivery.

#### **1030 SEALING**

- 1031 Sealing - All products must be delivered to AMS assigned destinations under seal with tamper proof, tamper resistant, serially numbered, high security seals that meet the American Society for Testing and Materials Standard (ASTM) F 1157-04 and/or the International Organization for Standards (ISO) 17712-2010 as required under the Master Solicitation. Seals shall be  $\geq \frac{1}{8}$ th inch diameter cable, high-security bolt, or equivalent.

**1100 PRODUCT ASSURANCE**

**1110 WARRANTY AND COMPLAINT RESOLUTION**

1111 Warranty – The contractor will guarantee that the product complies with all contractual requirements required under the Master Solicitation and the Supplement.

1112 Complaint Resolution – The contractor’s technical proposal must provide steps taken to resolve complaints received on the product (i.e. point of contact, cause and effect analysis, corrective and preventative actions taken, and product replacement).

**1120 NON-CONFORMING PRODUCT**

1121 The contractor must have documented procedures that assure nonconforming product identification, segregation, and disposition in order to prevent misuse and that nonconforming product is not delivered to USDA. The plan must address 1) control and segregation of non-conforming product, 2) removal of any USDA markings, and 3) disposition of non-conforming product, including vendor documentation of final disposition (e.g., diverted to commercial production, or destroyed).

**1130 CONTRACTOR CHECKLOADING**

1131 Contractor will perform checkloading examinations at the time of shipment and issue a contractor’s certificate to accompany each shipment that includes all of the following information:

1131.1 Purchase Order Number/Purchase Order Line Item Number;

1131.2 Sales Order Number/Sales Order Line Item Number;

1131.3 Destination of shipment;

1131.4 Name of Product and applicable Material Number;

1131.5 Shipping Date;

1131.6 Production lot number(s) and date each lot was produced along with shipping container and immediate container code(s) and the code used that provides traceability to establishment number, production lot and date;

1131.7 Count of shipping containers and total projected net weight in each production lot;

1131.8 Identity of car or truck (car numbers and letters, seals, truck license, etc.) as applicable;

1131.9 Contractor certification that product conforms with the applicable specification ([FPPS-PLC-2017](#));

1131.10 Count and projected net weight verified and;

1131.11 Signature of company official responsible for checkloading.



# APPROVED

## FEDERAL PURCHASE PROGRAM SPECIFICATION (FPPS) FOR ANIMAL HANDLING AND WELFARE

Agricultural Marketing Service (AMS)  
Livestock, Poultry and Seed (LPS) Program  
Food Safety and Commodity Specification (FSCS) Division  
Room 2628 S-Bldg, Phone: (202) 692-0342

Supersedes: FPPS-AHW-2015 January 2015 – Changes  
from previous requirements in [blue](#)

**Effective: [August 2017](#)**

### 100 GENERAL

101 This document is for use by the Department of Agriculture (USDA), AMS, LPS Program to ensure that the animal handling and welfare requirements for Federal nutrition assistance programs reflect industry best practices.

### 110 PROGRAM APPROACH

111 All animal harvest facilities that supply raw materials from bovine, porcine and ovine species for the production of AMS destined finished products must develop and implement a written program that is consistent with a systematic approach to humane animal handling and welfare as outlined in 69 FR 54625. The program will ensure proper animal handling and welfare techniques are conducted from the time the transportation conveyance enters the facility's premises through the stunning and exsanguination of the animal.

### 120 PROGRAM SUBMISSION

121 The program will be submitted as a supporting document to the organization's approved technical proposal and must address the requirements outlined in **Section 200 – PROGRAM COMPONENTS**.

### 200 PROGRAM COMPONENTS

201 The contractor must ensure that any facility that harvests animals has a:

#### 210 MANAGEMENT COMMITMENT

211 Steering Committee (internal) which is ultimately accountable for animal handling and welfare initiatives within the organization.

212 Mission Statement on animal handling and welfare that is distributed to all employees and conspicuously displayed at the premises.

## **220 TRAINING PROGRAM**

221 Training program on Animal Handling and Welfare that:

221.1 is provided to all employees interacting with animals;

221.2 covers the North American Meat Institute (NAMI) *Recommended Animal Handling Guidelines & Audit Guide: A Systematic Approach to Animal Welfare*, dated [June 2017](#);

221.3 is facilitated by an employee that has earned and maintained a certification of animal handling and welfare training, such as that offered through the Professional Animal Auditor Certification Organization (PAACO) or an equivalent.

221.4 is conducted no less frequently than once a year for each designated employee; and,

221.5 requires signed documentation from each employee and confirmation by signature of the designated, certified trainer upon successful completion of training.

## **230 QUALITY MANAGEMENT PLAN**

231 Written quality management plan (internal) which addresses all provisions of Chapter 3: Transportation Audit Guidelines, 7 Core Criteria and Chapter 4: Auditing Animal Handling and Stunning, 7 Core Criteria, of the NAMI *Recommended Animal Handling Guidelines & Audit Guide: A Systematic Approach to Animal Welfare*, dated [June 2017](#), found at the following web site address:

231.1 <http://www.animalhandling.org/sites/default/files/forms/animal-handling-guidelines-June152017.pdf>

232 This internal quality management plan must also provide for routine assessment and monitoring of humane handling through the use of a numerical scoring system conducted by a trained employee.

233 All animal harvest facilities that supply raw materials from bovine, porcine and ovine species for the production of AMS destined finished products must have a fully functioning back-up stunning device onsite wherever animal stunning is performed.

## **240 REGULATORY OVERSIGHT**

241 [For all species, animals/carcasses that are inspected and passed by the Food Safety Inspection Service \(FSIS\) are eligible for AMS purchase programs.](#)

## **300 PROGRAM EVALUATION AND ELIGIBILITY**

301 The program will be audited (external) by AMS or a firm accredited by AMS. The accreditation of the firm will be conducted by the Quality Assessment Division (QAD) through the [USDA ISO Guide 17065 Program](#).

- 302 [Audit findings will be communicated to the establishment's Food Safety and Inspection Service \(FSIS\) Inspector in Charge \(IIC\) or designee.](#)
- 310 AUDIT FORMAT**
- 311 Audits will be conducted utilizing the following format:
- 312 TRANSPORTATION SEGMENT (*CHAPTER 3: NAMI Recommended Animal Handling Guidelines & Audit Guide: A Systematic Approach to Animal Welfare*, dated [June 2017](#))**
- 312.1 Audited organizations must pass Core Criteria 1 and 6 with a minimum scoring of excellent, Core Criteria 2 through 5 with a minimum scoring of acceptable and Core Criteria 7 must be adhered to with full compliance (zero tolerance) each time an audit is performed.
- 313 ANIMAL HANDLING AND STUNNING SEGMENT (*CHAPTER 4: NAMI Recommended Animal Handling Guidelines & Audit Guide: A Systematic Approach to Animal Welfare*, dated [June 2017](#))**
- 313.1 Audited organizations must adhere to Core Criteria 1, 2, 6 and 7 with full compliance (zero tolerance) and to Core Criteria 3, 4 and 5 with a minimum scoring of Acceptable each time an audit is performed.<sup>1/</sup>
- 313.2 The auditor shall inform FSIS and organization officials in writing of all audit findings, including any observations of missed stuns and/or animals regaining sensibility following stunning, upon completion of the audit during the exit interview.
- 320 INITIAL AUDIT**
- 321 Initial audit must be performed prior to award of contracts.
- 330 AUDIT FAILURE**
- 331 If an audit is failed for any of the Core Criteria, the organization is not eligible to provide product until such a time that corrective and preventative actions are approved by the Food Safety and Commodity Specification (FSCS) Division, implemented and proven effective.
- 340 AUDIT FREQUENCY AND STATUS**
- 341 STANDARD - Until four (4) consecutive successfully passed audits are attained, an audit must be conducted within 3 months of the previous audit.

<sup>1/</sup>Religious harvest (Kosher and Halal) shall be exempt from the AMS auditing of Core Criteria 1: Effective Stunning.

- 342 MONTHLY - If at any time an audit identifies any of the Core Criteria not meeting the pass requirements while in the STANDARD phase, auditing will be required to be conducted on a monthly basis once corrective and preventative actions have been approved by the FSCS Division, implemented and proven effective. This schedule will be for a period of time until four (4) successive audits are found to meet the passing requirements noted in **SECTION 310 – AUDIT FORMAT**; at which time audits shall be conducted on the STANDARD basis.
- 343 If four successfully conducted audits are sequentially completed within a one year period while in STANDARD auditing phase, the facility may move to a SEMI-ANNUAL audit basis.
- 344 SEMI-ANNUAL - Semi-annual audits may continue until such time that a failed audit is reported or a period of greater than six months has elapsed without any audits being performed; at which time the audits must resume as described for STANDARD audits.
- 345 FOR-CAUSE – Any official enforcement actions issued by FSIS for missed stuns or for an animal regaining sensibility following stunning shall result in an immediate for-cause animal handling and welfare audit by AMS. Subsequent audit frequency will be determined by results of the AMS audit, as described above.
- 400 FSCS DIVISION**
- 401 The FSCS Division can declare an organization’s Animal Handling and Welfare Program out of compliance at any time.
- 402 The organization shall immediately notify the FSCS Division when any animal handling and welfare official enforcement action is issued by FSIS.



Cover Page:

Company Name

Company Address

Contact Person, including title, phone number, including emergency contact information, e-mail address (must be authorized to represent the company).

Technical Proposal for: [Supplement Number] and [FPPS]

Table of Contents (all pages and attachments shall be numbered and identified – all attachments shall be identified and referenced in the Technical Proposal).

The technical proposal should document a quality control program that includes procedures, records, forms, pictures, etc., which demonstrates conformance with the following checklist of requirements:

- 100 ITEM DESCRIPTION
- 200 APPLICABLE DOCUMENTS
- 300 CHECKLIST OF REQUIREMENTS
- 400 MATERIALS
- 420 MEAT COMPONENT
- 422 Domestic Origin of Meat Component
- 423 Harvest (Slaughter)
- 423.1 Humane Handling
- 423.2 Non-Ambulatory Hogs
- 424 Pork
- 424.1 Traceability
- 424.2 Handling
- 424.3 Lean Quality
- 424.4 Objectionable Materials
- 425 Mechanical Separation
- 500 PROCESSING

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511 PROCESSING DATE  
512 IMPS ACCORDANCE  
513 FREEZING/TEMPERING  
514 WEIGHT  
515 TAIL LENGTH  
530 METAL DETECTION  
600 FINISHED PRODUCT LIMITATIONS  
610 FAT  
620 SODIUM  
700 STATE OF REFRIGERATION  
800 PREPARATION FOR DELIVERY  
810 PACKAGING  
820 PACKING  
830 LABELING  
840 CLOSURE  
850 PALLETIZED UNIT LOADS  
900 DELIVERY UNIT  
1000 DELIVERED PRODUCT  
1010 SIZE AND STYLE OF CONTAINER  
1020 TEMPERATURE  
1030 SEALING  
1100 PRODUCT ASSURANCE  
1110 WARRANTY AND COMPLAINT RESOLUTION  
1120 NON-CONFORMING PRODUCT  
1130 CONTRACTOR CHECKLOADING

Attachments or Appendixes - Please attach all referenced documents with the applicable document name and reference number.

Approved by  CMS

Date Issued: 06/10/11

Date Revised: 08/03/17

**UNITED STATES DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
LIVESTOCK, POULTRY AND SEED PROGRAM**

**CERTIFICATE OF CONFORMANCE FOR  
THE PROCUREMENT OF PORK LOIN CHOPS,  
CENTER CUT, BONELESS, FROZEN**

**CERTIFICATE OF CONFORMANCE**

I certify the following:

- (1) On [delivery date(s)], [Contractor's name] furnished the (insert the appropriate commodity description) called for by Purchase Order Number \_ via [Carrier] under Sales Order Number/Item number(s):
- (2) The (insert the appropriate material name) is of the quality specified and conforms in all respects with the purchase order requirements, including [Contractor's name] Technical Proposal as approved by the AMS, LPS Program, FSCS Division.
- (3) Product identification, (i.e. production lot number(s)) is in the quantity shown on the attached acceptance document.
- (4) Contractor assures all meat or meat products used in fulfilling this contract was produced in the United States as defined in the AMS Master Solicitation Section I.E.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

(Signed by an officer or representative authorized to sign offers)

Title: \_\_\_\_\_