

Business Entity ID Number	For PSD Stamp Only	PSD Bar Code Only
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U.S. Department of Agriculture
 Agricultural Marketing Service
 Fair Trade Practices Program
 Packers and Stockyards Division

**ANNUAL REPORT OF
 MARKET AGENCY SELLING
 ON COMMISSION**

Select this box if you want to receive electronic notification to file your annual report. This will begin in the next reporting cycle.

Electronic Filing

Instructions: If a line's value is zero, enter "0". If a line does not apply, enter "N/A". Return completed form to the PSD Central Reporting Unit. If all lines are not completed when the form is received, the form will be returned. See separate instructions for complete information about this report.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yyyy)	a. FROM:	b. TO:
102	Entity Name		
103	Trade Name/d.b.a.		
104	Mailing Address		
105	City, State, Zip+4		
106	Operating Address		
107	City, State, Zip+4		
108	Contact Name		
109	Contact Telephone	110	Fax Number
111	E-Mail Address	112	Web Address

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and members in control of this business with their ownership percentage.

	a. Name	b. Title	c. %
201			
202			
203			
204			
205			

206	Type organization: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> Co-op <input type="checkbox"/> Association		
207	Is this the same organization type reported last year?		<input type="checkbox"/> Yes <input type="checkbox"/> No
208	Does the entity own or operate a scale on which purchased livestock is weighed?		<input type="checkbox"/> Yes <input type="checkbox"/> No
209	a. Manufacturer	b. Model	c. Serial Number
210	What is the basis of payment made to the entity for most livestock you sell? <input type="checkbox"/> Per Head <input type="checkbox"/> Live Wt. <input type="checkbox"/> Dressed Wt. or Carcass Basis		
211	Is this business owned or controlled by another business entity? If Yes, list information on line 212 <input type="checkbox"/> Yes <input type="checkbox"/> No		
212	Entity Name:	Entity Address:	
213	Name and Version of software program used for consigner/buyer invoices		

214	Does this Business, including any Owners, Officers, Directors, Members or Employees, own an interest in any other Market Agency, Dealer Organization, or Packing Company?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
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If line 214 is yes, give the name of related firms, name of person or firms with relationship, and percentage of ownership under lines 215-218

	a. Name of Related Firm	b. Name of Person/Firm with Relationship	c. %
215			
216			
217			
218			

SELLING ON COMMISSION OPERATION (CLAUSE 1 BOND) - SECTION 3

	Livestock Sold at Market	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Livestock consigned by others to your market (number of head)					
302	Livestock consigned by you to your market (number of head)					
303	Total livestock consigned to your market (number of head)					
304	Gross value of livestock sold on commission	\$	\$	\$	\$	\$

305 **NUMBER OF PUBLIC SALE DAYS COVERED BY THIS REPORT** _____

DEALER AND BUYING ON COMMISSION OPERATION (CLAUSE 2 BOND) - SECTION 4

	Livestock Dealer Purchases	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
401	Cost of livestock purchased on a dealer basis for registrant's account	\$	\$	\$	\$	\$
402	Number of head of livestock purchased on a dealer basis for registrant's account					
	Dealer Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
403	Cost of livestock purchased as a dealer, per quarter (403e is equal to 401e)	\$	\$	\$	\$	\$

	Livestock Bought on Commission	a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
404	Cost of livestock bought on commission (BOC) for the account of others regardless of who paid for the livestock	\$	\$	\$	\$	\$
405	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					
	BOC Volume by quarter	a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
406	Cost of livestock bought on commission, per quarter (406e is equal to 404e)	\$	\$	\$	\$	\$

407 Total Cost of Livestock Purchased - line 401e plus line 404e \$ _____

408 Number of days livestock was purchased during the reporting year _____

CLEARER AND CLEAROR DESIGNATIONS - SECTION 5

501 Select this box if you are a clearer (Cleared and under the bond coverage of another entity for your livestock purchases)

502 Provide the name of the clearor if line 501 is checked: _____

503 Select this box if you are a clearor providing clearing services for clearers.

If the checkbox is selected on line 503, you must enter the required information for lines 504-514

	Clearer's Name as Registered	Dollar Value of Livestock Purchased	
504		505	\$
506		507	\$
508		509	\$
510		511	\$
512		513	\$
Total Livestock Purchases of all Clearers		514	\$

ANALYSIS OF CUSTODIAL BANK ACCOUNT FOR SHIPPERS' PROCEEDS - SECTION 6

Date of Custodial Analysis (as of mm/dd/yyyy - should be same as reporting year end)	601	_____
Balance as per Bank Statement	602	\$ _____
Deposits in transit in mail or bank, but not on statement	603	\$ _____
Certificates of Deposit/Savings designated as custodial funds	604	\$ _____
Proceeds on hand	605	\$ _____
Proceeds receivable	606	\$ _____
Total of Lines 602 through 606		607 \$ _____
Outstanding checks and drafts that have not cleared bank	608	\$ _____
Proceeds due consignors of livestock for which checks have not yet been issued	609	\$ _____
Unpaid expense items deducted from consignors' proceeds remaining in account	610	\$ _____
Total of Lines 608 through 610		611 \$ _____
Overage or Shortage in Account		612 \$ _____
Accounts Receivable - (from sales of livestock not classified as Proceeds Receivable)		613 \$ _____

STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 7

Include values only for the reporting entity.

ASSETS

Current Assets:

Cash in Non-Custodial Accounts (Enter overdrafts on Line 722)	701	\$ _____
Overage in Custodial Bank Account (From Line 612, if a positive amount)	702	\$ _____
Current Cash Equivalents (CD's, Savings, etc.)	703	\$ _____
Trade Accounts Receivable-Net (Due in 1 year or less):		
Owners, Officers, and Affiliated Companies	704	\$ _____
All Others (Sources Not Related to Company)	705	\$ _____
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total of lines 704 and 705)		706 \$ _____
Inventory:		
Livestock Inventory	707	\$ _____
Other Inventory (Inventory to be consumed in the business during the year)	708	\$ _____
Total Inventories (Total lines 707 and 708)		709 \$ _____
Notes and Loans Receivable (Due in 1 Year or Less):		
Owners, Officers, and Related Entities	710	\$ _____
Others (Sources Not Related to Company)	711	\$ _____
Total Notes and Loans Receivable (Due in 1 Year or Less) (Total lines 710 and 711)		712 \$ _____
Advances Made on Livestock	713	\$ _____
Other Current Assets	714	\$ _____
Total Current Assets (Total lines 701, 702, 703, 706, 709, 712, 713, and 714)		715 \$ _____
Property, Plant, & Equipment Net of Depreciation and Amortization		716 \$ _____
Other Assets:		
Investments in Subsidiaries and Related Companies	717	\$ _____
Due from Owners, Officers, and Related Entities (Due in 1 year or more)	718	\$ _____
Livestock Inventory not related to sales to customers (feeding, backgrounding, depreciable assets)	719	\$ _____
Other Long-Term Assets	720	\$ _____
Total Other Assets (Total lines 717, 718, 719, and 720)		721 \$ _____
TOTAL ASSETS (Total of lines 715, 716, and 721)		722 \$ _____

LIABILITIES

Current Liabilities:

Overdraft	723	\$ _____
Shortage in Custodial Account (from line 612, if a negative amount)	724	\$ _____

Trade Account Payables:

Livestock Payables (Due in 1 Year or Less)	725	\$ _____
Other Payables to Owners, Officers, and Related Entities (Due in 1 Year or Less)	726	\$ _____
Other Payables (Sources Not Related to Company)(Due in 1 Year or Less)	727	\$ _____
Total Trade Account Payables (Total Lines 725, 726, and 727)	728	\$ _____

Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)

Owners, Officers, and Related Entities	729	\$ _____
Others (Sources Not Related to Company)	730	\$ _____
Total Current Maturities of Long-Term Debt (Total lines 729 and 730)	731	\$ _____

Other Current Liabilities	732	\$ _____
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Total Current Liabilities (Total lines 723, 724, 728, 731, and 732)

733 \$ _____

Other Liabilities:

Long -Term Debt, Less Current Maturities: (Due in 1 year or more)		
Owners, Officers, and Related Entities	734	\$ _____
Others (Sources Not Related to Company)	735	\$ _____

Total Long-Term Debt, Less Current Maturities (Total lines 734 and 735)

736 \$ _____

All Other Liabilities

737 \$ _____

TOTAL LIABILITIES (Total of lines 733, 736, and 737)

738 \$ _____

EQUITY

Owner's Equity (Unincorporated)	739	\$ _____
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OR

Stock Issued (Incorporated)	740	\$ _____
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Additional Paid-in Capital	741	\$ _____
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Retained Earnings	742	\$ _____
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TOTAL EQUITY (should equal lines 739 through 742)

743 \$ _____

TOTAL LIABILITIES AND EQUITY (should equal line 722)

744 \$ _____

STATEMENT OF REVENUES AND EXPENSES - SECTION 8

Selling Commissions of Market	801	\$ _____
Gross Dealer Profit (+) or Loss (-)	802	\$ _____
Buying Commission	803	\$ _____
Other Income	804	\$ _____
Total Income		805 \$ _____
Total Expenses		806 \$ _____
Net Profit (+) or Loss (-)		807 \$ _____

CERTIFICATION - SECTION 9

Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report. (This form must be signed by a person listed in lines 201-205.)

901 Print Name:		902 Signature:
903 Phone Number:	904 Date:	905 Title:

Submitted information is confidential (9 CFR 201.96). Failure to report may result with a complaint filed against the entity in a United States District Court charging the entity with violations of the Packers and Stockyards Act and seeking civil penalties as authorized by law per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0581-0308. The time required to complete this information collection is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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