

Gelatin

processing

Executive Summary

The NOSB was petitioned to consider gelatin derived from fish used to clarify tea. Gelatin can be made from many different sources of collagen. Cattle bones, hides, pigskins, and fish are the principle commercial sources. As such, it may come from either agricultural or non-agricultural sources. Gelatin is also used as a fining agent in wine, and as a stabilizer, thickener, and texturizer for a range of products. Gelatin can be used as either a processing aid or an ingredient. In some cases, gelatin will comprise over 5% of a food.

Gelatin may be prepared in a way that is more like cooking and could be considered nonsynthetic. However, gelatin may also be processed in ways that would render it synthetic. Some forms are chemically modified (e.g., cross-linked) or possibly involve the use of substances derived from genetically modified organisms. These forms and processes are considered excluded from this review. Irradiated gelatin is also not covered. Gelatin is often combined with other ingredients. Each of these other ingredients would either need to appear on the National List or be from an organic source to be used in a product labeled as 'organic.'

Unprocessed fish bladders, known as isinglass, are a possible substitute for more processed gelatin and may want to be considered for a separate listing. Isinglass is covered under the current TAP review, although this is technically a different substance from gelatin.

Two Reviewers recommended that gelatin be added to the National List. One recommended that it be prohibited for use in organic processing and handling.

Identification

Chemical Name: gelatin

Other Names:

bovine gelatin (type B gelatin), fish gelatin, porcine gelatin (type A gelatin), food-grade gelatin, edible gelatin, kosher fish gelatin, dried fish gelatin, bloom fish gelatin, HMW fish gelatin, isinglass(?), gelatine.

Trade Names (selected list):

Gelfoam, Puragel, Norland Fish Gelatin, Gel-caps, Emagel, Gelafusal, Gelatine, Gelita Sol E, Gelita-Collagel, Gelita-Sol P, Gelita-Tec, Gelodan G, Gelofusine, Gelrite, Glutins (gelatins), Grindsted G, GX 45L404, IK, IK (gelatin), K 16096, K 7598, Knox

Unflavored Gelatin, KV 3000, KV 3000 (gelatin), KV 3029, M 394, M 396, M 400 (gelatin), MGP 9066 Neosoft GE 82, Nikkol CCP 4, Niitta 750, Nittait GF 600A, Calfskin Gelatin, Crodyne BY-19, Flavorset® GP-2, Flavorset® GP-3, Gummi Gelatin P-5, Gummi Gelatin P-7, Gummi Gelatin P-8, Margarine Gelatin, Quickset® D-4, Spa Gelatin, Tenderset® M-7, Tenderset® M-8, Tenderset® M-9; Biofine P-19® (isinglass), Hausengranulat Drifine® (isinglass).

CAS Number: 9000-70-8

Other Codes: EINECS 2325546

63 **Summary of TAP Reviewer Analysis¹**

Agricultural / Non-agricultural	Synthetic / Non-Synthetic:
<i>From fish:</i> Non-agricultural (3-0) <i>Isinglass</i> Non-agricultural (3-0) <i>From cattle bones:</i> Agricultural (2-1) <i>From tanned cattle hides:</i> Non-agricultural (3-0) <i>From pigskins:</i> Agricultural (2-1)	<i>From fish treated with food acids:</i> Non-synthetic (2-1) <i>Isinglass:</i> (Not considered gelatin) Non-synthetic (2-1) <i>From cattle bones:</i> Non-synthetic (2-1) <i>From tanned cattle hides:</i> Synthetic (3-0) <i>From pigskins:</i> Non-synthetic (2-1)

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65 **95% organic**

Allowed or Prohibited:	Suggested Annotation:
<i>Allowed</i> (2) <i>Prohibited</i> (1)	(1) From animal bones and animal skins prepared with agricultural products and items on the National List (7 CFR 205.605) and not chemically modified. (2) Allowed with the exception of hard gelatin capsule applications. No sulfur dioxide or hydrogen peroxide allowed in the process. (3) Prohibited without annotation.

66
67 **made with organic**

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69 **Characterization**70 **Composition:**

71 Gelatin is a heterogeneous mixture of water-soluble proteins of high molecular weight (Budavari, 1996). On a dry weight
 72 basis, gelatin consists of 98 to 99% protein. The molecular weight of these large protein structures typically ranges
 73 between 20,000 and 250,000 (Kennan, 1994), with some aggregates weighing in the millions (Poppe, 1997).

74
 75 Coils of amino acids are joined together by peptide bonds. The predominant amino acid sequence is Gly-Pro-Hyp (Poppe,
 76 1997). As a result, gelatin contains relatively high levels of these amino acids: glycine (Gly) 26-34%; proline (Pro) 10-18%;
 77 and hydroxy proline (Hyp) 7-15% (Veis, 1964; Poppe, 1997). Other significant amino acids include: alanine (Ala) 8-11%;
 78 arginine (Arg) 8-9%; aspartic acid (Asp) 6-7%; and glutamic acid (Glu) 10-12%. (Hudson, 1994; Poppe, 1997).

79
 80 Gelatin is not a nutritionally complete protein. It contains no tryptophan and is deficient in isoleucine, threonine, and
 81 methionine (Potter and Hotchkiss, 1998). The other sulfur-containing amino acids—cysteine and cystine—are deficient or
 82 absent as well. Percent of water will vary between 6 to 9% (Alais, 1991; US FDA, 1997a). Ash content is 0.1 to 3.25%
 83 (Veis, 1964).

84
85 **Properties:**

86 Gelatin is nearly tasteless and odorless (Food Chemicals Codex, 1996). Physical and chemical properties noted: colorless
 87 or slightly yellow, transparent, brittle, odorless, tasteless sheets, flakes, or powder; soluble in hot water, glycerol, and acetic
 88 acid; and insoluble in organic solvents (Budavari, 1996). Gelatin swells and absorbs 5-10 times its weight of water to form
 89 a gel in aqueous solutions between 30-35°C. Gelatin extracted from fish will have a gel point in the range of 5-10°C.
 90 (Food Chemicals Codex, 1996). These gels have increasing viscosity under stress (thixotropic) and are thermally
 91 reversible. Gelatin has a unique protein structure that provides for a wide range of functional properties (Hudson, 1994).
 92 These proteins form a compound (triple) helix in aqueous solution (Veis, 1965).
 93

¹ This Technical Advisory Panel (TAP) review is based on the information available as of the date of this review. This review addresses the requirements of the Organic Foods Production Act to the best of the investigator's ability, and has been reviewed by experts on the TAP. The substance is evaluated against the criteria found in section 2119(m) of the OFPA [7 USC 6517(m)]. The information and advice presented to the NOSB is based on the technical evaluation against that criteria, and does not incorporate commercial availability, socio-economic impact or other factors that the NOSB and the USDA may want to consider in making decisions.

94 Gelatin is amphoteric (Budavari, 1996), meaning that it is neither acidic nor alkali, but possesses both properties
95 depending on the nature of the solution. The pH at which gelatin's charge in solution is neutral is known as the isoelectric
96 point. The isoelectric point of gelatin ranges between 4.8 and 9.4, with acid processed gelatins having higher isoelectric
97 points than alkali processed gelatins (Poppe, 1997).
98

99 Gelatin forms a gel at a minimum concentration of 0.5% through the pH range of 4 through 8. The pH in water solutions
100 for type A is between 4.5 and 6, and the pH range for type B is from 5 to 7 (see below for types) (US FDA, 1997a). Bloom
101 is an ascending index used to measure gel strength (Bloom, 1925). Commercial gelatin will vary from 90 to 300 grams
102 Bloom (Igoe, 1983).
103

104 In addition to origin, fish gelatin is distinguished from beef or pork gelatin by its low melting point, low gelation
105 temperature, and high solution viscosity. These physical properties are not as strongly correlated to Bloom strength
106 (Leuenberger, 1991). One study found fish gelatin to have similar physical and chemical properties compared to porcine
107 gelatin and to be rated superior in a blind sensory test (Choi and Regenstein, 2000).
108

109 **How Made:**

110 All manufacturing operations extract and hydrolyze collagen found in fish skins, bovine bone, and porcine skin with
111 subsequent purification, concentration, and drying operations. These can be either simple or complicated operations.
112

113 Gelatin is formed during the simple cooking of meat, particularly in low-quality cuts that are high in collagen (Foegeding,
114 et al., 1996). Collagen is an important product of rendering cattle and hog slaughter by-products (Boehme, 1982). Fish
115 swim bladders are often simply dried to make isinglass (Ockerman, 1991; Leather et al., 1994; Hickman et al., 2000).
116 Various applications will require certain specific sources, or processing steps, to achieve certain functionalities or grades.
117 Some may be based on religious preference—e.g., porcine gelatin is forbidden for Halal or Kosher. Others depend on
118 additional processing steps that provide an appropriate type, strength, viscosity, and water-absorption capacity. Comestible
119 grades are selected based on (neutral) flavor and texture (Choi and Regenstein, 2000).
120

121 Genetically engineered sources of collagen and gelatin are also being researched. At the present time, most of the research
122 appears to involve transgenic animals that produce human collagen for grafting (Ferguson, 2001; Fibrogen, 2001). One
123 patented source of recombinant human collagen is expressed in milk of non-human animals (Berg, 1997). These are
124 intended for therapeutic and medical use to replace damaged human tissue. Another patent claims that collagen-like
125 polypeptides are produced by yeast as the host organism (Weber and Herz, 1998). This would be suitable for conversion
126 to gelatin, although it might also be considered a gelatin substitute. The intended application is for photography. At
127 present, it is unclear whether any GMO sources of collagen are commercially available.
128

129 **Fish Gelatin Process**

130 Gelatin is extracted from fish skins (Kosher) with heat and water and acetic acid (the acid found in vinegar) to control pH.
131 The soluble extract is filtered, concentrated by evaporation, dried, and milled into standard particle size (40 mesh), then
132 blended and packaged (Kenney and Ross, no date). Various other food acids can be used, such as citric or lactic (Gómez-
133 Guillén, M.C. and P. Montero. 2001). The source of the fish, including species and whether farmed or wild-caught, was
134 not specified. Various species may be used, and a number of these species may be farmed. Alkali hydrolysis may speed the
135 process and increase Bloom strength, but alkali does not appear to be used in the manufacture of most fish-based fining
136 gelatins. Sodium hydroxide appears to be the alkali of choice used by the exceptional alkali processors.
137

138 **Isinglass**

139 When fish bladders are dried, this forms a substance known as 'isinglass.' The bladders can come from either wild-caught
140 or farmed fish. Sturgeon (Ockerman, 1991), channel catfish (Eun, Chung, and Hearnberger, 1994), and tilapia, megrim,
141 cod, and tuna (Gilsenan and Ross-Murphy, 2000) have all been used at various times. Commentators differ as to whether
142 isinglass is a gelatin or a raw collagen. One food science reference defines isinglass as "[a] refined *gelatin* obtained from the
143 collagen of the outer layer of the dried swim bladder of a fish (e.g., sturgeon) and used as an edible jelly, to preserve eggs,
144 and for clarifying wine and beer" (Ockerman, 1991, emphasis added; see also Light, 1989). Other sources consider it to be
145 raw collagen, but indicate that the collagen can be turned into gelatin simply by heating, without a synthetic chemical
146 reaction (Hickman, et al., 2000). Adding fruit juice and various spices, heating, cooling, and filtering can also reduce
147 isinglass to a stable, consistent gelatin (Cooper, 1845). Isinglass is unique among collagens in that it possesses many of the
148 chemical and functional properties of gelatin without being denatured by processing with synthetics. Many common tests
149 are unable to distinguish whether isinglass is a collagen or a gelatin. One study prepared a denatured gelatin from isinglass
150 by treatment in a waterbath at 60°C. (140°F.) (Leather, et al., 1994).
151

152 (Note: In 1995, the NOSB received a petition for isinglass that it did not refer to the TAP for review.)
153

Acid Pretreatment Process or Porcine Gelatin (Type A Gelatin)

Acid pretreatment is invariably used for porcine gelatin. Pigskins are first dehaired, usually by a combination of steam, rubber paddles, and flame (Farmer, et al., 1982). The pigskins may then be degreased by various methods, such as centrifuged in a rotating drum heated with steam to temperatures between 60° and 65° C. or approximately 150°-160°F. (Hinterwaldner, 1977a). Petroleum-based solvents such as tetrachloroethylene (TCE) may also be used to degrease animals, but this is less common than steam and mechanical methods because of safety and environmental issues (Norris, 1982). Hydrogen peroxide may be used to remove grease passed through a chopper or macerator to cut the skin into uniform sizes (Keenan, 1994). The skins are then soaked at a pH of 1 to 4 with a food-grade mineral acid such as hydrochloric (HCl), phosphoric (H₃PO₄), or sulfuric (H₂SO₄) acid for 8 to 30 hours (Hinterwaldner, 1977b; Keenan, 1994; Cole, 2000; Ledward, 2000). This treatment causes the material to swell to two to three times its pre-treatment volume (Ledward, 2000). The acid-treated pigskins are then washed with water to remove impurities. The skins are then extracted with hot water and the extract is filtered through an anion-cation exchange column to reduce ash or mineral levels. The gelatin extract is vacuum concentrated or ultra filtered to a concentration of between 15 and 35%, filtered, pH adjusted to between 3.5 and 6, evaporated to 50% solids, sterilized at temperatures between 248-303°F. for up to 13 seconds, chilled and extruded into noodles approximately 1/8 inch diameter, dried through a multi zone oven at 158°F., and milled to the specified particle size and packaged (Hinterwaldner, 1977a). Acid pretreatment is sometimes used for beef ossein, but this is relatively uncommon (Rose, 1990).

Alkali Process or Bovine Gelatin (Type B Gelatin)

Bovine gelatin is obtained from collagen from cattle, primarily hides and bones. In the U.S., 98% of the bone used for gelatin extraction is obtained from USDA inspected plants and 2% is obtained from Argentina (US FDA, 1997a). If chromium-tanned hides are used, steps are taken to remove the chromium from the hides (Rose, 1990). Because of the mineral content of bones, a great deal more processing time is needed (Stainsby, 1987). The bone is crushed, cooked at 180-250°F., centrifuged, and dried at 160-270°F. This extracted bone is degreased prior to gelatin manufacture. The degreased bone meal is de-mineralized with 4-6% HCl for a period of 5 to 7 days. Shorter times can be achieved by continuous processes (Garono, et al., 1956). The de-mineralized bone is now called ossein. The ossein is washed with multiple rinses of water to remove impurities. The next step is called the liming process where ossein is treated with a 1 to 4% lime (calcium hydroxide) slurry to adjust the pH to 12 to 12.7 for periods from 35 to 70 days, with agitation and weekly lime changes to remove all non-collagen components. The ossein is then washed at the rate of 50 to 100 lb. of water per pound of gelatin. During the wash process, a mineral acid is added (HCl or H₂SO₄) to neutralize excess lime and to adjust the pH to 3. The final pH after all wash operations is between 5 and 7. Gelatin is then extracted from the ossein by de-mineralized hot water extraction. To further remove impurities, the liquid gelatin solution may be filtered through a cellulose/diatomaceous earth plate and frame filter and de-ionized using an anionic-cationic resin bed. The gelatin solution is evaporated to a concentration between 15 and 45%. The concentrated gelatin is filtered, pH adjusted to between 5 and 7, and sterilized between 280-290°F. for 8 to 12 seconds, cooled, and hot air dried for periods of 1 to 3 hours. It is then milled to 80 to 30 mesh size and packaged (US FDA, 1997a). The alkaline process may take up to 20 weeks (Poppe, 1997).

Enzymatic Process

Collagen resists proteinase attack, but a number of collagenase enzymes have been isolated (Cole, 2000). Several processes have been developed to produce gelatin by the use of naturally occurring enzymes (e.g., Vernon, Glass, and Weaver, 1939). Proteolytic enzymes such as pepsin and pronase are often used in conjunction with chemical treatment methods to increase the efficiency and reduce processing time for Type A gelatin (Hinterwaldner, 1977b). An early approach to process collagen into gelatin without mineral acids or bases involved the sterilization of pigskins with hydrogen peroxide, followed by the introduction of a yeast culture, such as baker's yeast or brewer's yeast, along with a sugar as an energy source for the yeast (Keil, 1956). The yeast produced enzymes that digested the collagen, and converted that substrate to gelatin after being denatured. Since then, a more refined approach has been patented that introduces proteolytic enzymes produced by non-pathogenic bacteria, rather than the fermentation organisms (Petersen and Yates, 1977). Both sodium hydroxide and a bactericide were also used in the example, but was not claimed as essential to the process. Enzymatic methods to produce gelatin continue to evolve and have succeeded in demineralizing collagen from ossein with improved predictability of quality and yield (Rowlands and Burrows, 1998). Earlier TAP reviews on enzymes have noted the development of enzymes from genetically modified organisms.

Capsules

'Gelatin' capsules are made from gelatin and various other ingredients. These are manufactured by a number of different methods (see various patents, and Jones, 1987). The earliest reference to gelatin capsules makes no specific mention of any ingredients other than the medicines encapsulated (Cauhaupé, 1874). However, current gelatin capsule formulations contain a wide variety of other ingredients. Each ingredient needs to be addressed on its own merits. One of the earliest improvements was the addition of sodium carbonate to correct for stomach acidity (Heineman, 1891). At about the same time, it was discovered that formaldehyde (formic aldehyde) and other aldehydes can be used to harden gelatin capsules and enable them to pass from the stomach to the intestine (Weyland, 1899). A number of other ingredients have been

214 introduced to harden both soft- and hard-capsules since that invention, but the most extensively studied has been
215 formaldehyde (Jones, 1987). Improved methods to detect formaldehyde cross-linking are of interest because trace levels of
216 formaldehyde may have an adverse effect on the capsule contents (Gold, et al., 2001a, and Gold, et al., 2001b).

217
218 **Specific Uses:**

219 Gelatin has a considerable number of applications and uses (Hudson, 1994; Keenan, 1994; Cole, 2000; Poppe, 1997;
220 Ledward, 2000). The petitioned use is in foods as a beverage clarifier (Gass, 2001). Gelatin is also used as a fining agent for
221 white wine (Vine, 1999), as a beer clarifier (Brewers Resource, 2001), and to clarify fruit and vegetable juice, especially for
222 clarified apple juice (Tressler and Joslyn, 1954; Peterson and Johnson, 1978) and pear juice (Lee and Lee, 1999). Gelatin is
223 used in desserts at 8 to 10% of the dry weight (e.g., Jell-O™), in yogurt at 0.3 to 0.5% as a thickener, in ham coatings at 2
224 to 3%, and in confectionery and capsules (vitamin supplements) at 1.5 to 2.5 % (Igoe, 1983). Further uses include fruit
225 toppings for pastry, instant gravy, instant sauces and soups, edible films for confectionery products (McCormick, 1987), as
226 a stabilizer in ice cream, cream cheese, and cottage cheese as well as in food foams and fruit salads (McWilliams, 2001).
227 Overall functional uses include as a stabilizer, thickener, and texturizer.

228
229 Gelatin and animal glue are closely related (Torr, 1954; Keenan, 1994). Gelatin-based glues are also used as adhesives to
230 put those little 'organic' stickers on fruits and vegetables. Gelatin is also used in prepared meat products such as canned
231 ham, luncheon meats, turkey, and chicken rolls where it helps to maintain consistency and moisture (Rose, 1990). Textile
232 applications include use as a sizing, coating, dressing, or finishing agent for cotton, leather, silk, and wool (Naghski, 1982).

233
234 Gelatin capsules (gel-caps) are commonly used to encapsulate various foods, nutritional supplements, and medicines (Ash
235 and Ash, 1995). Various forms of gelatin are common excipients in pharmaceutical formulations, including vaccines, and
236 are used as a binder for tablets (Zanowiak, 1996).

237
238 **Action:**

239 For juice applications, gelatin in combination with bentonite causes a dense precipitate or coagulum with soluble proteins
240 in the juice, which facilitates the clarification process by allowing the protein haze to be filtered out from the juice. The
241 petition states, "added directly to beverage in conjunction with other clarifiers to cause(s) binding of haze causing
242 components which can then be filtered out along with the gelatin" (Gass, 2001).

243
244 Gelatin in aqueous food systems readily forms a hydrogen bond with water because of many exposed polar regions. As
245 gelatin binds with water, it swells and absorbs water. It can then be dispersed in hot water and with other ingredients. The
246 formation of a gelatin gel is endothermic and occurs gradually as the energy of the system dissipates. A surface film forms
247 as some of the gelatin molecules cross link in a compact configuration. When the interior begins to gel, the molecules of
248 gelatin are in random configuration. As gelation continues, a more organized arrangement evolves after storage. The
249 gelatin gel is a dynamic colloidal dispersion and is subject to change (thixotrophy) and decreased tenderness during
250 storage. As the concentration of gelatin increases, the rate of gelation also increases, thereby increasing the firmness and
251 decreasing tenderness. If the concentration is too high, the texture becomes too firm and rubbery. An acceptable gel for
252 most food systems can be formed with gelatin concentrations of between 1.5 and 4% (McWilliams, 2001).

253
254 When gelatin is used as a clarification agent for white wine, it is able to bind negatively charged tannins by gelatin's net
255 positive charge in acidic solution. The two bind electrostatically and form an insoluble complex that can be filtered or
256 gravity settled from the wine.

257
258 **Combinations:**

259 The literature is filled with references to combinations for gelatin. These are not necessarily used as ingredients in food,
260 and may involve use for photography, textiles, or other non-food applications. All forms of gelatin may be subjected to
261 further chemical treatment to change the functional, textural, or keeping qualities. The review below will focus on food
262 uses, but will also make references to pharmaceutical applications given the need to consider the use of gelatin as an
263 excipient / carrier for animal drugs, and the packaging of organic nutraceuticals and functional foods. Some non-food
264 applications pose contamination concerns and are noted as control points for safe food-grade gelatin manufacture (Cole,
265 2000).

266
267 **Preservatives**

268 Dry gelatin, kept dry, can keep for years (Hinterwaldner, 1977b). However, under certain conditions, bacteria readily
269 consume gelatin because it is pure protein. Hydrogen peroxide is also used (Cole, 2000; Ledward, 2000).
270 Pentachlorophenol may be used for non-edible industrial-grade gelatin, but is prohibited for food-grade gelatin (Food
271 Chemicals Codex, 1996). Isinglass may be packaged with tartaric acid to balance the pH and produce a positive charge;
272 metabisulfite may be also used as a stabilizer (Quest, 2001). Gelatin may also be irradiated (9 CFR 424.21; see 21 CFR 179
273 for general provisions).

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Fining Agents

The petition states, "...added directly to beverage in conjunction with other clarifiers" (Gass, 2001). Gelatin is combined with bentonite for juice clarification (Peterson and Johnson, 1978). Tannin is often added to apple juice and other juices with low tannin content (Tressler and Joslyn, 1954). Sugar as sucrose is frequently added to increase the set time of the gel.

Comestible Gelatin

Gelatin may also be irradiated. Agar is used with gelatin to create a phase-separated system that maintains the texture of meat and fish despite changes in room temperature (Stainsby, 1987). Sugar-gelatin mixtures can be directly added to hot aqueous liquids without preliminary hydration in cold water.

Capsules

Various plasticizing and hardening agents are added to the gelatin used to make capsules or microcapsules. Glycerol (glycerin) is a plasticizer most widely used to make soft gel capsules. Other plasticizers used with or instead of glycerol include various alcohols, propylene glycol, sucrose, and acacia (Ledward, 2000). Sorbitol is the most widely used alcohol, but other alcohols have been explored, including various polyethylene glycols (PEGs) (Hutchinson, et al., 1998), mannitol, ethylene glycol (Sano et al., 2001), and tetrahydrofuryl alcohol (Brox and Gabler, 1990). Various starches can be used as disintegrants and to improve adhesion of a secondary coating (Hutchinson, et al., 1998). Hard capsules use aldehydes to cross-link and stiffen the structure of gelatin. Formaldehyde and glutaraldehyde are used as hardening agents for microencapsulation of flavors (Cole, 2000). Hard capsules rarely use a plasticizer (Ledward, 2000). The introduction of formaldehyde to the gelatin may involve an emulsification of lanolin and mineral (petroleum) oil (Palermo and McMillion, 1951). Capsules can also be coated with various substances to give a smooth finish, to increase dispersion and dissolution, for flavoring, and for identification. Various surfactants, such as various polysorbates, can be used to increase dispersion. Natural and artificial flavors and sweeteners can also be incorporated into the shells or coatings of gelatin capsules. Sucrose (sugar) has been the most widely used, but coatings may include acesulfame K, aspartame, and saccharin (Hutchinson, et al., 1998).

Status

Historic Use: The practice of consuming collagen films as edible gut parts of slaughtered animals, filled with their original contents or comminuted meat, dates to the ancient Babylonians and Homer's *Odyssey* in 800 B.C. (Hood, 1987). Gelatin, derived from collagen, was among the first commercial raw materials suitable as a contact preservative for meat and meat products. Several U.S. patents covering topical applications of gelatin were granted in the mid nineteenth and early twentieth centuries (e.g. Henley, 1872). Gelatin was also reported to be used in the earliest individual sausage casings in 1864 as a coating applied by dip treatment of textile or cotton bags or tubes (Hood, 1987). The technology for gelatin production intensified during the period of 1940 through the 1950's when commercial processes were developed and refined (Pearson and Bailey, 1985).

Gelatin was widely used in Europe to clarify juice, but because of difficulties in controlling use and the large amounts of sediments formed, use in the U.S. was limited (Tressler and Joslyn, 1954). Gelatin remains unpopular in fruit juice clarification because it creates a haze (Shaw, 1994).

Fish gelatin has been used to clarify coffee for over a century (Tucker, 1871). The literature contains few references to methods to clarify tea, including the use of gelatin. The use of gelatin to stabilize green tea extract and product was patented (Ekanayake, Kirksey, and Pultinas, 1995). Gelatin capsules have been used to encapsulate nutritional supplements as well as medications since at least the second half of the 19th century (Cauhape, 1874).

OFPA, USDA Final Rule: Not mentioned in the final rule.

Regulatory: Meets USP and European Pharmacopoeia standards. FDA approved as GRAS.

EPA/NIEHS/Other Sources

EPA – Inert ingredients List 4A.

While gelatin and the collagen from which it is derived are both not considered hazardous, a number of the chemical agents used to treat the collagen to form gelatin are considered hazardous (US EPA, 1998b). Hydrochloric acid, sulfuric acid, and sodium hydroxide are all reportable under the Emergency Planning and Community Right-to-know Act (EPCRA) (EPA, 1998a).

NIEHS – (National Toxicology Program Database) no monograph on gelatin appeared on the day of the search (NTP, 2001). There were several cross-references about compounds combined with gelatin.

334 Hazardous components-none
335 Fire and explosion data- not applicable
336 Reactivity Data- stable
337 Conditions to avoid- none
338 Hazardous decomposition products- none

339
340 Other Sources – None found.

341
342 **Status Among U.S. Certifiers**

343 *California Certified Organic Farmers, Oregon Tilth Certified Organic, and Washington State Department of Agriculture (WSDA) Organic*
344 *Food Program* — Not mentioned.

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346 *Organic Crop Improvement Association International (OCIA)* — International Certification Standards, effective date July 1, 2001,
347 9.4.3 Processing Materials List: allowed as a processing production aid for fruits and vegetables and in winemaking.

348
349 *Texas Department of Agriculture (TDA) Organic Certification Program* — Organic Certification Program Materials List 2000; lists
350 as gelatin waxes—may be used as an aid in processing organic fiber if removed by final scouring.

351
352 **International**

353 *EU 2092/91* — Annex VI — Gelatin is listed under “Processing aids and other products which may be used for
354 processing of ingredients of agricultural origin” in Section B and under “Ingredients of Agricultural Origin Which Have
355 Not Been Produced Organically” in Section C.

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357 *Codex Alimentarius* — Guideline for the Production, Processing, Labelling, and Marketing of Organically Produced Foods
358 CAC/GL 32-1999, Table 2 Substance for Plant Pest and Disease Control, 1. Plant and Animal: listed.
359 Table 4: Listed under “processing aids which may be used for the preparation of products of agricultural origin.”

360
361 *IFOAM* — Basic Standards for Organic Production and Processing, September 2000, Appendix 4 List of Approved
362 Ingredients of Non Agricultural Origin and Processing Aids Used in Food Processing, Processing Aids and Other
363 Products: listed for use in fruit & vegetable products and wine.

364
365 *Ministry of Agricultural, Forestry and Fisheries of Japan (MAFF)* — Japan Agricultural Standard, Notification #60, Table 2 of
366 food additives: allowed, with no annotation.

367
368 *Canada* — Canadian General Standards Board National Standard for Organic Agriculture (CAN/CGSB-32.310-99), June
369 1999: permitted as a clarifying agent.

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371 *Certified Organic Associations of British Columbia (COABC)* — British Columbia Certified Organic Production Operation
372 Policies and Farm Management Standards, Section 9.14 Processing and Handling Materials List, March 2001: non-
373 hydrolysed or hydrolysed, regulated as a processing production aid; Either form of gelatin maybe used as a product
374 processing aid, for now, but the producer must submit to the certifying agency written details of their search to replace the
375 hydrolysed gelatin format with a non-hydrolysed gelatin or a completely different product. Allowed for fruits and
376 vegetables and in winemaking.

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378 *Naturland, Germany* — Listed in the August 1999 General Processing Standards in the “List of Permitted Ingredients,
379 Additives, and Auxiliary Products” as “food gelatin without additives (exclusively for cream-like masses).”

380
381 **Miscellaneous**

382 *Organic Grapes into Wine Alliance (OGWA)* — Lists ‘Fish based fining agents’ and ‘Non-hydrolyzed bone gelatin’ as
383 ‘Tolerated clarifying materials.’ ‘Hydrolyzed gelatin’ is prohibited.

384
385 **Section 2119 OFPA U.S.C. 6518(m)(1-7) Criteria**

386 1. *The potential of the substance for detrimental chemical interactions with other materials used in organic farming systems.*
387 Fish, bovine, and porcine gelatin are used directly in value-added food products and juice and wine processing and
388 therefore would not interact directly with other materials used in organic farming systems. The petition notes that the
389 spent gelatin and bentonite are spread as fertilizer (Gass, 2001). There is no indication of detrimental interactions
390 from this application.

391
392 2. *The toxicity and mode of action of the substance and of its breakdown products or any contaminants, and their persistence and areas of*
393 *concentration in the environment.*

394 There is no information available on the toxicity or mode of action of gelatin. Since gelatin is a protein, it can be
395 readily broken down by proteolytic enzymes in foods such as papain, bromelain, and ficin, or in the stomach by
396 pepsin or chymotrypsin to shorter chain peptides and amino acids. Chromium and pentachlorophenol from gelatin
397 recovered from hides could be possible contaminants.
398

399 *3. The probability of environmental contamination during manufacture, use, misuse, or disposal of the substance.*

400 This is covered under processing criteria 2 below.
401

402 *4. The effects of the substance on human health.*

403 Fish gelatin may be contaminated with *Clostridium botulinum* and the consumption of fish gelatin has resulted in
404 documented fatal cases of botulism (Miller, 1975). These incidents do not appear to be related to gelatin prepared by
405 Good Manufacturing Practices.
406

407 Another human-health concern is allergenicity to gelatin. Beef, pork, and fish gelatin all have been reported to cause
408 allergic reactions (Sakaguchi, Hori, Ebihara, et al., 1999). Fish proteins can cause allergic reactions at very low levels
409 (Aas, 1966). Although no allergic reactions to fish gelatin in processed, packaged foods have been documented, some
410 caution is noted in labeling foods that contain gelatin (Taylor and Hefle, 2001). Fish-sensitive patients exposed to fish
411 gelatin had allergic reactions. Gelatin labeling may be required for certain products even if the product contains only
412 incidental amounts of an allergen (Taylor & Hefle, 2001). Fish vary by species in their allergen composition and the
413 reactivity of sensitive patients (de Martino, et al., 1990). The use of gelatin as an excipient in various vaccines and
414 medications may result in immediate severe allergic reactions—including anaphylactic shock—when the vaccinations
415 are administered to patients who have recently eaten food containing gelatin (Sakaguchi, et al., 1996; Wahl and
416 Kleinhans, 1989; Kelso, et al., 1993). Reactions were noted with both bovine gelatin (Sakaguchi, Hori, Ebihara, et al.,
417 1999; Sakaguchi, Hori, Hattori, et al., 1999) and fish gelatin (Sakaguchi, Toda, et al., 2000). The commercialization of
418 soft, chewy (gummi) candies increased gelatin consumption world-wide beginning around 1992 (Keenan, 1994). The
419 increase in reactions to gelatin as an excipient in vaccines administered in Japan may be related to but not entirely
420 explained by an increase in the consumption by children of candy that contains gelatin (Nakayama, Aizawa, and
421 Kuno-Sakai, 1999). Adult patients have had similar reactions (Sakaguchi, Kaneda, and Inouye, 1999).
422

423 Finally, the most recent human health concern to arise from gelatin use has been the possible transmission of
424 spongiform encephalopathy (Mad Cow Disease) from infected animals through the production and manufacturing
425 operations (US FDA, 1997a). The FDA has not concluded that there is a potential risk to humans from BSE
426 transmitted from infected bovine animals through gelatin, but has prohibited using sources of animal by-products for
427 gelatin manufacture if those sources were obtained from BSE positive countries. Conclusions drawn from this study
428 indicate that no sources of bovine or porcine animal by-products from countries where there have been outbreaks
429 have been used for gelatin manufacturing. Since in the U.S. 98% of all bovine gelatin is obtained from USDA Food
430 Safety Inspection Service (FSIS) inspected plants and 2% from Argentina, risk is very minimal on the transmission of
431 this disease via gelatin manufacture. The FDA now requires a certificate of origin for gelatin coming in from non-
432 BSE affected countries and the certificate of origin must be endorsed by the veterinary service of the country where
433 the gelatin is manufactured, relating to the species and processing of the gelatin. Additionally, there have been a few
434 studies conducted to determine if the infectious agent (prion) can retain its biological activity after undergoing process
435 manufacturing conditions since there is no diagnostic method available other than direct inoculation. To date, all
436 reported cases of BSE have been bovine in origin, with no reported cases derived from porcine or fish. BSE concerns
437 have led manufacturers to replace bovine gelatin with other hydrocolloids (Ledward, 2000).
438

439 *5. The effects of the substance on biological and chemical interactions in the agroecosystem, including the physiological effects of the substance on*
440 *soil organisms (including the salt index and solubility of the soil), crops and livestock.*

441 Gelatin is a food ingredient and is not applied to the soil or otherwise released into the agroecosystem, except as an
442 inert ingredient and carrier in various formulations.
443

444 *6. The alternatives to using the substance in terms of practices or other available materials.*

445 See processing criteria 7 below.
446

447 *7. Its compatibility with a system of sustainable agriculture.*

448 See processing criteria 6 below.
449

450 Criteria From the February 10, 1999 NOSB Meeting

451 A PROCESSING AID OR ADJUVANT may be used if:

452 *1. It cannot be produced from a natural source and has no organic ingredients as substitutes.*

453 Gelatin is not found in nature, but is derived from collagen, a naturally occurring protein (Budavari, 1996). One
454 possible exception may be undenatured isinglass (Ockerman, 1991) or isinglass that has been denatured by thermal
455 treatment (heating) (Hickman, et al., 2000). It is not clear if organic collagen is commercially available, but it would
456 ordinarily be considered an agricultural commodity. Since gelatin is a purified, extracted protein if derived from
457 certified organic animals, its organic integrity would need to be evaluated as a function of process operations. Kosher
458 fish skins, prepared with natural acids, and isinglass may be considered natural sources. However, collagen—a natural
459 protein—is converted to a biologically different protein, gelatin, by cooking. The other ingredients used in
460 preparation may be nonsynthetic or synthetic.

- 461
462 2. *Its manufacture, use, and disposal do not have adverse effects on the environment and are done in a manner compatible with organic*
463 *handling as described in section 6513 of the OFPA.*

464 As a slaughter product, gelatin creates a number of environmental impacts related to meat production. The major
465 consideration during the manufacture of all forms of gelatin is the large amount of process waste effluents generated
466 during manufacturing, which would contain mineral components and lipid material (Hinterwaldner, 1977). This
467 creates a high biological oxygen demand (BOD). Waste effluents would be alkaline or acidic. Gelatin recovered from
468 leather tanning operations may generate chromium contaminated waste. Irradiation with gamma rays may involve the
469 use of radioactive material.

470
471 Gelatin capsules may involve the use of polyacrylamide, various aldehydes such as formaldehyde or glutaraldehyde,
472 and other synthetic compounds to harden and cross-link the structures to make the capsules rigid.

- 473
474 3. *If the nutritional quality of the food is maintained and the material itself or its breakdown products do not have adverse effects on human*
475 *health as defined by applicable Federal regulations.*

476 Gelatin is notable for its low nutritional value and poor protein quality, and is often used as a textbook example for
477 that purpose. It is one of the few foods that has a negative protein efficiency ratio (PER). That is, the test animals
478 (rats) lost weight per gram of protein in the form of eaten gelatin (Johnson and Peterson, 1974). This anomaly is
479 attributed to the fact that gelatin contains no tryptophan, and is deficient in isoleucine, threonine, and methionine
480 (Potter and Hotchkiss, 1998). During the 1970s, the low protein quality of collagen-based 'Liquid Protein' diet
481 products led to Federal regulatory action (Vanderveen and Mitchell, 1981). The Food and Drug Administration
482 investigated the deaths of 17 relatively young people, 13 with diets whose sole caloric intake came from a liquid
483 collagen or gelatin solution. The FDA subsequently developed regulations that modified the label requirements of
484 such diet products (US FDA, 1990).

485
486 Despite its low nutritional value, gelatin is not considered hazardous by applicable government regulations. It is
487 considered a food rather than a food additive. This covers all three forms of gelatin—fish, bovine and porcine. Also
488 see OFPA criteria 4, above.

- 489
490 4. *Its primary purpose is not as a preservative or used only to recreate/improve flavors, colors, textures, or nutritive value lost during*
491 *processing except in the latter case as required by law.*

492 The primary use of fish gelatin as described in the petition is for use as a processing aid to be used in combination
493 with bentonite to clarify the haze found in tea (Gass, 2001). Gelatin also has a significant number of additional food
494 uses based on its protein functionality in gelation, water binding, emulsification, adhesion, film formation,
495 crystallization control, thickening and stabilization, whipping and foam generation, other beverage fining, and glaze
496 formation (Hudson, 1994). Gelatin appeared to be 'among the first commercial raw materials suitable as a contact
497 preservative for meat and meat products' (Henley, 1872; Hood, 1987). Certain applications of gelatin are textural in
498 nature, such as use as an ingredient in confectionary and jelly desserts (Poppe, 1997), in yogurts and other dairy
499 products (Ledward, 2000), and as a thickener in soup (Cole, 2000). Gelatin is particularly prized over possible
500 substitutes for its texture and mouth-feel (Stainsby, 1987).

- 501
502 5. *Is Generally Recognized As Safe (GRAS) by FDA when used in accordance with Good Manufacturing Practices (GMP), and contains*
503 *no residues of heavy metals or other contaminants in excess of FDA tolerances.*

504 The FDA recognizes gelatin as "Generally Recognized as Safe" (GRAS). Because gelatin is considered a food, rather
505 than a food additive, it is GRAS by prior approval. Gelatin is listed as GRAS under 21 CFR 182.70, 'substances
506 migrating from cotton and cotton fabrics used in dry food packaging.'

507
508 The FDA references to gelatin include:

FDA References to Gelatin	
21 CFR	Title
133.178	Pasteurized Neufchâtel cheese spread with other foods.
133.179	Pasteurized process cheese spread.
172.230	Microcapsules for flavoring substances.
172.255	Polyacrylamide.
172.280	Terpene resin.
182.70	Substances migrating from cotton and cotton fabrics used in dry food packaging.
Source: EAFUS, 21 CFR.	

509 Sulfur dioxide used as a biocide is often a contaminant (Cole, 2000). Chromium and pentachlorophenol are regarded
510 as potential contaminants of food-grade gelatin (Food Chemicals Codex, 1996) due to the use of leather as a source of
511 collagen (Rose, 1990).
512

513 Food Chemicals Codex requirements for gelatin are:
514

515 Identification:

516 A. Gelatin forms a reversible gel when tested as follows: Dissolve 10 g in 100 ml of hot water in a suitable flask, and
517 cool at 2°C. for 24 h. A gel forms. Transfer the flask to a water bath heated to 60°C. Within 30 minutes, upon stirring,
518 the gel reverts to the original liquid state.

519 B. To a 1 in 100 solution of the sample, add trinitrophenol TS or a 1 in 1.5 solution of potassium dichromate
520 previously mixed with about one-fourth its volume of 3 N hydrochloric acid. A yellow precipitate forms.

521 Ash: Not more than 3.0%

522 Chromium: Not more than 10 mg/kg

523 Fluoride: Not more than 0.005%

524 Heavy metals (as Pb): Not more than 0.002%

525 Lead (as Pb): Not more than 1.5 mg/kg

526 Loss on drying: Not more than 15.0%

527 Microbial limits:

528 E coli: Negative in 25 g.

529 Salmonella: Negative in 25 g.

530 Pentachlorophenol limit: Not more than 0.3 mg/kg

531 Protein: the specification conforms to the representations of the vendor.

532 Sulfur dioxide: Not more than 0.005%.
533

534 Gelatin also has the potential to transmit pathogens. Fish gelatin from Alaska has plate-tested positive for *Clostridium*
535 *botulinum* type E, a source of botulism (Miller, 1975). The Animal and Plant Health Inspection Service (APHIS)
536 mandated a certificate of origin on all imported gelatin from non-BSE countries (9 CFR 94.18).
537

538 'Gelatin' capsules are GRAS conditional upon their other ingredients. Each of these would also need to be GRAS.

539 Microcapsules used for flavoring may contain any substance that FDA recognizes as GRAS 'for the purpose' [21CFR
540 172.230(a)(1)]. The FDA also allows the following for microcapsules:
541

Substances Considered GRAS for use in Gelatin Capsules		
21 CFR	Substance	Limitation
172.230(a)(2)	succinylated gelatin	Succinic acid content of the gelatin is 4.5 to 5.5 percent.
172.230(a)(2)	arabinogalactan	Complying with Sec. 172.610; as adjuvant.
172.230(a)(2)	silicon dioxide	Complying with Sec. 172.480; as adjuvant.
172.230(a)(3)	glutaraldehyde	As cross-linking agent for insolubilizing a coacervate of gum arabic and gelatin.
172.230(a)(3)	n-Octyl alcohol	As a defoamer.
172.230(a)(4)	petroleum wax	Complying with Sec. 172.886. Not to exceed 50 percent by combined weight of the microcapsule and spice-flavoring substance.
172.255	polyacrylamide	Not more than 0.2 percent of acrylamide monomer may be safely used as a film former in the imprinting of soft-shell gelatin capsules when the amount used is not in excess of the minimum required to produce the intended effect.
172.280	terpene resin	As a moisture barrier for gelatin capsules, at a level not to exceed 0.07% of the weight of the capsule.

542 Source: EAFUS, 21CFR.
543

544 6. Its use is compatible with the principles of organic handling

545 The petition is requesting approval for its use as a processing aid to form a gelatin-bentonite complex to bind with
546 soluble protein extracted in the tea. The gelatin does not remain in the tea but precipitates out in the form of a
547 complex and is not carried over in the final organic product. This is also true for application of gelatin in beer, juice,
548 and white wine clarification. However, for many other uses in food systems gelatin would be present as a specific
549 functional ingredient in that product formulation and would have to be listed on the label of the product. Increasing
550 numbers of consumers of organic wine request wine fined without animal products, but in general production of wine
551 to vegetarian standards is not considered a requirement (Elliot, 2000).

- 552
553 7. *There is no other way to produce a similar product without its use and it is used in the minimum quantity required to achieve the process.*
554 Gelatin has some unique functional properties that are similar, but not identical in a number of other gels. The long
555 molecular strands and partially stacked triple helices found in gelatin offer a strength and flexibility not found in, say,
556 alginate, cornstarch, or carrageenan (Walstra, 1996). These vegetable-based substitutes lack the 'melt-in-the-mouth'
557 and elastic properties of gelatin (Cole, 2000).

558
559 The petition states, "We have found a gelatin bentonite combination to work best in removing the haze causing
560 proteins found in tea, while hot processing. In addition, gelatin fining can be used in conjunction with diatomaceous
561 earth filtration which is less expensive and more versatile than membrane or ultra-filtration for the range of teas and
562 botanicals that Tazo filters. Other clarifying agents that can be used are silica gel, and tannic acid. Tannic acid requires
563 cold processing, and finding an exact-dosage is difficult. Silica fining agents require settling, and would also work best
564 in conjunction with gelatin" (Gass, 2001).

565
566 Fruit juice clarification and fining wine can be carried out with enzymes, diatomaceous earth (Shaw, 1994), rice hulls,
567 egg whites, bentonite clay, pectin, and cellulose. Pectolytic enzymes are probably the most common and reliable
568 method for apple juice clarification (USDA, 1982). Apple juice can also be physically clarified by flash heat,
569 electrokinetic adsorption, and filtration (USDA, 1982). The use of gelatin has an advantage over pectin in that it does
570 not foul the membranes used to filter juice. Tannin shares this advantage (Riedl, Girard, and Lencki, 1998).

571
572 Wine that has been fined is qualitatively different from unfined wine. Various fining agents also produce different
573 results in fining. One study indicated that the use of gelatin enabled a more accurate determination of sulfite levels in
574 white wine by the removal of interfering polyphenols (Matsumoto, et al., 1989). The minimum active gelatin dosage
575 needed to fine wine depends on both the wine's and the gelatin's parameters. Home winemakers use between 0.25
576 and 2 grams of gelatin per gallon of red wine and between 0.0825 and 0.25 grams per gallon of white wine. The
577 amount of isinglass typically ranges between 0.05 to 0.3 grams per gallon, with white wine typically receiving about
578 one gram per gallon (Eisenmann, 1999). Polyphenol content, turbidity, color intensity, and brown polymers content
579 in the wine creates a greater demand for fining agents. Ellagic acid may be a special concern with muscadine wine (Lin
580 and Vine, 1990). The gelatin's capacity to aggregate and remove the undesired properties depends on the degree of
581 hydrolysis expressed as the distribution of molecular weights and the net charge density of the gelatin (Versari, et al.,
582 1998). Most of the same substitutes can be used in clarifying juice can also be used to fine wine: bentonite clay,
583 colloidal silica, diatomaceous earth, casein, and egg whites (Eisenmann, 1999). While these do not create identical
584 finishes to gelatin and isinglass, they are able to remove the tannins, lees, and other particles and impurities that are
585 removed by gelatin and isinglass.

586
587 One study compared undenatured isinglass (crude swim bladders), thermally denatured and purified isinglass, and
588 bovine collagen treated with acetic acid and the enzyme pepsin. The undenatured isinglass was found to be more
589 effective at aggregating yeast and other insoluble particles found in beer than the denatured fish gelatin. Bovine hide
590 collagen was found the most effective treatment (Hickman et al., 2000).

591
592 Gelatin fining can be used with diatomaceous earth filtration. Additionally, fish gelatin may be more cost effective
593 especially in comparison to process ultra filtration, which would be capital intensive (Gass, 2001). Because excessive
594 amounts can lead to discoloration and—particularly in the case of fish gelatin—off-flavors, gelatin is used sparingly
595 (Tressler and Joslyn, 1954). These drawbacks have led to gelatin's replacement in many processes.

596
597 For beer, irish moss, bentonite, papain, egg whites, isinglass, silica gel, and other materials are possible clarifiers and
598 fining agents (Brewers Resource, 2001). Fungally-derived gellan gum is also described in an abstract to be a potential
599 replacement for isinglass (Dartey, 1993).

600
601 Fish gelatin can serve as a substitute for various dairy products as oil-in-water emulsifiers, with certain limitations
602 (Dickinson and Lopez, 2001). Of the emulsifiers included in the study, sodium caseinate performed the best. This
603 implies that sodium caseinate, casein hydrolyzate, and whey protein isolate may be used as substitutes for fish gelatin.
604 Consumer demand for vegetable-based substitutes has created incentives to develop alternatives to gelatin that are

605 similarly low in fat. Researchers have explored various hydrocolloids and fluid gels, including carrageenans, agars,
606 agarose, alginates, pectins, and gellans (Norton, Foster, and Brown, 1998). While pectin and sodium alginate
607 combined may have comparable rheological qualities to gelatin, the pectin used in one experiment was been
608 chemically treated by amidation with an unspecified agent (Madsen, 2000).
609

610 Cellulose can substitute for gelatin in the making of vegetarian / vegan capsules. See the cellulose TAP review.
611

612 TAP Reviewer Discussion²

613 Reviewer 1

614 [/Ph.D, Biochemistry with food industry experience. Eastern U.S./
615

616 Identification

617 . . . Strictly speaking, isinglass is not “gelatin,” although it has similar properties and similar applications. The ‘title’ of this
618 material review should be “**Gelatins and Isinglass.**”
619

620 Characterization

621 The fish gelatin manufacturing procedure is unequivocally described here as involving only food acids (acetic, lactic or
622 citric). The excellent literature sources accompanying the TAP Review speak only to “acid” and usually mineral acid
623 (sulfuric and hydrochloric) in describing production of gelatin from young animal (including fish) skins.
624

625 For isinglass, most commentators would agree that “gelatin” is the material extracted from collagen by hot water. Since
626 isinglass (a) is used directly without extraction, (b) is not extracted with hot water and (c) loses much of its functionality
627 when treated with hot water, it should be treated on its own merits (“gelatin substitute”) and not as gelatin.
628

629 The presentation to FDA by the U.S. manufacturers of porcine gelatin and the review by Hinterwaller (1977a) make it
630 clear that economic and effluent disposal issues are driving Type A porcine gelatin manufacture to simpler and less
631 environmentally impactful processes. The basics of the process – soak food grade skin in acid to swell the collagen (similar
632 to pickling cucumbers or making sauerbraten), rinse to neutralize the acid, extract the gelatin with hot water – seem to me
633 compatible with organic processing.
634

635 The alkali process is well-described. My reservation here is that the bone-to-ossein-to-gelatin process is lumped with the
636 process for chromium-tanned hides. The ossein process is somewhat more drastic than the acid process, since strong acid
637 and a lower pH are needed to dissolve the bone mineral. The alkali soak using calcium hydroxide is analogous to the
638 original way of making masa (lime water soaking of corn). Other alkalis (e.g., sodium hydroxide) would be less acceptable.
639 Chromium-tanned hides are synthetic materials, unlike animal bones and animal skins, which are agricultural products.
640

641 The enzymatic processes do not seem to be commercially important.
642

643 Gelatin CAPSULES do not belong in this section or in this document. Gelatin capsules routinely comprise other
644 ingredients. Rarely are they ‘pure’ gelatin. Capsules merely represent an ingestible product (food, supplement or drug) of
645 which gelatin is an ingredient.
646

647 Cross-linked gelatins can be chemically modified (thus synthetic) or enzyme-modified (synthetic if the enzyme is produced
648 by a GMO). They should be the subject of a separate TAP Review.
649

650 Status

651 It is significant that the USDA Grading Manual for Canned Apple Juice specifically mentions the “Gelatin-tannin
652 Method” for clarifying apple juice. The petitioned use of gelatin for tea clarification is an exemplification of the same
653 principle.
654

655 It also is significant that the BATF regulations for “Storage, Treatment and Finishing of Wine” [27 CFR 24.246] permit
656 the use of gelatin (food grade) to clarify juice or wine. The same regulations permit use of isinglass to clarify wine and
657 indicate that isinglass is GRAS per FDA advisory opinion dated 02/25/1985.
658

² OMRI's information is enclosed in square brackets in italics. Where a reviewer corrected a technical point (e.g., the word should be “intravenous” rather than “subcutaneous”), these corrections were made in this document and are not listed here in the Reviewer Comments. The rest of the TAP Reviewer's comments are edited for any identifying comments, redundant statements, and typographical errors. Text removed is identified by ellipses [...]. Statements expressed by reviewers are their own and do not reflect the opinions of any other individual or organizations.

659 It would be useful to know exactly which of the materials used in preparing bones, hides, or skins are reportable under the
660 EPCRA, in order to judge the significance of this mention.

661
662 **OFPA Criteria**

663 Criterion No. 1: The petition mentions that the filter cake, comprising gelatin, bentonite and diatomaceous earth in
664 addition to juice or tea tannins, proteins, etc., are applied to the land as 'fertilizer,' which takes advantage of the nitrogen in
665 the gelatin.

666
667 Criterion No. 2: The chromium, which is present in tanned hides, is toxic. Use of tanned hides to manufacture gelatin for
668 use in organic food processing is incongruous at the least. Note that pentachlorophenol appears to be used only in
669 inedible gelatin manufacture.

670
671 Criterion No. 3: The petition and the TAP review do not get deeply into the issue of environmental contamination related
672 to the gelatin manufacturing process. The two industry presentations to FDA in 1997 indicate that the economic costs of
673 pollution control are forcing the industry in developed countries to take various steps to reduce the environmental impact.
674 For example, solvent extraction of fat from skins, hides, and bones is being replaced by steam and physical methods.

675
676 Criterion No. 4: Gelatin is GRAS [Generally Recognized As Safe]. Commercial porcine and bovine gelatins are sterilized
677 prior to noodle creation and drying. The allergy issue is becoming a greater concern. Since there is always some carry-over
678 into the finished food, species labeling of processing aids makes sense. The BSE [Mad Cow Disease] is not an issue in
679 North America at this moment with regard to gelatin.

680
681 Criterion No. 5: The TAP Review is not complete on this criterion at this point in the TAP Review. Gelatin is used to
682 filter natural beverages and it is logical to expect that the resulting filter cake will be composted or applied directly to land
683 as a useful soil amendment (one with a substantial nitrogen content). This is a good thing.

684
685 Criterion No. 6: Although other methods for clarifying juices and other beverages exist, the use of gelatin, alone or with
686 other substances (tannin for apple juice, diatomaceous earth for tea, etc.), appears to offer advantages in certain
687 circumstances [see petitioner statement].

688
689 Criterion No. 7: Making gelatin from pigskins, cattle hides, and cattle bones is compatible with sustainable agriculture.
690 These practices go back hundreds to thousands of years, and use as starting materials animal tissues that would otherwise
691 not be used, thus lowering the cost of meat.

692
693 **NOSB Criteria**

694 NOSB Criterion 1: Gelatin is produced from a natural source – pigskins, fish skins, cattle hides, and cattle bones. Organic
695 gelatin could be produced from organically raised hogs and cattle, depending on the process. The acid process induces
696 little or no chemical change in the collagen and the collagen is converted to extractable gelatin by 'cooking' in hot water.
697 Cooking is allowed as a process in OFPA.

698
699 NOSB Criterion 2: The TAP Review does not provide sufficient detail on the industrial disposal problems resulting from
700 gelatin manufacture as it is performed in the U.S. in the Twenty-first Century. See OFPA Criterion No. 3 above.

701
702 NOSB Criterion 3: The TAP Review discussion treats gelatin as if it is only and always the sole protein in the diet. In its
703 normal use as a food ingredient (where it is about 2.5% of a dessert product) and in its normal use as a processing aid
704 (where it is removed totally from the beverage to achieve its intended clarifying effect), gelatin has a minimal impact on an
705 individual's protein nutrition. Edible gelatin is a FOOD; it is not a food additive.

706
707 NOSB Criterion 4: In beverage processing (clarification), gelatin has the effect of improving color (it removes turbidity).
708 In gelatin desserts, gelatin creates the texture. In meats, the effect of gelatin can best be described as improving texture,
709 since it binds the water of cooking. The same holds true for yogurts, soups, and other semi-liquid products.

710
711 NOSB Criterion 5: Gelatin is a food. Gelatin is GRAS. Isinglass also is GRAS.

712
713 NOSB Criterion 6: The TAP Review is not accurate in limiting beverage clarification uses of gelatin to tea and wine [see
714 "all other uses in food systems"]. Gelatin is also used to clarify beer, fruit juices, and, historically, coffee. Directing
715 attention to the criterion itself, using Type A fish or porcine gelatin (prepared by the acid method) or isinglass, all of which
716 are non-synthetic agricultural substances, to clarify beverages seems imminently compatible with the principles of organic
717 handling.

718

719 NOSB Criterion 7: Other means of clarifying juices, wine, and tannin-containing beverages (tea, coffee, beer) exist but
720 each has advantages depending on the system. Economically and functionally, it is important to use the minimum quantity
721 required to achieve the intended effect, since using more can actually create turbidity in the final product.
722

723 *Recommendation:* List as nonsynthetic, and allowed. Annotation: From animal bones and animal skins prepared with
724 agricultural products and items on the National List (7 CFR 205.605) and not chemically modified.
725

726 Reviewer 2

727 [PhD. Food science, organic and natural foods industry consultant, Western U.S.]
728

729 My determination is that gelatin is a non-agricultural substance and should be considered non-synthetic for both 95%
730 organic and Made with Organic. It should be allowed as a texturizer, coating and binder (no hard capsule applications); no
731 sulfur dioxide or hydrogen peroxide allowed in the process.
732

733 For the most part (see comments regarding questions below), I agree with the information contained in the TAP review
734 and I feel that it is generally complete.
735

736 Fish gelatin, in particular, seems to use only natural processes for extraction. The pre-treatments in acid or base for
737 beef or pork gelatin are harsh, but are primarily used to make the extraction more efficient and do not chemically
738 participate in the reaction. Gelatin capsules should be considered synthetic, unless available without chemical hardening
739 agents and not allowed. Soft gel capsules, which only use glycerol as a plasticizer, may be okay.
740

741 Gelatin used as a texturizer or coating agent is compatible with organic production. The issue of animal versus vegetable
742 products is one that is consistent with its application as a fining agent. Since animal products are certified organic, there
743 should be no reason why gelatin would not be compatible with organic processing.
744

745 There are many other fining agents that can be used, some of which are mentioned in the petition.
746

747 Vegetarian/vegan gel caps are made of hydroxypropylmethylcellulose, which although cellulose-derived, would be
748 considered synthetic. Chemically modified celluloses were not considered in the cellulose TAP review.¹ You can get more
749 information at www.vegicaps.com, made by RP Scherer or at www.capsugel.com, who make a competitive product called
750 Vcaps.
751

752 Gelatin may be used as a texturizer and coating agent. It may also be used in capsules, although I believe the process
753 renders the product synthetic and incompatible with organic.
754

755 It would be preferred that they not come from genetically engineered organisms. These products should be run through
756 OMRI's decision tree on genetic modification as a first step for the determination of whether they should be considered
757 excluded to be consistent with other processes and determinations.
758

759 The issues around nutritional quality are really only applicable where gelatin is the sole source of protein as in the liquid
760 protein diets. They could be another excluded application, I suppose. The use of gelatin at the usage levels for
761 texturization or coating is not sufficient to cause nutritional quality degradation. It technically could be possible to create
762 an organic [gelatin dessert] from organic animals, organic cane sugar, and organic flavor. It would only be a problem if
763 someone tried to live on organic [gelatin dessert] alone.
764

765 I am not sure what additional information is needed from an exhaustive review of fining agent articles. It seems to be an
766 acceptable process if the gelatin is processed correctly.
767

768 Reviewer 3

769 [Academic researcher with experience as a public health official, East Coast]

770 *I agree with the TAP Review with regard to gelatin itself, but perhaps processing criteria 2 and 5 should be referenced here, since there are issues*
771 *of environmental contamination related to substances attendant to the manufacture, use, misuse, or disposal of gelatin.*
772

773 *1. The effects of the substance on human health.*

774 There appear to be a variety of human health risks related to gelatin. I have put them in four general categories for
775 purposes of this discussion. The nature and magnitude of these risks vary depending on the species of animal from which

¹ Cellulose TAP review, 9-29-01 did discuss process for microcrystalline cellulose, a more highly modified form. TAP reviewers and NOSB did not recommend this form for approval.

776 the collagen (the raw material for gelatin) is derived, the part of the animal that is used, the end-use of the gelatin, and the
777 process by which the gelatin is extracted and treated, and many other factors. For purposes of this discussion, I have
778 limited my consideration to those factors that bear most directly on the decision at hand.
779

780 **BSE:** According to the FDA in 1997, about 55% of gelatin consumed in the US was derived from pigs, and about 45%
781 from cattle. As of May 1997, gelatin was being imported from the a number of countries, primarily Argentina, Australia,
782 Belgium, Brazil, Columbia, Germany, Mexico, New Zealand, South Africa, and Sweden (anonymous, 1997). Since that
783 time only Argentina, Australia, Brazil, and New Zealand remain as countries generally considered without risk for BSE.
784

785 In December 2000, the World Health Organization (WHO) announced that 500,000 tons of meat and bone meal
786 produced by the European Union had been exported over the last 10 years to Eastern Europe, Asia, and the US
787 (International Herald Tribune, 17 March 2001). According to a recent report from The Institute of Food Science &
788 Technology (IFST), the United Kingdom's independent professional qualifying body for food scientists and technologists,
789 WHO has stated that over 100 countries are at risk for BSE and countries throughout Europe have now reported cases of
790 BSE. According to WHO, countries can be placed in four categories of risk that live cattle could be infected with the BSE
791 agent and incubating the disease. Only 14 countries are in Category I (highly unlikely to present a BSE risk). The US, along
792 with Canada, is in Category II (unlikely but a BSE risk cannot be excluded). Category III countries are comprised mostly
793 by Eastern Europe and are likely to present a BSE risk or have a low level of confirmed risk. Category IV countries have a
794 confirmed risk at a high level such as the United Kingdom (UK) (IFST, 2001).
795

796 BSE has recently spread to Asia as well, as cases have been reported in Hong Kong and Korea (Medical Industry Today,
797 17 August 2001). In the fall of 2001, the first case in Japan was reported (IFST, 2001).
798

799 Governments are scrambling to tighten their borders and the level of prohibition of feed sources in an effort to bolster
800 public confidence. Currently, the European Commission (EC) has a total suspension in effect for member states on the
801 feeding of processed animal protein to farmed animals used for the production of food. Among the few exceptions
802 allowed to member states are fishmeal for non-ruminant feed and gelatin of non-ruminant animals for coating additives
803 (EC,2001). Japan extended their ban on ruminant meat and bone meal in cattle feed to include an extensive list of animal
804 protein products banned from use in swine and poultry feed as well as fertilizers. The list includes fishmeal except if it is
805 made at plants where no animal protein other than fishmeal is produced, and gelatin from collagen excluding that derived
806 from skin/hide and treated in a certain manner (Japan Ministry of Agriculture, Forestry and Fisheries press release, 2
807 October 2001).
808

809 In a little heralded fact, BSE has already appeared in North America as a single cow imported from Britain died of BSE in
810 Canada (Johnson and Gibbs, 1998). In addition, between 1980 and 1989, 334 animals were brought from the UK to the
811 US. The USDA traced the disposition of these animals and determined that 161 were disposed of in a manner that poses
812 no risk to humans or other animals, but it cannot make this conclusion about the other 173 animals (USDA, 2001).
813

814 Unfortunately, no amount of government action may restore consumer confidence. The BSE Inquiry conducted by the
815 UK government issued its final report in the fall of 2001 and identified a number of problems with the handling of the
816 BSE outbreak: excessive government secrecy and unjustified public reassurances; inadequate communication among
817 government departments; inadequate handling of hazard and uncertainty; lack of foresight and planning; ineffective
818 enforcement of control measures; lack of correct use of scientific advisory committees; and, inadequate coordination of
819 research (IFST, 2001).
820

821 The lack of faith in regulatory barriers is evident throughout the food industry, and not only in the UK. Major food-
822 processing and grocery store chains in Europe and the US are requesting written guarantees and "traceable evidence" from
823 beef suppliers (including those based in the US) that no meat or bone meal is used as feed. This has had the direct effect
824 of inducing commercial feed companies in England to rely increasingly on vegetable proteins, in particular organic
825 soybean meal (Preston, 2001). US cattle producers organized a private meeting with the FDA and USDA to improve
826 compliance and the American Feed Industry Association set up an independent third-party certification program after
827 FDA released a report in early 2001 revealing the occurrence of numerous violations of labeling requirements and the lack
828 of system safeguards to keep ruminant and non-ruminant by-products separated (Center for Science in the Public Interest,
829 2001).
830

831 A risk analysis conducted by Harvard for the USDA theorizes that in the unlikely event that BSE should be introduced to
832 this country, control measures already in place would ensure that few if any animals would get sick and that the disease
833 would soon die out. The authors of the study admit that this assumes the disease is spread through the feeding of infected
834 rendered animals to susceptible animals. It further acknowledges that violations of the feed ban have occurred and that

835 many unknowns, including the exact origin of the disease, remain unresolved. It cannot say that the disease will never
836 occur here (USDA 2001).

837
838 Urgent research needs remain – the exact mechanism of transmission, whether muscle meat or milk, carry infectivity at
839 too low a level to be measured or detected by existing methods, and what is the infective dose, or whether it is a single
840 dose or cumulative (IFST, 2001). A member of FDA's BSE Advisory Committee stated that perhaps the most practical
841 way to gauge the risk presented by gelatin in the US is on a scale of relative risk, where the highest risk would be from
842 bovine-bone gelatin, produced by a non-alkaline process in countries with BSE or unknown BSE status and the lowest
843 risk would be from pork skin gelatin from US produced pork (SCRIP # 2228, 2 May 1997).

844
845 Another unresolved issue is whether the risk is confined entirely to bovine by-products. Pork has been linked to increased
846 risk of CJD in at least two studies (Hansen, 1999). Another recent study in rodents indicates that the species barrier may
847 not be as protective as previously thought, permitting speculation "...that chickens, pigs, or other livestock fed BSE-
848 infected animal feed may be silent carriers of the disease" (Balter, 2000). One case of nvCJD was a strict vegetarian as of
849 1985 onward, indicating that the person was exposed before the clinical recognition of BSE in 1986, or there was occult
850 exposure from prepared/processed foods, pharmaceuticals or cosmetics (Collinge, 1999).

851
852 Unfortunately, even fishmeal is a focus of concern in Europe, at least, for cross-contamination with potentially BSE-
853 infected materials from other species of rendered animals. For carnivorous farm fish, such as salmon (a commonly used
854 fish for isinglass according to the petition), blood meal, liver meal, meat and bone meal, and poultry by-products are all
855 considered substitutes for fishmeal, although their commercial availability is unknown (Goldburg and Triplett, 1997).

856
857 **Allergy:** Fish and shellfish are among the most commonly allergenic foods. Cod is one of the most commonly allergenic
858 fish. Cod skins are a common source of fish gelatin (Taylor and Hefle, 2001). Many food ingredients are made from
859 commonly allergenic sources, including fish gelatin. The threshold to allergenic residues is unknown, although it is
860 reasonably well documented that food-allergic individuals can react to mere traces of the offending food (Taylor and
861 Hefle, 2000). While some fish apparently elicit greater reactivity in sensitive individuals, recent evidence indicates that there
862 is cross-reactivity of such individuals to gelatins from various fishes (Sakaguchi et al, 2000). The Codex Alimentarius says
863 that fish and shellfish are commonly allergenic and should be listed as ingredients no matter what amount results in the
864 final product (Taylor and Hefle, 2001).

865
866 Recent evidence indicates that there is cross-reactivity of individuals sensitive to fish gelatin to bovine gelatin, albeit at a
867 low level (Sakaguchi et al, 2000). Even though beef and pork are rarely considered to be allergenic foods (Taylor and
868 Hefle, 2001), bovine and porcine gelatin have been associated with the production of anaphylaxis in vaccinated children
869 with doses containing as little as 1 mg. of gelatin (Sakaguchi et al, 1996). The CDC regards the risk of gelatin anaphylaxis
870 seriously enough to recommend that vaccination of children with a history of anaphylaxis to products containing gelatin
871 should be pursued with extreme caution and suggests that skin-testing is available (US CDC, 2000).

872
873 In my opinion, residue tests have limits of detection and the quantum of substance exposure required for inciting
874 anaphylaxis is not known, so definitive statements concerning the presence or absence of any allergenic particles in the
875 final products can't really be justified, even if the substance is only used for processing.

876
877 **Microbial Contamination:** My review of the US Centers for Disease Control (US CDC) database did not reveal any
878 additional references to the risk of Clostridium botulinum infection from fish gelatin beyond those already mentioned in
879 the TAP review. As far as the risk of food-borne microbial contamination from gelatin overall, the risk appears to be low
880 considering the volume of use. A white paper from FDA's Center for Food Safety and Applied Nutrition (September
881 1999) included a literature review of food-borne disease caused by food handling practices from 1975-1998, indicated that
882 gelatin glazes, such as those in baked goods or aspic glazes used to preserve the shelf-life of cold foods, occasionally were
883 implicated as sources of contamination (Guzewich and Ross, 1999).

884
885 Aflatoxins are a problem for farm fish according to FDA, but whether this can result in contamination of meat from
886 animals fed on aflatoxin-infected grain does not seem to have been addressed. Occasionally, however, meat samples do
887 contain aflatoxins, but what the cause is remains the question (FDA, Food Residue Program reports, various years).

888
889 **Environmental Contaminants:** In 1991, FDA said that the harvest of farmed fish had increased four-fold from 10 years
890 ago (FDA Food Residue Monitoring Program report, 1991). In 1992, FDA said that 10% of the total seafood harvest was
891 from aquaculture. The majority species were catfish, trout, salmon, crawfish, shrimp, clams, and mussels. Twenty-five
892 percent of the aquaculture samples tested by FDA had detectable pesticide residues including DDT, dieldrin, and
893 chlordane at 25, 20 and 10 times the FDA Action Levels, respectively (FDA Food Residue Monitoring Program report,
894 1992).

895
896 Farm fish are treated with a number of products such as disinfectants, herbicides, vaccines, parasiticides, and drugs. A fish
897 commonly used for isinglass is catfish (see materials with petition), which also comprises 50% of all aquaculture in the US.
898 These are typically fed a commercially prepared pelleted feed, high in protein, and consisting of soybeans, corn, wheat, and
899 fishmeal (FDA Consumer Magazine, 1991).

900
901 **Summary:** In general, the human health risks for the 3 sources of gelatin can be summarized as follows:

902
903 Bovine – very low risk of contamination with BSE, low risk of microbial contamination, low risk of environmental
904 contaminants, and low risk of allergenicity;
905 Porcine – negligible risk of BSE, low risk of microbial contamination, low risk of environmental contaminants, and
906 low risk of allergenicity;
907 Fish – only theoretical risk of BSE, some risk of microbial contamination, low risk of environmental contaminants,
908 and some risk of allergenicity.

909
910 **Processing criteria**

911 1. *It cannot be produced from a natural source and has no organic ingredients as substitutes.*
912 Notwithstanding the possibility of organic collagen being commercially available or deriving gelatin from Kosher fish
913 skins with minimal processing, my opinion is that the products we are considering fall into the category of
914 “nonagricultural substances,” as defined in the Federal Register (65 FR 80,640 [2000]). The key words in that
915 definition that appear to characterize gelatin are “... that the identity of the agricultural product is unrecognizable in
916 the extract, isolate, or fraction.”

917
918 2. *Its manufacture, use and disposal do not have any adverse effects on the environment and are done in a manner compatible with organic
919 handling as described in section 6513 of the OFPA.*

920 In addition to the information provided in the TAP review already, there are four processes that have recently been
921 proposed for the sterilization of gelatin by the European Commission (EC) Scientific Steering Committee (SSC) on
922 TSE Risks from Gelatin Derived from Ruminants. Three of them involve various applications of chemicals such as
923 hydrochloric acid, saturated lime, and sodium hydroxide, but a fourth is autoclaving (a heat/pressure/time process).
924 (EC SSC Updated Opinion, 6-7 September 2001)

925
926 The waste materials resulting from the rendering of 50 billion lbs. of animal protein each year are voluminous
927 (USDA, transcript of press conference on release of Harvard BSE risk analysis, 2001).

928
929 3. *If the nutritional quality of the food is maintained and the material itself or its breakdown products do not have adverse effects on human
930 health as defined by applicable Federal regulations.*

931 I don't have much to add to the TAP Review for this question, except that gelatin's role in food processing does not
932 appear to be nutritive. My comments on the adverse health effects can be found under OFPA criteria 4 above.

933
934 4. *Its primary purpose is not as a preservative or used only to recreate/improve flavors, colors, textures, or nutritive value lost during
935 processing except in the latter case as required by law.*

936 My reading indicates that gelatin's function in food processing can be characterized generally as preservative, textural,
937 and esthetic enhancement.

938
939 5. *Is Generally Recognized As Safe (GRAS) by FDA when used in accordance with Good Manufacturing Practices (GMP), and contains
940 no residues of heavy metals or other contaminants in excess of FDA tolerances.*

941 The FDA's advisory committee seems to leave the continued status of gelatin as uniformly GRAS in doubt. As of the
942 spring of 1998, FDA was leaning towards no longer considering gelatin GRAS if its derivation came from BSE
943 countries (SCRIP #2328, 22 April 1998). The 1997 FDA Guidance document states that the majority opinion of the
944 advisory committee is that gelatin should no longer continue to be exempted from restrictions placed on other bovine
945 materials from BSE countries (FDA Guidance 1997). It is still listed as GRAS as of the April 2001 publication of the
946 CFR under the same category as noted in the TAP Review (21 CFR 182.70).

947
948 On the question of whether sulfur dioxide is added as a biocide added to gelatin, I only came across a reference that it
949 protects beer against bacterial spoilage in addition to slowing down the rate at which staleness and haze develop. A
950 new area of research is to induce yeast to produce natural sulfite during the fermentation process (Simpson, paper
951 presented at Institute of Brewing Africa Section workshop, 1999).

952
953 6. *Its use is compatible with the principles of organic handling*

954 The use of gelatin may be counter to principles of organic handling because of the potential for human health risks
955 and consumer deception (albeit unintentional). All sources of gelatin carry some health risks, with fish gelatin
956 probably being the highest in terms of incidence and bovine being the most grave and fear-inducing in terms of
957 consequence. The potential for consumer deception arises because some consumers (e.g., vegetarians and
958 Halal/Kosher adherents) who have an aversion to products formulated with meat or fish (possibly containing traces
959 of these substances), will be ingesting such products unknowingly.

960
961 As food ingredients, gelatin's uses do not appear to be essential enough to outweigh its incompatibility with organic
962 principles due to the human health risks. As clarifying agents for beverages, there is an element of consumer
963 misinformation involved in their use and some unquantifiable public health risk, in which the incidence is low, but the
964 consequences are severe. In addition, there is the concept that what gelatins actually do for beverages is act as
965 preservatives or appearance enhancers, and hence constitute an unnecessary production input incompatible with
966 sustainable agriculture and organic principles, given that gelatin is not an organic ingredient and carries other possible
967 risks. As coatings for animal products, there is some risk of BSE transmission, and alternatives appear to exist or be
968 commercially feasible.

969
970 In addition, several commentators have indicated that animal-derived gelatin is increasingly viewed with disfavor by
971 various sectors of the food industry. More and more brewers will abandon the use of animal finings in the future
972 because risks outweigh benefits (Simpson, Institute of Brewing workshop, 1999). BSE concerns have led
973 manufacturers to replace bovine gelatin with other hydrocolloids (TAP Review). The drawbacks of fish gelatin relative
974 to off-flavors and discoloration have led to gelatin's replacement in many processes (TAP Review). Gelatin remains
975 unpopular in fruit juice clarification because it creates a haze (TAP Review).

976
977 7. *There is no other way to produce a similar product without its use and it is used in the minimum quantity required to achieve the process.*
978 There are at least three companies pursuing production of genetically engineered gelatin (two in California and one in
979 the Netherlands) (Biocentury Report, 22 January 2001). Fibrogen was expected to begin large-scale commercial
980 production using yeast and genetically altered tobacco plants as of the second half of 2000 (Food Industry News
981 website, 21 December 2001). However, from the brief descriptions that I have seen of these processes in the sources
982 cited as terms such as "transgenic" and "recombinantly" were used, thus apparently bringing them within the purview
983 of "excluded methods" according to my reading of the regulation (65 FR 80, 639 [2000]).

984
985 Coatings - Most gelatin used in vaccines is derived from pigskin, while tablets and capsules use a mixture of bone and
986 pigskin because a capsule made solely from pigskin gelatin would become brittle. As coatings for animal supplements
987 and medications, gelatin may play a role that may be more difficult to duplicate with materials compatible with
988 organic principles. However, some substitutes such as cellulose coatings are mentioned in the TAP Review.
989 Furthermore, non-capsule formulations, e.g. powders, may be feasible alternatives.

990
991 Food uses - Gelatin's "useful" properties appear to be mainly preservative, esthetic, or textural, which can be replaced
992 in general by mechanical processing or biologically inert substances, possibly of organic origin.

993
994 Wine Clarifying Agent - The COABC recommends natural settling and racking but "tolerates": isinglass, non-
995 hydrolyzed bone gelatin, bentonite, kaolin, pure casein, diatomaceous earth, fresh egg whites, cellulose plate filters,
996 centrifugation, sterile filtration with membrane filters, and cross-flow filtration.

997
998 Beer Clarifying Agent - As beer ages it develops haze. Older haze control agents such as papain and tannins are being
999 replaced by ones allowing haze control for up to 18 months. This is not necessarily a good thing as it permits
1000 marketers to label their products with longer shelf life than it really deserves because the appearance of freshness is
1001 maintained. Other drawbacks specific to gelatin are that some consumers who are vegetarians or otherwise concerned
1002 about the use of animal products may be averse to these food-processing agents, despite dubious protestations that
1003 they do not survive into the final product - an assertion that can be evaluated by a hydroxyproline test (Simpson,
1004 Institute of Brewing workshop, 1999).

1005
1006 Other Beverages - Alternatives are discussed in both the TAP Review and the information submitted with the
1007 petition.

1008 **Recommendation for NOP Listing:**

1009 My recommendation is that gelatin should be prohibited as a Processing Production Aid. If prohibition is not feasible
1010 because there are no better alternatives for certain uses, then the allowed status listing should have an annotation limiting
1011 it to certain uses and requiring precautionary labeling that informs customers that a specific type of gelatin was used in
1012 processing.
1013

1014
1015 [end of TAP Reviewer comments]

1016
1017 **TAP Conclusion:**

1018 Gelatin can be made from a variety of different sources by a number of different processes. Some gelatin sources are
1019 agricultural and some are non-agricultural. The petitioned source appears to be non-agricultural. Some of the processes
1020 result in synthetic reactions and some are more like cooking in ways that the NOSB has not considered to be synthetic
1021 under OFPA. The process used to prepare the petitioned material appears to be nonsynthetic. Isinglass from wild-caught
1022 fish also appears to be non-agricultural and nonsynthetic.

1023
1024 Two TAP Reviewers wanted to allow gelatin with limitations; one thought that gelatin should be prohibited for use in
1025 organic handling and processing. The two reviewers who advised that it be recommended for inclusion on the National
1026 List both wanted to allow only gelatin produced by certain manufacturing processes. The review appears to support the
1027 inclusion of isinglass and fish gelatin from fish processed with food acids and substances on the National List under
1028 205.605(a) as nonsynthetic and non-agricultural; Type A (porcine) gelatin would be considered agricultural and non-
1029 synthetic if it were processed only with items on the National List. Therefore, porcine gelatin could be listed as
1030 commercially unavailable under 7 CFR 205.606. The NOSB might want to consider further restrictions on bovine sources
1031 of gelatin, to restrict to sources not derived from hides tanned with chromium or treated with other synthetic substances
1032 such as pentachlorophenol; or further modified and cross linked. If the NOSB decides to permit use of some types of
1033 gelatin based on production method— such as non-chemically modified or cross-linked, not derived from chromium
1034 tanned hides—processors and certifiers will need to verify that the source meets the standard.

1035
1036 (Although the TAP review does not specifically address livestock applications, gelatin is used as a carrier for vitamin
1037 formulations, similar to use in human food supplements. The NOSB may want to consider whether gelatin would be
1038 considered a slaughter by-product, based on the information provided about manufacturing sources.)

1039
1040
1041 **References**

1042 *Note: * = included in packet*

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