

Ozone

Crops

Executive Summary

Ozone was petitioned for use as a gas that is injected into soil under plastic mulch for weed control. An additional request was made for use as an antimicrobial agent to clean irrigation lines. Ozone may also be used to treat soil for soil borne pathogens, and this was also considered in this review. In all these types of use ozone gas (O₃) is generated on-site using an electrically powered corona discharge ozone generator.

Ozone is a bluish explosive gas or blue liquid. It is found naturally in the atmosphere at sea level contains an ozone concentration at very low levels, but is also an air pollutant and a component of smog, reaching tenfold or higher levels in cities at times. Although it is a pollutant and health hazard in the lower atmosphere, naturally occurring ozone is produced in the outer atmosphere by the photoreaction of solar ultraviolet (UV) radiation on oxygen protecting the earth from excessive radiation.

Ozone decomposes spontaneously in water and is a very reactive oxidizing agent with a short half-life. It is used to disinfect water and to oxidize color and taste contaminants in water. It is also increasingly used for disinfection purposes of food and food contact surfaces and is permitted by the National Organic Standards for use in organic processing (including post harvest handling) with no restrictions.

Two reviewers felt that ozone should be permitted for use in organic crop production, though limited to use for cleaning irrigation lines, weed control and for soilborne pathogen control. One of the reviewers in favor of use found that this type of usage is a relatively new technique with unreliable results for pathogen control, and noted some reservations regarding possible surface crusting and loss of soil structure when used for weed control. One reviewer objected strongly to use of a "a known and problematic air pollutant" in organic farming and described hazards to workers and those downwind of application, negative impact on soil humic acid fraction, plant damage, and lack of evidence of effect on soil microorganisms. This reviewer did not object to use to treat irrigation water when ozone can be recaptured to prevent off-gassing into the environment.

Summary of TAP Reviewer's Analyses¹

Synthetic/ Nonsynthetic	Allow without restrictions?	Allow only with restrictions?
Synthetic (3-0)	No (3) Yes (0)	Yes (2) No (1)

Identification

Chemical Names: Ozone, triatomic oxygen, O₃ **CAS Number:** 100028-15-6

Other Name: Trioxygen **Other Codes:**

Trade Names: SoilZone, Triox NIOSH RTECS #RS8225000

Characterization

Composition:

Ozone (O₃) is triatomic oxygen.

¹ This Technical Advisory Panel (TAP) review is based on the information available as of the date of this review. This review addresses the requirements of the Organic Foods Production Act to the best of the investigator's ability, and has been reviewed by experts on the TAP. The substance is evaluated against the criteria found in section 2119(m) of the OFPA [7 USC 6517(m)]. The information and advice presented to the NOSB is based on the technical evaluation against that criteria, and does not incorporate commercial availability, socio-economic impact, or other factors that the NOSB and the USDA may want to consider in making decisions.

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Properties:

Ozone is a bluish, explosive gas or blue liquid. It has a characteristic pungent odor that is detectable at concentrations as low as 0.02 to 0.05 ppm. At greater concentrations it is irritating to eyes and the respiratory tract and at high concentrations ozone may be fatal. It is a strong oxidizing agent, mp -193° C, bp -111.9° C. It is sparingly soluble in water. At 20° C, solubility of 100 percent ozone is 570mg/L (Richardson, 1994).

Atmosphere at sea level contains an ozone concentration of about 0.05 ppm (Budavari, 1996). In cities with smog conditions ozone concentration may reach 0.5 ppm or higher at times. (Francis, 1997) Ozone decomposes spontaneously in water (US EPA, 1999). The reaction generates hydroxyl free radicals, which are very reactive oxidizing agents but have a half-life of microseconds. In aqueous solution, ozone can react by direct oxidation of compounds or can oxidize compounds by hydroxyl free radicals that are produced during ozone decomposition.

How Made:

Ozone is usually formed by combining an oxygen molecule with an oxygen atom in an endothermic reaction. Naturally occurring ozone is produced in the outer atmosphere by the photoreaction of solar ultraviolet (UV) radiation on oxygen. At ground level, ozone may be produced by reactions caused by changes in entropy, e.g. water falling on rocks in a waterfall. Ozone is also produced by photoreactions with nitrogen oxides (NO_x) and volatile organic compounds (VOC) from industrial emissions, vehicles and other sources (US EPA, 1999).

Because ozone is unstable it is generated at the point of use. It can be generated by irradiating oxygen-containing gas with UV light and other technologies but the primary industrial method is by the corona discharge method. The oxygen containing gas is passed through two electrodes separated by a dielectric and a discharge gap. When voltage is applied to the electrodes, electrons flow across the gap and provide energy for the disassociation of oxygen molecules, which leads to the formation of ozone (US EPA, 1999).

There are generally four system components to an ozone generating process: a power source or ozone generator, a gas source, an ozone delivery system and an off-gas destruction system. The gas source may be air, high purity oxygen or a combination of the two (US EPA, 1999). Air feed systems are more complicated than liquid oxygen feed systems because the air must be clean, dry, free of contaminants and with a maximum dew point of -60° C to prevent damage to the generator.

Specific Uses:

Ozone has been used in Europe to treat drinking water for more than 100 years (US EPA, 1999). Ozone in the United States has been used to disinfect water and to oxidize color and taste contaminants in water. It is increasingly used for disinfection purposes.

The petitioned use is for the use of ozone for weed control (Pryor 2001) with an additional request for use as an antimicrobial agent to clean irrigation lines as an alternative to chlorine (Herman 2002). In addition, the use of ozone for control of soil borne pathogens will be considered in this review. In all these types of use ozone would be generated on site.

Ozone gas for weed control is used in combination with plastic mulch and is applied in a gaseous form. The target treatment area is the space between the plastic mulch and either the drip irrigation tubing if it is buried or the soil surface if drip tubing is not buried. Ozone is applied under the mulch before the crop is planted. It has also been applied once the crop is in place (Pryor, 1999; Pryor, 2001). It may be applied through drip tape, which can later be used for crop irrigation. Ozone oxidizes plant tissue and weakens or kills emerging weeds. Ozone treatment for weed control may be used in combination with soil solarization. As described in the petition, ozone for weed control may be applied at rates of 2 lbs/acre with a total number of applications ranging from 7-30 depending on weed species.

Ozone uses for control of soil borne pathogens has been tested at rates ranging from 50-400 lbs per acre (Pryor, 1999). It can be applied through drip tubing under plastic mulch or by various methods of direct injection (Pryor 1996, 1997).

Ozone can be used to treat or prevent clogged drip irrigation systems by at least two methods. Recycled irrigation water can be treated with ozone before reuse. (NIDO, 1997) A requested additional use is to inject ozone into the irrigation lines to act as an antimicrobial agent (Herman 2002). This seems to be a fairly new use with little information to describe the method. One industry writer reports that the gas is generated on site in a closed system and dissolved in water under pressure, and that undissolved gas is collected and disposed of by means of a special separator to avoid accumulation of gas bubbles in the system (Hassan, undated).

Action:

Ozone is a strong oxidizing agent and very corrosive. In plants, it can cause membrane lysis and necrotic lesions. It may affect photosynthesis and generally represses various genes (Sandermann, 1996). It is germicidal against a wide range of organisms including bacteria, viruses and protozoa. In bacteria, it attacks the bacterial membrane, disrupts enzymes and affects nucleic acids (EPA, 1999). In viruses, ozone modifies the viral capsid and may break the protein.

Combinations:

Not sold in combinations.

Status**Historic Use:**

Historically ozone has been used to disinfect and oxidize pathogens and contaminants from drinking water. It was first used in the Netherlands in 1893. Ozone was used in Los Angeles, California in 1987 to treat drinking water and by 1998, 264 water treatment plants in the U.S. were using ozone (US EPA, 1999). Since the implementation of the Surface Water Treatment Rule the use of ozone for primary disinfection of water has increased (EPA, 1999). Use as a soil treatment to kill living organisms is a relatively recent invention (Pryor, 1996).

OFPA, USDA Final Rule:

Ozone is listed for use in post-harvest handling and processing (7 CFR 205.605(b)(20). It could be considered a production aid under 7 USC 6518(c)(1)(B)(i).

Regulatory: EPA/NIEHS/Other Sources

The EPA sets standards for ozone levels under the National Ambient Air Quality Standards as required by the Federal Clean Air Act. EPA considers ozone producing equipment to be 'pesticidal devices.' Ozone generation is subject to pesticide worker safety requirements (40 CFR 170).

Ozone is subject to the National Primary Drinking Water Regulations under the Safe Drinking Water Act because it is used as a disinfectant in water treatment to kill pathogens. (40CFR 141.65)

FDA considers ozone to be GRAS as a direct food additive and allows the use of ozone as an antimicrobial agent for bottled water and food processing (21 CFR 184.1563). Bottled water maximum residual permitted ozone level is 0.4 mg/l at bottling.

OSHA: 29 CFR 1910.1000 Subpart Z

 Transitional Limit: PEL-TWA 0.1 ppm

 Final Limit: PEL-TWA 0.1 ppm; STEL 0.3 ppm

ACGIH: TLV-Ceiling Limit 0.1 ppm

NIOSH Criteria Document: None

NFPA Hazard Rating: Health (H): None

 Flammability (F): None

 Reactivity (R): None

Status Among U.S. Certifiers

California Certified Organic Farmers (CCOF) –CCOF Certification Handbook (rev. January 2000). Not specifically listed.

Maine Organic Farmers and Gardeners Association (MOFGA) –MOFGA Organic Certification Standards 2001. Not specifically listed.

Midwest Organic Services Association (MOSA) –MOSA Standards January 2001. Not specifically listed.

Northeast Organic Farming Association of New Jersey (NOFA-NJ) –NOFA-NJ 2000 Organic Certification Standards. Not specifically listed.

Northeast Organic Farming Association of Vermont (NOFA-VT) – 2001 VOF Standards. Not specifically listed.

Oregon Tilth Certified Organic (OTCO) –OTCO Generic Materials List (April 30, 1999). Not specifically listed.

Organic Crop Improvement Association International (OCIA) –OCIA International Certification Standards, July 2001. Not specifically listed.

Quality Assurance International (QAI) – QAI Program, Section 5.2 Acceptable and Prohibited Materials. Not specifically listed.

168 Texas Department of Agriculture (TDA) Organic Certification Program – TDA Organic Certification Program Materials List. Not
169 specifically listed.

170 Washington State Department of Agriculture Organic Food Program – Chapter 16-154 WAC Organic Crop Production Standards.
171 Not specifically listed.

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173 **International**

174 CODEX – Not specifically listed.

175 EU 2092/91 – Not specifically listed.

176 IFOAM – Not specifically listed.

177 Canada – Not specifically listed.

178 Japan – Not specifically listed.

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180 **Section 2119 OFPA U.S.C. 6518(m)(1-7) Criteria**

181

182 1. *The potential of the substance for detrimental chemical interactions with other materials used in organic farming systems.*

183 As a strong oxidizing agent, ozone has the potential to react with many different substances. Ozone oxidizes
184 pesticides, organic matter, and reacts with iron and most other materials. Ozonation of water produces various by-
185 products such as aldehydes, ketones, carboxylic acids, organic peroxides, epoxides, nitrosamines, N-oxy compounds,
186 quinones, hydroxylated aromatic compounds, brominated organics and bromite ion. (Kirk-Othmer, 1996)

187

188 When ozone is used for weed control, it is applied directly to the space between the buried drip irrigation tubing or
189 the soil and the plastic mulch. It is not clear how much ozone diffuses into the soil in this system but Qui, et al.
190 (2001) found that the ozone mass transfer rate was influenced by soil moisture and texture. An early study found that
191 ozone applied as gas at 0.5 ppm did not penetrate the soil to a statistically significant extent (Blum and Tingey, 1977).
192 More recent work examined the effect of ozone on soil organic matter when ozone is used to decontaminate soil .
193 In a system where a soil extract was ozonated, researchers found a decrease in the humic acid fraction, a reduction of the
194 average molecular size, and an increase in the low molecular acid fraction. The low molecular acid fraction is readily
195 degradable by microorganisms (Ohlenbusch et al., 1998).

196

197 In lab studies ozone caused reduction in respiration rates of ectomycorrhizal fungal mats. However when these fungi
198 were associated with their host plant roots the ectomycorrhizal roots were more resistant to ozone than non-
199 ectomycorrhizal roots (Garret et al., 1982). In laboratory studies soil nematode populations of Meloidogyne javanica
200 and free living nematodes were significantly reduced by ozone treatment and were dosage and flow rate dependent
201 (Qui et al., 2001). In other research, ozone treatment of Easter lily bulbs did not reduce nematode numbers (Giraud
202 et al., 2001) although it did give a positive yield response. In field experiments with tomatoes, Pryor (2001b) found
203 that ozone treatments did not significantly reduce nematode populations, but may have led to increased yields in
204 some cases.

205

206 Ozone is used for water treatment because it oxidizes or disinfects many components that impact water quality. It
207 will oxidize iron and manganese which precipitate as ferric and manganese hydroxides. This could result in crop iron
208 deficiencies (von Broembsen, 2002.). It partially oxidizes organic matter to forms that are more easily biodegradable.
209 Ozone is also germicidal against many types of pathogenic organisms including viruses, bacteria and protozoa (US
210 EPA, 1999). Ozone itself does not remain as a residual in irrigation water because of its rapid decomposition. It does
211 form a variety of byproducts in reaction with organic matter. It can also react with the bromide ion if present to form
212 brominated disinfection byproducts (US EPA, 1999). The ozone will most likely oxidize any materials that a grower
213 injects into the irrigation lines at the same time as the ozone. For example, if growers inject fertilizer such as fish
214 emulsion or other material into the irrigation system, ozone will oxidize the material. The extent would depend on the
215 concentration of the added material, the concentration of the ozone and the contact time.

216

217 2. *The toxicity and mode of action of the substance and of its breakdown products or any contaminants, and their persistence and areas of*
218 *concentration in the environment.*

219 Ozone is a strong oxidant and is inherently bioreactive. Given its reactivity and relative concentration, it is the oxidant
220 of primary concern in photochemical smog (Klaasen, 2001).

221

222 Ozone is rated as a high irritant via inhalation and to skin, eyes and mucous membranes. It also affects the central
223 nervous system and there are mutation data and reproductive concerns. (NTP 2002, NJ 1996) Higher exposure can
224 cause headache, upset stomach, vomiting, and pain or tightness in the chest. Ozone can irritate the lungs causing
225 coughing and/or shortness of breath. Higher exposures can cause a build-up of fluid in the lungs (pulmonary edema),
226 with severe shortness of breath. Liquefied ozone on contact with skin or eyes can produce severe burns. There is

227 limited evidence that ozone causes cancer in animals. It may cause cancer of the lung, mutations (genetic changes) and
 228 may damage the developing fetus. (NJ 1996, Richardson 1994)
 229
 230

NTP Toxicity				
Type of dose	mode	specie	amount	units
LC50	ihl	cat	34,500	ppb/3H
LC50	ihl	gpg	24,800	ppb/3H
LC50	ihl	ham	10,500	ppb/4H
LCLo	ihl	hmn	50,000	ppb/0.5 H
TCLo	ihl	hmn	100,000	ppb/0.016 H
TCLo	ihl	hmn	1,000	ppb

231 *Source: NTP, 2001*

232 Abbreviations

233 LC50 = lethal concentration 50 percent kill

234 LCL = lowest published lethal concentration

235 TCL = lowest published toxic concentration

236 H = hour

237 ihl = inhalation hmn = human gpg = guinea pig ham = hamster

238 Eco Toxicity (Richardson 1994):

239 Fish – LC 50 (96 hr) rainbow trout 9.3microg/l,

240 LC 50 (24 hr) bluegill sunfish 0.06 mg/l

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 242 Invertebrate – Bacteria species showed change in phospholipid levels after 30 sec. aeration with 1mg/l. *Euglena gracilis*
 243 had damaged plasma membranes. Enzyme deactivation in yeasts was found.
 244

245 In plants, it can cause membrane lysis and necrotic lesions. It may affect photosynthesis and generally represses
 246 various genes (Sandermann, 1996). It is germicidal against a wide range of organisms including bacteria, viruses and
 247 protozoa. In bacteria, it attacks the bacterial membrane, disrupts enzymes and affects nucleic acids (US EPA, 1999).
 248 In viruses, ozone modifies the viral capsid and may break the protein.
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250 When ozone is applied beneath plastic mulch for weed control its mode of action is in part by direct oxidation. It is
 251 taken up by the plant stomata where it is decomposed in the apoplast. Ozone effects chloroplast function and
 252 nuclear gene expression by mechanisms that are not understood at this time. Membrane lysis is thought to be a later
 253 effect of ozone (Sandermann, 1996). The ozone would also be in contact with soil. The amount of soil affected
 254 depends in part on the depth of the placement of the drip irrigation lines. Ozone oxidizes the soil humic acid fraction
 255 of organic matter (Ohlenbusch et al., 1998).
 256

257 When ozone is applied under plastic the area of concentration is the zone between the drip irrigation tubing or soil
 258 surface and plastic mulch. When ozone is in contact with organic materials such as plants, its half-life is a few
 259 minutes. Potential concern would be for worker safety during the application of the ozone and any leaks in the
 260 system. The half-life of ozone in ambient air is 12 hours (Pryor 2001). Ozone's only decomposition product is
 261 oxygen.
 262

263 In water there are two modes of action by ozone, direct oxidation and oxidation by hydroxyl free radicals. It oxidizes
 264 organic matter, attacks bacterial membranes, disrupts enzymatic activity, disassociates viral capsids and attacks RNA.
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266 In water ozone decomposes rapidly and the only residual is dissolved oxygen. However decomposition by products
 267 may be present. If the bromide ion is present in water brominated decomposition products may remain. Formation
 268 of aldehydes has also been found as a result of ozone disinfection (Liberti and Notarnicola, 1999) Some of the
 269 disinfectant by products are potentially toxic or carcinogenic, however bioassay screening studies have shown that
 270 ozonated water induces substantially less mutagenicity than chlorinated water. (Kirk Othmer, 1996) Ozone does not
 271 form halogenated by products (trihalomethanes) when reacting with natural organic matter in water, unless bromide
 272 ion is present in the raw water. (US EPA 1999)
 273

274 Disinfection and chemical oxidation rates by ozone are relatively independent of temperature (EPA, 1999). If
 275 recirculated irrigation water is treated with ozone, the excess ozone must be scrubbed to prevent release to the
 276 atmosphere and to protect workers from ozone exposure.
 277

278 3. *The probability of environmental contamination during manufacture, use, misuse, or disposal of the substance.*
279 Ozone at ground level is considered a priority air pollutant by US EPA. Ozone would be generated on site both for
280 use in soil treatment and as an antimicrobial agent in irrigation systems. Ozone is not stored on site. Because ozone
281 is toxic care must be taken to avoid leaking of ozone from the system during generation. Levels of 1ppm for 30
282 minutes or more produce headaches. OSHA's maximum permissible exposure level (PEL) to ozone is not to exceed
283 0.1 mg/L by volume averaged over an 8 hour period.

284
285 During water treatment ozone gas is transferred to water. In treating recycled irrigation water, ozone that is not
286 transferred to the water is released as off gas. The concentration of ozone in the off gas of these systems is above the
287 concentration fatal to humans and may contain as much as 3,000 ppm ozone (US EPA, 1999). Off gas containing
288 ozone should be captured and converted to oxygen before release into the atmosphere. Ozone systems that inject
289 directly into the irrigation lines use much lower concentrations of ozone and do not treat off gas.

290
291 4. *The effects of the substance on human health.*

292 Ground level ozone may reach levels that are harmful to human health. Most of the studies regarding ozone as a
293 threat to human health are related to ozone as an air pollutant generated by automobile exhaust and other fossil fuel
294 generated sources (US EPA, 1999).

295
296 Acute Toxicity. High concentrations above 0.1 mg/L by volume average over an 8 hour period may cause nausea,
297 chest pain, reduced visual acuity and pulmonary edema. Inhalation of > 20 ppm for at least an hour may be fatal.

298
299 Chronic effects. May have deleterious effects on the lungs and cause respiratory disease. See response to criterion
300 number 1.

301
302 5. *The effects of the substance on biological and chemical interactions in the agroecosystem, including the physiological effects of the substance on*
303 *soil organisms (including the salt index and solubility of the soil), crops and livestock.*

304 The effects are mainly the immediate result of ozone's strong oxidizing capacity. Ozone is a broad-spectrum biocide
305 that can oxidize soil organic matter and other substances in soil (Ohlenbusch et al., 1998). Ozone does not persist in
306 soil with either the weed control or water treatment system application. It is converted to oxygen within a short
307 period of time. The issue is what, if any, are the remaining impacts of ozone use.

308
309 When ozone is used for weed control, the ozone is in contact with the soil, soil organic matter and microorganisms.
310 It has been shown in the laboratory that ozone can oxidize the soil humic acid fraction into lower molecular weight
311 fractions which are more biologically available to soil microorganisms (Olenbusch, 1998). This research found that
312 bacterial regrowth increased with ozonation time. The effects on the populations of other soil microorganisms were
313 not examined in this research.

314
315 Other research has shown that ozone does reduce populations of at least some other soil microorganisms such as
316 some nematodes while other nematodes appear unchanged (Qui et al., 2001 and Giraud et al., 2001). Soil injection at
317 250 lb/acre rate resulted in increases of yield of tomatoes comparable to chemical fumigants in one year, although it
318 did not statistically reduce root galling by nematodes. (Pryor 1999). Yield increases were theorized to have resulted
319 from other biological effects, possibly increase in nutrient availability. Conventional farmers use soil fumigation with
320 methyl bromide to achieve large increases in yield in crops such as carrots, tomatoes and strawberries although the
321 increases are not linked to specific elimination of known pathogens. A study of the populations of the different
322 strains of the fungi *Fusarium* in organic (treatments used cultural methods) and non-organic farming systems
323 (treatments used the fumigant *Telone*) found that the greatest number of pathogenic strains were recovered from the
324 organic farm, however no plants at the organic site showed any symptoms while plants on the conventional site did
325 show symptoms. In addition, the organic site was found to exhibit more than twice the number of non-pathogenic
326 strains of *Fusarium* which have been shown to reduce the incidence of *Fusarium* wilt (Bao, 2000).

327
328 The availability and form of soil organic matter affects a broad spectrum of soil chemical and microbiological
329 reactions. Soil organic matter influences cation exchange capacity, soil buffering, soil microorganism population
330 dynamics, and plant disease among other aspects of the soil environment (Brady, 1974, Engelhard, 1989).

331
332 If the crop is present when ozone is applied there can be physiological impacts such as burning on the crop (Pryor, 1999). It
333 appears that when plants are exposed to ozone it elicits plant responses that are similar to plant responses to pathogens.
334 These responses to ozone are just beginning to be understood (Sandermann, 1996). Ozone is a known air pollutant that
335 causes crop damage (Mersie 1990, Hatzios 1983), and in event of a leak in application method can cause crop loss (Pryor
336 1999).

337

Ozone that is used to treat water before it is injected into the irrigation lines does not come in contact with the soil or crop plants. The ozone off gas is recycled or converted to oxygen so that it is not released to the atmosphere. The reaction of ozone with the bromide ion or organic matter that may be in the water can create decomposition by products. No information was found on the potential impact of these on the soil environment when irrigation water is used. The decomposition byproducts of ozone treatment appear to be of less concern than the decomposition byproducts of chlorine treatment although brominated decomposition byproducts may be of health concern (von Broembsen. 2002, EPA, 1999, Braghetta 1997).

When ozone is injected with water into irrigation lines to clean them, there is the potential that some ozone will move from the irrigation lines to the soil or air. No information has been found that examined this question. In actual practice the grower must monitor the system to determine that enough ozone has been injected to reach throughout the irrigation line before it has been completely consumed by oxidation reactions.

6. *The alternatives to using the substance in terms of practices or other available materials.*

There are various weed control methods available to organic growers and in general growers need to use a variety of techniques to achieve effective weed control. Some of the methods include: flame throwers, mulch, cultivation, water management, bioherbicides, steam treatment and soil solarization (Smith et al., 2000 and Boyette et al., 1999).

Soil solarization is a technique that could be used alone or in conjunction with ozone or other material like cabbage residue (Chellemi et al., 1997). It can be used both for weed and pathogen control. New heat-retentive films are more effective at raising soil temperatures during solarization (Chase et al., 1999). *Cyperus* spp. (nutsedge) are particularly difficult weeds to control. Recent research showed that soil temperature of 45° C was not lethal to *Cyperus* spp. tubers (Chase, Sinclair and Locascio, 1999). Temperatures of 50 - 55° C were 100% lethal to tubers. The new heat retentive films were more effective at killing *Cyperus rotundus*.

Alternatives for control of soil borne pathogens include crop rotation, solarization, use of disease suppressive compost, other organic nitrogen amendments, biocontrol, and IPM methods. A recent compendium of a 2000 EPA meeting report lists 117 papers on alternatives to methyl bromide, including many tests of biocontrols and cultural methods (US EPA 1997, 2000; Bull 2000). One-year rotations out of strawberries increased subsequent strawberry yields by 18-44% relative to continuous strawberries (Duniway 2000). Varieties more suited for organic production are also identifiable, for instance the 'Camarosa' variety is significantly more susceptible to *Verticillium* than 'Chandler' or 'Selva' (Duniway, 2000.) Existing organic production techniques are considered to adequately control soil borne pathogens, and result in slightly lower yields that are offset by higher prices (US EPA 1996). Use of strawberry plant plugs rather than bare root resulted in earlier production, less transplant wounding, increased vigor and offset problems from soil born pathogens (Sances, 2000.)

Current potential alternatives to the use of ozone as an antimicrobial in irrigation systems include chlorine, acetic acid, and citric acid (OMRI, 2001). Ozone is a stronger oxidizing agent than all of these. Ozone by itself and in water does not form trihalomethanes, which are carcinogenic (US EPA 1999) Chlorine treatment forms trihalomethanes.

If a grower wishes to removed pathogens and particulate from their water source, slow sand filtration would be an alternative (Wohanka, 1995). Slow sand filtration is a water treatment system that has been used for more than 100 years. Untreated water filters slowly through a fine sand bed. A skin of organic and inorganic material and microorganisms begins to form on the surface of the sand bed. The biological activity of this area extends through the upper region of the bed. This method has been effective against several pathogens including *Cylindrocladium* spp., pythiaceaeous fungi, *Verticillium dahliae* and others (Wohanka, 1995).

There are certain situations where slow sand filtration would not be an alternative to ozone use. If a grower's irrigation lines are already clogged, sand filtration is not going to correct the situation. If a grower were applying a fertilizer such as compost tea or fish emulsion through the irrigation lines, the sand filtration process would not clean the irrigation lines or keep them from clogging due to biofouling. This is because the fertilizer would need to be injected after the sand filtration step. Otherwise the sand filtration would remove the desired nutrient content. The effectiveness of ozone injected into a drip irrigation system to prevent clogged emitters is not documented, and is questionable due to the rapid decomposition of ozone in the aqueous environment into oxygen. No supporting technical literature was found to substantiate this claim, it appears to be an experimental treatment.

7. *Its compatibility with a system of sustainable agriculture.*

To answer this question each use should be considered separately since the target organisms and methods and rates of application are different. In addition the mode of transport for each use is different. For weed control, ozone is injected into an air-water interface in the soil or on the soil surface. For use in cleaning of irrigation lines and water treatment, ozone is injected into the water either before or as it enters the irrigation line. In general the impacts of the

399 use of a material should be targeted rather than widespread. Potential non-target, unintended impacts need to be
400 considered.

401
402 Ozone for weed or soil borne pathogen is not selective with regard to the plant species that it kills. It is toxic to all
403 plants, however different species respond differently to the same dose of ozone (Hatzios and Yang, 1983, and
404 Sandermann, 1996). It is applied in a defined space, the area between the buried drip irrigation tubing or the soil
405 surface and the plastic mulch (Pryor, 1999). It is a very strong oxidant and will oxidize the soil surface that it
406 contacts. It can oxidize soil organic matter and make it more biologically available (Ohlenbusch et al., 1998). It is
407 unclear from the references found by the reviewer how deep ozone will diffuse into the soil under the conditions of
408 the proposed use. It was also unclear what concentration of ozone the weeds and soil would be exposed to. The
409 petitioner claims the impact will only reach 0.25 inches when applied at rates suitable for weed control. It is very
410 reactive, has a short half-life and does not leave a residual effect. It is destructive to a wide range of microorganisms
411 but not all (EPA, 1999; Giraud et al. 2001; and Qui et al., 2001).

412
413 The production of ozone from oxygen is due to an endothermic reaction, and requires a considerable input of energy.
414 The patent documents mention the presence of a generator on the apparatus (Pryor 1996, 1997) but does not
415 describe the power requirements needed, presumably supplied by diesel or gas engine. The EPA describes the voltage
416 requirements for an air-fed corona discharge system as 5-7 kilowatts/hour/pound of O₃ produced. As much as 85%
417 of the energy used in ozone production is lost as heat. (US EPA 1999)

418
419 When ozone is used to treat water it is reactive with a wide variety of chemicals and compounds in the water
420 including iron, manganese and organic matter. It is also germicidal against many microorganisms such as protozoan
421 cysts, viruses, and bacteria including *E. coli* 0157:H7 (EPA, 1999 and Unal et al., 2001). It is applied to water before
422 use in irrigation or directly injected into irrigation lines with irrigation water. When ozone is used treat water prior to
423 irrigation, ozone concentrations are higher than when it is injected into irrigation lines to prevent biofouling. In the
424 first instance, the system is enclosed and excess ozone is captured and recycled or converted to oxygen before it is
425 released to the atmosphere. Typical concentrations of ozone found during water treatment are from <0.1 to 1 mg/L
426 (EPA, 1999). When ozone is injected directly into the irrigation system, concentrations are lower. A potential
427 problem with the second system from a purification point of view is that the ozone may be completely consumed by
428 oxidation reactions with chemicals, microorganisms and organic materials in the line before it reaches the end of the
429 irrigation line. Excess ozone is not captured in this system.

430
431

432 **Additional Questions for the reviewers:**

433 Note: The initial petitioner only requested review for purposes of weed control, and did not respond to questions requesting
434 more information on other uses. NOSB advised that it also be reviewed for soil pathogen control.

- 435
- 436 1. Have you seen or can you find any specific mention of use of ozone injected in drip irrigation systems as a cleaning
437 agent?
 - 438 2. Does anyone have access to this reference, and can you report on it:
439 Raub, L., Amrhein, C., and M. Matsumoto. 2001. The effects of ozonated irrigation water on soil physical and
440 chemical properties. *Ozone Science and Engineering*. 23(1):65-76
 - 441 3. Do you have any additional evidence on impact of ozone on the soil ecosystem, short or long term?
 - 442 4. Have you seen any information on the effect of ozone application on soil organic matter and nutrient availability.
 - 443 5. Please express your technical review, advice and conclusions distinctly on each of these uses of ozone. Is it possible to
444 permit use for some purposes but not others? (e.g for weed control but not soil pathogens)
- 445
446
447

448
449

448 **TAP Reviewer Discussion**

449

450 **Reviewer 1** [Ph.D. chemistry. Research entomologist advising growers and homeowners about pesticides and alternative pest control methods.
451 Western US]

452

453 **OFPA Criteria Evaluation**

454

455 (1) *The potential of such substances for detrimental chemical interactions with other materials used in organic farming systems;*

456 I agree with the criteria evaluation, with additional comment:

457 Since ozone is such a powerful oxidizing agent, it might attack the plastic irrigation tubing and destroy it over time.

458 Seems like plasticizers such as dioctylphthalate in tubing would be destroyed. However, this is speculation, and no

459 one seems to have observed this with limited ozone applications in the field.

- 460
461 (2) *The toxicity and mode of action of the substance and of its breakdown products or any contaminants, and their persistence and areas of*
462 *concentration in the environment;*
463 I agree with the criteria evaluation.
464
- 465 (3) *The probability of environmental contamination during manufacture, use, misuse or disposal of such substance;*
466 I agree with the criteria evaluation, with additional comment:
467 The possibility of a problem increases with the size of the ozone generator. For soilborne pathogen control, amounts
468 generated and release volumes would be higher than with the other two applications, and thus might be riskier.
469
470 If the generator is set up properly, leaks in the ozone supply line, torn or compromised plastic sheeting, and the
471 possibility of fire are the only risks that I can think of.
472
- 473 (4) *The effect of the substance on human health;*
474 Ozone has actually been used in medicine. Amounts in plasma higher than 80 µg/ml of gas per ml of blood are
475 detrimental (Bocci et al. 2001).
476
- 477 (5) *The effects of the substance on biological and chemical interactions in the agroecosystem, including the physiological effects of the substance on*
478 *soil organisms (including the salt index and solubility of the soil), crops and livestock;*
479
480 Ozone seems to have very little effect on soil nematodes. It seems to have more of an effect on soil bacteria than soil
481 fungi. Treatment of strawberry fields with high rates of ozone improved colonization of *Trichoderma* when this
482 microbial was used subsequently as an inoculant, so there must have been either an initial knockback of competing
483 microbes or releases of nutrients favorable for *Trichoderma* sp. growth (Pryor 2001b).
484
- 485 (6) *The alternatives to using the substance in terms of practices or other available materials; and*
486
487 For nursery operations, steam is a practical alternative for management of pathogens. Suppressive composts are
488 especially valuable in containerized production. Crop rotation is probably the most practical alternative for field crops
489 (see Quarles and Daar 1996).
490
- 491 (7) *Its compatibility with a system of sustainable agriculture.*
492
493 One possible problem is destruction of soil organic matter. Raub et al. (2001) believed that oxidation of organic
494 matter on the soil surface could lead to surface crusting and loss of soil structure. They suggested longterm studies to
495 explore this possibility. Surface effects would be most likely with weed control. For weed and pathogen control there
496 are several applications throughout a 30-day period. Amounts applied for pathogen control are 10-fold or more
497 greater, but the ozone is applied about 3 inches deep, rather than directly on the surface. Cleaning of irrigation lines
498 should not lead to any problem with soil structure because most of the ozone would be contained in the irrigation
499 tubing.
500
501 Another consequence of ozonation could be release of copper ion, which is bound to organic matter. Lin et al. (2001)
502 found that ozonation of humic acids in water degraded them to smaller molecules that were unable to chelate copper
503 ion. In soils where Cu has been overapplied, ozonation could lead to phytotoxicity due to excess free copper.
504

505 RESPONSE TO ADDITIONAL QUESTIONS

- 506 (1) *Have you seen or can you find any specific mention of use of ozone injected in drip irrigation systems as a cleaning agent?*
507 I talked to [owner of a well known west coast organic farm supply company.] She has not heard of anyone cleaning
508 irrigation lines by direct injection of ozone. She has heard of farmers treating irrigation water with ozone before it is
509 applied to the irrigation system.
510
- 511 (2) *Can you find and report on this reference?: Raub, L., Amrhein, C. and M. Matsumoto. 2001.*
512
513 To check the effect of ozone on soil structure, Raub et al. (2001) applied ozonated water at 10mg/liter to 20 cm glass
514 columns containing various California soils. They found that the ozone reacted with the humic acids and other
515 organic material, degrading it to smaller molecules. Degradation of the organic matter released cations such as Ca+2.
516 The organic acids and cations lowered pH of the applied water and caused clay in the soil to coagulate. Coagulation of
517 the clay particles increased the water infiltration rate and allowed the soil columns to drain quicker. In soils with high
518 sodium content (>15%) the improved drainage was not observed.
519

520 Positive results other than improved drainage was improved oxygenation, and probably increased microbial activity,
521 since the humic acid was degraded to smaller molecules that could be metabolized by microbes. Anecdotal
522 information was presented that soil ozonation might “improve crop vigor, reduce insect and disease, enhance water
523 penetration, and reduce fertilizer needs.”

524
525 Raub et al. (2001) felt, however, that longterm studies were needed to see if oxidation of organic matter on the soil
526 surface would lead to surface crusting and loss of soil structure.

527
528 (3) *Do you have any additional evidence on impact of ozone on the soil ecosystem, short or long term?*

529 See Larson (1999), Lin and Klarup (2001), Hayes (2000) and Pryor (2001b).

530
531 (4) *Have you seen any information on the effect of ozone application on soil organic matter and nutrient availability?*

532 Ohlenbusch et al. (1998), Raub et al. (2001) and Lin and Klarup (2001) show humic acid breakdown into smaller
533 molecules. Pryor (2001b) showed improved soil colonization of *Trichoderma* after soil ozonation. This fact could
534 indicate that ozone treatment made more nutrients available. Earlier reports (Larson 1999) also speculated that the
535 ozone soil treatment increased nutrients available for crops.

536
537 (5) *Please express your technical review, advice and conclusions distinctly on each of these uses of ozone. Is it possible to permit use for some*
538 *purposes but not others? (e.g for weed control but not soil pathogens)*

539 1. Use of ozone to clean irrigation lines.

540 Cleaning irrigation lines with ozone seems a reasonable use of the material. Ozone is already being used to treat
541 irrigation water. It does not seem to be much of a jump to use it to clean the irrigation system.

542
543 However, if it is injected directly into the tubing and flushed with water, care must be taken to do it safely and
544 effectively.

545
546 2. Use of ozone to control soil pathogens.

547 Using ozone in this manner is probably safe enough, and data presented by Pryor (2001a) shows that there will
548 probably be few impacts on soil microflora.

549 However, I could not find any information on effects on earthworms.

550
551 My major concern is that the technology has not yet been optimized and may be somewhat unreliable. The problem
552 for pathogen control is soil penetration. Best results have come in sandy soils that were irrigated with water before
553 fumigation. Perhaps because of patchy field coverage, published field trials on ozone pathogen control give
554 inconsistent results. When yield increases do occur, they are not directly related to the dose of ozone used. Larger
555 application rates often give lower yields. It may be that any yield increases are due to improved nutrient availability
556 and better biocontrol. Both of these factors could vary considerably.

557
558 In the 1997 field trials reported at a methyl bromide alternatives conference, ozone was applied through drip tubing
559 buried about 3 inches deep to sandy pre-irrigated soil. This placed the ozone very near the root zones. With these
560 best-case conditions there were significant yield increases with tomatoes, carrots and strawberries (Pryor 1999).

561
562 California 1998 field trials were published in Larsen (1999). Ozone soil treatment reported here gave increased yields
563 of tomatoes, carrots, strawberries and other crops. Applications were made through drip irrigation tubing to sandy
564 soils. Large emitters (4 gallons/hr) were used to get a large flow rate. Strawberry fields that were treated were under
565 heavy attack of *Verticillium*. Strawberry yields increased 51% as a result of ozone treatment. Ozone application rates
566 were 400 lb/acre.

567
568 Hayes (2000) treated strawberry fields with ozone plus the biocontrol organism *Trichoderma*. The combination
569 treatment generally gave increased yields over controls. However, increases were smaller compared to earlier trials
570 because standard 0.5 gallon/hr irrigation drip emitters were used. According to the author, higher ozone flow rates
571 with the larger 4.0 gallons/hour emitters give better results, especially if you are not dealing with sandy soil.

572
573 In field trials conducted in 2000, Pryor (2001b) tried treating tomatoes with ozone for nematode control and
574 strawberries with ozone for pathogen control. Tomatoes were treated with ozone alone, ozone +biocontrol
575 organisms, and standard nematicides (Telone). The highest application rate of ozone gave yields lower than the
576 controls. Modest application rates of ozone plus biocontrol microbials gave yields similar to the standard chemical
577 Telone. Best yields were shown with biocontrol microbials alone. Only Telone gave any nematode control, but yields
578 with Telone were lower than with microbials alone.

580 Strawberries were treated with ozone alone, ozone plus microbials, and microbials alone. None of the treatments
581 significantly increased yields over controls. This report, though, was for a year when the pathogen challenge was low.
582

583 Combination of ozone plus *Trichoderma* did, however, lead to increased colonization rates of the microbial (Pryor
584 2001b).
585

586 Despite my concerns about reliability, the technology should be allowed. Perhaps continued use will lead to more
587 reliable treatments.
588

589 3. Use of ozone for weed control.

590 Laboratory data supplied by Pryor (2001a) show that ozone should only have minor non-target impacts on the soil
591 ecosystem. The field test by Pryor and Bayer (2001) seems to establish efficacy. If oxidation of soil organic matter
592 causes negative longterm impacts on soil structure (Raub et al. 2001), NOSB can suspend its use.
593
594

595 **Reviewer 1 Conclusion** – *Summarize why it should be allowed or prohibited for use in organic systems.*

596 a. Ozone should be allowed in organic agriculture for cleaning irrigation lines. Use in this manner should not violate
597 any of the Section 2119 Criteria. Excessive amounts should not be used so there is no appreciable off-gassing and air
598 contamination.
599

600 b. Ozone should be allowed in organic agriculture for weed treatments. Publications cited show that it is generally
601 effective for this purpose, and use in this manner should not violate any Section 2119 Criteria. If long term use leads
602 to problems with soil structure, the NOSB can determine that this use should be suspended.
603

604 c. Application for pathogen control should not violate Section 2119 Criteria. I have some reservations, however, that
605 the technique has not yet been optimized for reliable pathogen control in the field.
606

607 **Reviewer 1 Recommendation Advised to the NOSB:**

608 *The substance is Synthetic*

609 Though a case can be made for non-synthetic, since ozone is already classified synthetic in Section 205.605 of the
610 Final Rule, it should be classified as synthetic for the cases below.
611

612 *For Crops, the substance should be*
613 *Added to the National List.*
614

615 *Suggested Annotation, including justification:*

616 Ozone should be added to the National List for the following applications:

- 617 1. For cleaning irrigation lines
 - 618 2. For weed control
 - 619 3. For soilborne pathogen control
- 620
621

622 **Reviewer 2** [*Ph.D. exposure assessment-toxicology, M.S. chemistry. Certification review committee member, Eastern U.S.*]
623

624 **Comments on Database**

625 *The following information needs to be corrected or added to the database:*
626

627 The photochemical production of ozone in the troposphere, and the difficulties associated with minimizing its impact
628 are not adequately represented in this document. Most ozone in the troposphere is anthropogenically-generated, and
629 is often above 0.80 ppm in prolonged afternoon and evening episodes (Lioy and Dyba, 1989). At this concentration,
630 decreased pulmonary function and athletic performance, increased airway reactivity and decreased (respiratory)
631 particle clearance were found in non-smoking adults (Hobbes and Mauderly, 1991). Significant reductions on
632 respiratory function are proportional to tropospheric ozone concentration, which is alarming, as a large segment of
633 the US population resides in locations where the National Ambient Air Quality Standards (NAAQS) are violated for
634 more than 100 days per year (McDonnell *et al.*, 1993).
635

636 **OFPA Criteria Evaluation**

637 (1) *The potential of such substances for detrimental chemical interactions with other materials used in organic farming systems;*

638 I agree with the criteria evaluation
639

640 (2) *The toxicity and mode of action of the substance and of its breakdown products or any contaminants, and their persistence and areas of*
641 *concentration in the environment;*

642 The criteria evaluation needs to be corrected or amended as follows:

643

644 I don't follow the NTP table very easily, as I don't use LC data alone.

645

646 Long-term exposure studies indicate that the primary target tissues are the nasal epithelium and the centraninar
647 region of the lung ((Hobbes and Mauderly, 1991). In the lower regions of the lung, where lining fluid is thin, damage
648 to cells may be due directly to O₃ (Pryor, 1992). In higher regions, aldehydes and peroxides, which result from
649 reactions in the lipid bilayers of the mucous lining with O₃, may be inciting damage (*ibid.*, 1992). See the section on
650 human health (number 4) for additional human toxicity.

651

652 (3) *the probability of environmental contamination during manufacture, use, misuse or disposal of such substance;*

653 I agree with the criteria evaluation.

654

655 (4) *the effect of the substance on human health;*

656 The criteria evaluation needs to be corrected or amended as follows:

657

658 A correlation has been drawn between tropospheric summer ozone concentration and emergency room hospital visits
659 for asthma, in four different regions of the North American continent (Cody, 1992). Healthy individuals at risk
660 included those who exercise outdoors and who occupationally remain outdoors for much of the day, and also
661 children, particularly in summer, when temperatures are comfortable for outdoor activities and ozone levels are at
662 their highest. (See Database section for related comments.)

663

664 (5) *the effects of the substance on biological and chemical interactions in the agroecosystem, including the physiological effects of the substance on*
665 *soil organisms (including the salt index and solubility of the soil), crops and livestock;*

666 Here is additional supporting information or comments.

667

668 A three year study of Scots pine seedlings led to the conclusion that in a relatively O₃ tolerant species, the chronic
669 effects of O₃ exposure include growth reduction, increased needle abscission and changes in C allocation that are
670 influenced by plant N availability (Utriainen and Holopainen, 2001).

671

672 Response to ozone in ponderosa pine was greatest when there was low nutrients supplied (Andersen and Scagel,
673 1997). Significant effects on below-grown respiratory activity were apparent before any reduction of total plant
674 growth was found.

675

676 (6) *the alternatives to using the substance in terms of practices or other available materials; and*

677 I agree with the criteria evaluation

678

679 (7) *its compatibility with a system of sustainable agriculture.*

680 I agree with the criteria evaluation.

681

682 RESPONSE TO ADDITIONAL QUESTIONS

683

684 1. *Have you seen or can you find any specific mention of use of ozone injected in drip irrigation systems as a cleaning agent?*

685 No

686 3. *Do you have any additional evidence on impact of ozone on the soil ecosystem, short or long term?*

687 No.

688 4. *Have you seen any information on the effect of ozone application on soil organic matter and nutrient availability ?*

689 See Ohlenbusch et. al 1998... I was unable to get more than the citation of the following. Also, see criterion (5).

690 Anderson, C.P. Ozone stress and changes below-ground: linking root and soil processes. *Phyton*. **2000,40**: 7-12.

691

692 5. See Conclusion.

693

694 **Reviewer 2 Conclusion** – *Summarize why it should be allowed or prohibited for use in organic systems.*

695 The use of ozone may be seriously detrimental to the health of humans who work with it, and those exposed
696 indirectly, downwind of exposure. The use of a known and problematic air pollutant would make its consideration as
697 a tool in organic farming questionable. One argument that is commonly submitted, utilizes that characteristic odor of
698 O₃ as an early detection signal for avoidance. However, rapid olfactory fatigue is being overlooked, as is the tendency
699 for workers to ignore minor, acute irritations, in order to achieve the work goal. Long-term and cumulative effects
700 can not be ignored.

701
702 Additionally, the references provided and which I have obtained make little reference to long term effects of ozone in
703 the soil characteristics. The effects of altering the humic acid fraction and precipitating iron oxides are significant to
704 ban its use in soil applications, as an organic treatment. Damage to plants also is of concern, as even ozone-tolerant
705 species are affected by ozone exposure. Further, I encountered no references in peer-reviewed work to impacts to
706 beneficial soil organisms.

707
708 The use of ozone for (1) control of soil borne pathogens, (2) weed control, (3) to treat livestock waste for either
709 control of pathogens or (4) to ozonate for fertilizer, should not be allowed, as the ecological and human health impact
710 may be too high to warrant its use. Cleaning irrigation lines without recapture, should not be allowed for latter
711 reason. However, water purification of recycled nursery or hydroponic and aquaculture systems, using the stipulation
712 of off-gas recapture, may be reasonable, since other options for this goal often add unwanted by-products into the
713 water stream.

714
715 ***Reviewer 2 Recommendation Advised to the NOSB:***

716 *The substance is Synthetic*
717 *For Crops the substance should Not Be Added to the National List.*

718
719
720 ***Reviewer #3*** [*Organic farmer, organic inspector, works with organic certifier. Western U.S.*]

721
722 **OFPA Criteria Evaluation**

723
724 *For OFPA Criteria 1-3, 5-6:*

725 I agree with the criteria evaluation

726
727 *(4) the effect of the substance on human health;*

728
729 I agree with the harmful effects discussed in the criteria section

730
731 I believe amendments should be added which discuss the claimed positive effects on human health. These effects fall
732 roughly in three categories; water purification, use as a residential and office air cleanser, and use in alternative and
733 conventional medicine. ...The health claims [made by manufacturers of ozone generating] residential air purification
734 systems are discounted, and [consumers are] warned against their use by the American Lung Association. (ALA, 2002)
735 [Alternative medical publications describe] the use of ozone therapy in some human diseases and in medical therapy.
736 (Bocci, 1996, Figueras undated; Bocci et al 1994)

737
738 **RESPONSE TO ADDITIONAL QUESTIONS**

739
740 1. *Have you seen or can you find any specific mention of use of ozone injected in drip irrigation systems as a cleaning agent?*
741 Internet search turned up very few references concerning use of ozone in drip lines (Hassan, undated; Von Broembson
742 2002; Del Ag.2002)

743
744 3. *Do you have any additional evidence on impact of ozone on the soil ecosystem, short or long term?*

745 4. *Have you seen any information on the effect of ozone application on soil organic matter and nutrient availability?*

746 3 and 4. Discussion in criteria evaluation is sufficient. Some minor additional discussion is included in attached references.

747
748 5. *Please express your technical review, advice and conclusions distinctly on each of these uses of ozone. Is it possible to permit use for some purposes but*
749 *not others? (e.g. for weed control but not soil pathogens)*

750 I think it is possible but difficult to separate soil application of ozone for weed control but not for soil pathogens
751 control. The primary difference is the pounds per acre used. Appropriate record keeping may be able to track this, but
752 since ozone is generated on site, tracking could be more difficult. Assuming honesty and integrity on the part of the
753 producer, I believe it is difficult to justify limiting the amount of ozone used for these primary reasons:

754
755 The primary detrimental effects are how much ozone escapes into the atmosphere and how deeply the soil is
756 sterilized. The atmospheric problem is dealt with by system design and monitoring. It is also in the producer's best
757 interest to not waste the costly ozone. A poorly designed or maintained system for weed control could leak more than
758 a well designed and maintained system for destroying soil pathogens. If both systems are well designed, the pollution
759 of the atmosphere would be minimal. In practice, it is an identical technique and practice being used. The problem of
760 how deeply the soil is sterilized is reflected in two concerns. One concern is what residues or breakdown products are
761 left and the other concern is the effects on the soil microorganisms. Some data indicates that the breakdown products

762 of ozone in the soil are beneficial to the microorganisms and subsequently to the crops. The concern of how quickly
763 microorganisms recolonize is dependent of the effects of the residues. Ozone itself does not have significant residues
764 and its breakdown products may actually encourage both the growth and diversity of microorganisms.
765

766 Ozone treatment for soil pathogens is a possible replacement for far more toxic materials (which, ironically deplete
767 atmospheric ozone) and its use should be encouraged from the environmental perspective. The environmental
768 perspective is an important element of the organic industry both in producer's intention and in market expectations.
769

770 Ozone's use in the soil is a technique as well as a material that affects both weeds and microorganisms at all levels of
771 use. If it is approved for weed control but not soil pathogen control, it will be hard to specify what level will be
772 allowed. In some regions for some weeds, the application rate needed to be effective may also be effective for
773 controlling some soil pathogens. On what basis should it be decided which weeds and pathogens are allowed to be
774 controlled by this technique (and which aren't) since the technique is the same and the residues similar at all levels?
775

776 For these reasons, I think if Ozone is approved for weed control, it should also be allowed for soil treatment.
777

778 **Reviewer 3 Conclusion** – *Summarize why it should be allowed or prohibited for use in organic systems.*
779

780 Ozone is a highly reactive oxidizer, that leaves little residue and fewer decomposition products than other oxidizers
781 such as chlorine. It requires a high energy input and specialized equipment to produce. It does not have a history of
782 being used in organic agriculture. No major certification agencies make reference to it nor is it mentioned in organic
783 production guides. Ozone's use in conventional agriculture is relatively recent and still in research and development
784 stage, though some commercial scale farms have begun to use it. The decision to use ozone by conventional growers
785 is based on weighing these factors; the increased costs, increased efficacy and environmental regulations. Ozone is an
786 alternative to materials that have higher undesirable residuals such as chlorine or are being phased out such as methyl
787 bromide.
788

789 Being highly reactive, ozone exhibits many conflicting properties depending on the concentration and on which trace
790 materials are present. It is a major pollutant with severe negative health effects. It is used both in alternative and
791 conventional medicine in therapy and also in large scale water purification systems designed for human consumption.
792

793 As a TAP reviewer with a farmer's perspective, my approach is to look primarily at the material itself, what it would
794 replace and how it would be used in organic production. Since the material is not currently used in organic agriculture;
795 the questions that need to be answered are: why would it be needed? What organic production problems might it
796 solve? Are the effects of using the material compatible with organic agriculture's goals? I will also address the
797 environmental effects of producing the material.
798

799 The environmental effects of producing ozone are primarily related to the energy required to produce it (85% of
800 which is lost as heat). The cost of equipment and the effort needed to maintain it limit ozone's use to medium and
801 large scale operations. The high energy cost is a potential reason to not permit its use in organic agriculture due to
802 energy related pollution. On the other hand, if a more efficient method of ozone production were developed, this
803 objection would disappear. Therefore, the high use of energy is not sufficient reason to support its ban from organic
804 agriculture.
805

806 The more important question is on what basis should a new, synthetic material be introduced to organic agriculture.
807 The only reasons for inclusion I can support are:
808

809 1. If the material being introduced replaces materials that are less desirable to use because of environmental, safety,
810 residue or health considerations. In short, if the new material fits the idealized organic criteria more closely than
811 existing materials. This concept envisions an evolving organic production system that continually changes toward the
812 idealized criteria as both new materials and new knowledge become available. This is true for some uses of ozone.
813

814 2. The material fits the criteria for use in organic agriculture except for being synthetic AND is an effective solution
815 for an organic production problem or contributes to the expansion of organic production systems. This concept
816 allows the methods and techniques of organic production to evolve and handle new situations and reach further into
817 mainstream society.
818

819 In the current organic climate, concerns about contamination from use of manures and compost products are new
820 threats to organic agriculture. An effective sanitizer or disinfectant without residues may be needed to meet changing
821 USDA and HACCP regulations and still be acceptable to the organic market. Ozone has already been accepted in
822 organic food processing for direct contact with food. Current ozone technology may not be sufficient to meet crop

823 production problems, but if more efficient ozone production or techniques were developed, the material itself may be
824 able to provide a partial solution.
825

826 **Reviewer 3 Recommendation Advised to the NOSB:**

827 Ozone should be considered as a Synthetic allowed only with annotations

828
829 1. Restricted to use as weed and disease control with appropriate environmental controls and monitoring AND only
830 after other methods have been tried. This method must be considered as a last resort

831
832 Comment- There are many approved organic alternatives for weed and disease control in soils. These should be
833 tried first. The potential for ozone to develop into an alternative to extremely high polluting materials is
834 important to explore. If shown to be effective and clean, it should be allowed as a tool for organic farmers.
835

836 2. Allowed for use in cleaning drip irrigation lines with appropriate environmental controls and monitoring
837 Comments- The efficacy of using ozone in this manner has not been shown but there is potential that it may be
838 an alternative to chlorine or hydrogen peroxide.
839
840

841 **Conclusion - Ozone for organic crop production:**

842 Two out of three reviewers felt that ozone should be permitted for use in organic crop production, with use limited to:

- 843 1) cleaning irrigation lines,
- 844 2) weed control and
- 845 3) for soilborne pathogen control.

846
847 One suggested further restrictions limiting weed and pathogen control use to that of “last resort.” If approved for use,
848 this requirement is already established under 7CFR 205.206(d-e). A possible further restriction on use in irrigation as
849 suggested by one reviewer, could be stated at 205.601(a)(5) “ozone, injected in irrigation lines in a method to prevent off-
850 gassing.”
851

852 These two reviewers did not find a compelling reason to reject usage, despite a lack of data in some areas such as effect on
853 soil structure or earthworm populations. They did find some benefits to use and generally felt further experimentation
854 might yield more data on effectiveness and impact.
855

856 The third reviewer found that health and safety reasons are a strong argument to prohibit use, along with the known
857 effects on soil humic acid fraction, and the unknown long-term effects on soil and beneficial soil organisms.
858

859 This use is not permitted under current regulatory language of CODEX, the EU, or Japan and may require further
860 consultation over equivalency issues if approved in the US.
861
862

863 **References**

864 *= included in packet

865
866 *ALA 2002. Ozone Generators- What is Ozone Air Pollution? American Lung Association of Washington.

867 http://www.alaw.org/air_quality/information_and_referral/indoor_air_quality/ozone_generators.html

868
869 * Anderson, C.P. and C.F. Scagel, 1997. Nutrient availability alters belowground respiration of ozone-exposed ponderosa
870 pine. *Tree Physiology*, 1997, 17: 377-387. (abstract)
871

872 * Bao J., D. Fravel, G. Lazarovits, D. Chellemi, P. van Berkum, and N. O'Neill. 2000 Population Structure Of Fusarium
873 Oxysporum In Conventional And Organic Tomato Production In Florida. In: *2000 Annual International Conference on*
874 *Methyl Bromide Alternatives and Emissions Reductions*, US EPA and USDA
875 <http://www.epa.gov/ozone/mbr/airc/2000/7fravel.pdf>
876

877 *Barth, G. 1995. The potential for slow sand filtration for recirculating hydroponic systems in Australia. *South Australian*
878 *Research and Development Institute*. [Http://www.sardi.sa.gov.au/hort/floricultural/barth3.htm](http://www.sardi.sa.gov.au/hort/floricultural/barth3.htm).
879

880 *Blum, U., and D. Tingey. 1977. A study of the potential ways in which ozone could reduce root growth and nodulation
881 of soybean. *Atmospheric Environment*. 11:737-739.
882

- 883 * Bocci, V., F. Corradeschi, Silva Silvestri, E. Luzzi and L. Paulesu. 1994. Further Evaluation of the Therapeutic Index of
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885 no organization named. Excerpted on internet at <http://www.o3therapy.com/further.htm>
886
- 887 * Bocci, V. 1996. Ozone as a bioregulator: Pharmacology and toxicology of ozone therapy today. *Journal of Biological*
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