

## Farm-Raised Shellfish

Hatchery/Nursery	Grow-out	Processor/Dealer	Further Processor/Dealer	Distributor
<b>Responsibility</b>				
Provide adequate information for an auditor to verify the origin of all seed, eyed larvae and set cultch. Record all origin information according to the designation.	Identify and segregate seed according to the origin designation and manner of production. Maintain and identify designation information. Maintain ownership and transfer records.	Maintain segregation of shellfish according to origin and manner of production designation and properly label throughout the system. Document origin and manner of production of all products.	Transfer labels, tags and identification of all products processed. Operate under a labeling program. Include origin and manner of production information in inventory records.	Maintain integrity of the product. If repackaged, transfer original identification.
<b>Examples of Records and Activities that may be useful</b>				
Spawning records	Seed/eyed larvae records	Landings reports	Landings reports	Invoices
Brood stock records	Cultch purchase records	Harvest records	Harvest records	Purchase records
Seed/eyed larvae purchase records	Seed transfer records	HACCP Records	Purchase records	HACCP Records
Feeding records	Inspection/monitoring records	Processing records	HACCP Records	Processing records
Ploidy records	Dive records	Receiving logs	Processing records	Receiving logs
Cultch purchase records	Transfer permits	Tags	Receiving logs	Tags
Growth records	Transplant records	Shipping records/Bills of lading	Tags	Shipping records/Bills of lading
Spat collection records	Site maps	Shucking records	Shucking records	Inventory records
Site maps	Harvest records	Inventory records	Shipping records/Bills of lading	Order sheets
Production records	Landings reports	Order sheets	Inventory records	Invoices
Import permits	Crop records and reports	Invoices	Order sheets	Sales records
Health records		Sales records	Invoices	Yield records
Crop records and reports		Yield records	Sales records	UPC codes
		UPC codes	Yield records	Sampling records
		Sampling records	UPC codes	Plant ID system
		Plant ID system	Sampling records	
		Bulk Tagging Transaction Record	Plant ID system	Bulk Tagging Transaction Record
			Bulk Tagging Transaction Record	

The examples of documents and records listed in this table, although extensive, are not inclusive of all documents and records that may be useful to verify compliance with the Country of Origin Labeling provisions of the 2002 Farm Bill. Additionally, maintaining documents and records such as those listed as examples will not necessarily ensure compliance. The documents listed are examples only and are for the sole purpose of providing information for producers, processors, and retailers to consider when establishing records for verification purposes. During a compliance audit conducted by USDA, auditors will review any and all documents to the extent necessary to arrive at an accurate decision on the level of compliance.